## Master Resolution Table of Comments DS516: CRITICALITY SAFETY IN THE HANDLING OF FISSILE MATERIAL

COMME	NTS BY RE	VIEWER			RESOLUTION			
Reviewer	:		P	age				
Country/	Organizatio	n:	I	Date: June 2020				
Comm.	Country	Para/	Proposed new text	Reason	Acce	Accepte	Rejected	<b>Reason for</b>
No.		Line			pted	d, but		modification/rejection
		No.				modifie		
						d as		
						follows		
1.	AUS009	general	In general the document would benefit	Some key American Nuclear	Х			
			from improvement in terms of structure	Society standards are listed at end				
			and flow of information.	of the document but they are not				
				properly reflected in the text. The				
				main example is, Section 6,				
				Emergency planning and				
				response: all references are to the				
				IAEA documents which are				
				general, but ANSI standard is				
				specific to Emergency response to				
				criticality accident, which is not				
				reflected in the text.				
2.	AUS010	general	suggest replace all in-line references to	In-line references seem untidy and	Х			
			SSRs by "shall"	unnecessary.				
3.	FIN001	General	Please add following ISO standards	These standards are relevant to	Х			
			ISO 1709 Principles of criticality	this subject				
			ISO 22946 Solid waste excluding					
			irradiated and non-irradiated nuclear					
			fuel ISO 23133 Nuclear criticality safety					
			training for operations					

4.	GER001	General	General:         In this document several terms are used which are not defined in the IAEA         Safety Glossary 2018 Edition, for example:         - abnormal condition         - outside normal operation         - abnormal events         We suggest sticking to defined terms for the plant states:         • "normal operation"         • anticipated operational occurrences"         • "design basis accidents" as well as         • "design extension condition"         in accordance with the IAEA Glossary	Being aware that also in SSR-4 the applied terminology differs from the IAEA Safety Glossary, please use a terminology in accordance with the IAEA Glossary and avoid using undefined terms to prevent unnecessary confusion.		X	"abnormal events" was replaced; term "credible abnormal conditions" is in line with SSR-4 and it is the correct term used in criticality safety
5.	GER002	General	<u>General:</u> Issue "Defence in depth" of the Section 3 "Measures for Ensuring Criticality Safety" requires further review, clarification and probably discussion in order to be put in accordance with the defence in depth concept provided in SSR-4. We found it difficult to make appropriate changes in the frame of current MS-Commenting	Paras 3.2-3.3 are not in line with the defence in depth concept provided in SSR-4. Additional review is requested	X		The text was updated.

6.	JPN002	General	There are some missing paragraphs numbers and places found as followings, so should be corrected accordingly.	editorial	X		
			1) For 2.9.: 2.8./L6: <del>2.1. <u>2.9.</u> and 2.9/L1: <del>2.52.9</del></del>				
			2) For 2.16.: MANAGEMENT STSTEM/L2: <del>2.2. <u>2.16.</u></del> and /L10: <del>2.102.16</del>				
			3) For 2.31.: 2.30./L8: <u>2.31.</u> and 2.31./L1: <del>2.12 2.31</del>				
			4) For 3.3.: 3.2./L9: <del>3.1.<u>3.3.</u> and 3.2./L14: <del>3.3</del></del>				
			5) For 5.87.: 5.86./L9: <del>5.1.</del> <u>5.87.</u> and 5.86./L19: <del>5.87</del>				
			6) For 6.5.: 6.4./L5: <u>6.5.</u> and 6.4/L11: <del>6.5</del>				
7.	RUS015	General	Point after "para" and "paras"	misprints	Х		
8.	SVK003	General	"enrichment"	It is suggested to keep this parameter of nuclear fuel even though it is included in another definition.		X	It is mentioned in the explanation of "nuclide composition"
9.	UK001	General	Ensure all terms used are within a glossary	Some terms used within the document are not defined in [9], e.g. 'minimum accident of concern'	X		
10.	UK032	General	A glossary defining terms not defined in the IAEA glossary would be beneficial.	Avoidance of confusion – not all terms have universally	X		

11.	USA007	General Throug hout the docume nt	<b>Technical:</b> Throughout the document, there is no consistency when referring to normal and credible abnormal conditions. The working group, based on other industry standards and adopted language, has strongly suggested that the terms "normal and credible abnormal conditions" is correct and should be adopted. All places where this isn't the exact language used (for example, Para 1.2, "ensure criticality safety under operational states and conditions that are referred to as") should be changed to "normal and credible abnormal conditions").	Terminology should be clear, succinct, correct, and consistent. Currently, it is none of these things.	X		
12.	WNTI01	General	"transportation" and "shipment" should be replaced by "transport".	Editorial Consistency in the document	X		
13.	RUS014	General All over the text		misprints	Х		
14.	JPN001	CONTE NTS	6. EMERGENCY <u>PREPAREDNESS</u> <u>AND</u> RESPONSE TO A CRITICALITY ACCIDENT	To keep a consistency with the title of chapter 6.	X		
15.	RUS001	Content s	Contents do not follow the text, numbers of pages are not correct.	editorial	Х		
16.	SVK001	1.01	Definition of "fissile": Fissile nuclides are nuclides, in particular 233U, 235U, 239Pu and 241Pu, that are able to support a self- sustaining nuclear chain reaction with neutrons of all energies, but predominantly with slow neutrons	We propose to keep this definition, not to delete it. It is important for the complex reading and expertise of the document.		X	The definition is already in the AIEA Safety Glossary

17.	SVK002	1.01	Definition of "fissile material": Fissile material refers to a material containing any of the fissile nuclides in sufficient proportion to enable a self- sustained nuclear chain reaction with slow (thermal) neutrons	We propose to keep this definition, not to delete it. It is important for the complex reading and expertise of the document.		X	See the previous comment
18.	USA001	1.01 line 1	Nuclear criticality can be achieved under certain conditions by fissionable nuclides.	This is not "theoretical," it is essentially the definition fissionable.	X		
19.	CAN001	1.02	Technical: Revise language in the following way: "This requirement applies to facilities including those engaged in the production of fresh nuclear fuel including enrichment and fuel fabrication, facilities dealing with spent nuclear fuel"	This SSG also covers enrichment facilities, which the current text neglects.	X		

20	CAN002	1.02	Technical	The sim of the SSC 27 is to	V		
20.	CANO02	1.02	reennear.	The ann of the SSG-27 is to	Λ		
			are required to be managed in such a	provide technically sound			
			way as to ensure criticality safety under	interpretation and further			
			normal conditions, operational states and	guidance on SSR-4 content. In			
			conditions that are referred to as credible	view of that, criticality safety			
			abnormal conditions	experts clarified during the			
				consultancy meetings on revision			
				of SSG-27 that term "operational			
				states"			
				- is not applicable to the			
				prevention of criticality because			
				the term permits accidents. It			
				includes 2 components "normal			
				operations" and AOO. AOO, by			
				its definition, means a very small			
				accident with relatively high			
				frequency and relatively low			
				consequences. See, as illustration,			
				Canadian REGDOC-2.4.1, where			
				AOO frequency of occurrence is			
				defined as $>10E-2$ , that includes a			
				very small LOCA with			
				"radiological doses to the			
				members of the pubic". This is			
				not consistent with the meaning of			
1				the requirement to prevent			
1				criticality accident			
1							
1				- is not consistent with			
				terminology used in national and			
				international standards on			

				criticality safety adopted or				
	1			recognized by the most member				
				states. Examples of standards:				
				ISO-1709, ANSI/ANS-8.1,				
				Canadian REGDOC-2.4.3,				
				Chinese GB 15146.2. Other				
				member states use some of the				
				mentioned above, for example,				
				UK uses both ISO-1709 (issued				
				through BSI) and ANSI/ANS-8.1.				
				two different terms are used in				
	1		· · · · · · · · · · · · · · · · · · ·	- two different terms are used in				
	1			same thing				
21	EINI002	1.02	$\sqrt{0}$ spent nuclear fuel and to some	Why would this concorn just	v	 <u> </u>		
21.	TIN002	1.02	research and development facilities	some research facilities? How	Λ			
	1		where fissile material is handled	would the facilities be defined to				
	1		where itssite inaterial is handled.	which this applies?				
	1		Another alternative would be " to					
	1		such research and development facilities					
			that handle fissile material".					
22.	GER003	1.02	Nuclear facilities containing fissile	"under" inserted; clarify that two	Х			
	1		material, and activities in which fissile	different conditions are meant				
	1		material is handled, are required to be					
	1		managed in such a way as to ensure					ļ
			criticality safety under operational states					
			and <u>under</u> conditions that are referred to					
			as credible abnormal conditions or					
			conditions included in the design basis					
	1		in accordance with				1	

23.	UK002	1.02	Suggest removing text from 'This requirement applies' onwards, or revising section to make it clearer that these are examples of the types of facilities that the requirements would apply to.	This section is unnecessarily specific about facilities where the requirements apply. It leads to uncertainty in whether certain types of operations are included, e.g. enrichment facilities, weapons facilities etc.			X	Precise specification of the scope of applicability is an essential element of all IAEA Safety Standards.
24.	USA008	1.02	<b>Technical:</b> Revise language in the following way (added text in RED): "This requirement applies to facilities including those engaged in the production of fresh nuclear fuel including enrichment and fuel fabrication, facilities dealing with spent nuclear fuel"	This SSG also covers enrichment facilities, which the current text neglects.	X			
25.	GER005	1.02 Line 11	All types of operation h-Handling fissile material <u>comprises all activities</u> are covered, including its movement, processing, <u>use</u> , storage, inspection and disposal.	Clarification and wording amendment in accordance to para 1.8.		X		The definition of HANDLING left in 1.8 only
26.	GER004	1.02 Line 6	This requirement applies to <u>all types</u> of facilities <u>that involve handling of</u> <u>fissile material</u> including those engaged in the production of fresh nuclear fuel, <u>except those that are intentionally</u> <u>designed to be critical, facilities dealing</u> <u>with spent nuclear fuel</u> and to <u>some</u> <u>those</u> research and development facilities where fissile material is handled.	Clarification and wording amendment in accordance to para 1.8. For clarification, the term 'some' should be replaces or deleted.		X		The text was modified to accept multiple comments.
27.	UK003	1.02, 1.6, 1.8	Use consistent wording for where the requirements apply.	Sections 1.2, 1.6 and 1.8 all define where the requirement applies, but all use different wording or criteria.	X			

28.	FRA001	1.02.	are required to be managed in such a way as to ensure criticality safety under normal conditions, operational states and conditions that are referred to as credible abnormal conditions	The use of "operational states" is not consistent through the doc. It's mainly used to replace "normal conditions" (like in this statement), but by definition, it includes 2 components "normal operations" and "anticipated operational occurrences". This may lead to confusion. Prefer the widely used expression in NCS: "normal and credible abnormal conditions" (ISO-1709, ANSI/ANS-8.1, etc.).	X			
29.	TUR001	1.02/ Line 11	All types of operation handling fissile material are covered, including its movement, processing, storage <del>,</del> inspection and disposal.	Inspection is not considered as a handling operation.		X		This provision was deleted from para 1.2. "inspection" was deleted from 1.8
30.	SWE01	1.02/2	to ensure an adequate margin of subcriticality	Term used in SSR-4. Criticality safety (emergency preparedness) includes accident conditions that are not credible. That is not covered by this sentence.	X			
31.	CZE001	1.02/3	under operational states and conditions that are reffered to as credible abnormal conditions included in the design basis	Credible abnormal conditions are included in design basis			X	The wording corresponds to SSR-4

32	SWE02	1.02/7	Replace "spent" with "irradiated"	Term used in para 6 195 of SSR-	V	The term was replaced
52.	511202	1.02/7 1.12/2	Replace spent with inadiated	4 "spent" is limited to fuel that	1	whore appropriate At
		1.12/3 1.12/10		4. Spent is influence to fuel that		some places it is more
		1.13/10		will not be infaulated in a reactor		some places it is more
		4.26(d)(		again. Irradiated is more		appropriate to refer to
		V)/2		general, as is this guide.		spent fuel. In addition,
		5.2(b)/2				the applicability to
		Subtitle				irradiated fuel in general
		5.30				is also made in Section
		5.30/1 +				5.
		2				
		5.30(b)/				
		1				
		5.30(d)/				
		1				
		5.33/1				
		5.35/1+				
		5				
		5 36/1				
		Subbead				
		5 38				
		5 38/1 3				
		3.36/1,3,				
		4,0				
		5.40/1				
		5.41/1+				
		4				
		Subhead				
		5.43				
		5.43/1*2				
		5.45/8				
		5.46/1+				
		2				
		5.47/1				
		5.47(a)/				
		1				

		5.47(c)/ 1 5.48/5+ 6 5.48(a)/ 1 5.48(b)/ 1+2 5.49/1					
33.	FRA002	1.03	including its mass, nuclide composition, physical and chemical form, geometry, volume, enrichment and density	Physical and chemical form of the fissile medium may have an impact on its reactivity	Х		
34.	ENISS1	1.03 (1 <sup>st</sup> sentence )	The subcriticality of a system depends on many parameters relating to the fissile material, including its mass, nuclide composition, geometry, <del>volume</del> , <u>physico-chemical form</u> and density.	<ol> <li>Physico-chemical form is very important in the determination of critical masses; it refers also to the concept of "reference fuel" of the system which should be added here. (see Comment N° 2)</li> <li>The "volume" is already included in the "geometry" parameter.</li> </ol>		X	"Chemical form" added following also other comments

35.	ENISS2	1.03 (from 2 <sup>nd</sup> sentence on)	Subcriticality is also affected by the presence of other materials such as neutron moderators, absorbers and reflectors. <u>After having defined the</u> <u>reference fuel for the system under</u> <u>study, subcriticality can be ensured</u> through the control of an individual parameter or a combination of parameters, for example, by limiting mass alone or by limiting both mass and moderation.	The definition of "limiting value" of a parameter (limiting mass,) must be associated to the definition of the "reference fuel" of the system under study. Different "reference fuels" (with different enrichment, physico- chemical form, geometry,) can be associated to the same "system", and therefore giving a limiting value alone is not sufficient to characterize the subcriticality limit.		X	We agree, however this is a very general introductory section and therefore should not contain too much technical details. This is for later sections. The sentence even without the suggested text is a true statement. The suggested addition would call for more explanation of
36.	FRA003	1.03 (2.2) (2.8) (2.11)	The subcriticality of a system depends on many parameters relating to the fissile material, including its mass, nuclide composition, geometry, volume, chemical form and density.	Chemical form is also an important (and less obvious) parameter that have an impact on the subcriticality of a system	X		reference fuel .
37.	CAN003	1.03, 2.2, 2.8, 2.11, 3.15	Editorial: [] nuclide composition, density, mass, concentration, moderation, geometry, neutron absorption, neutron reflection or neutron interaction []	The lists of parameters are not consistent through the doc (between 1.3, 2.2, 2.8, 2.11, 3.15), even if these lists are introduced by "such as", it's better to be consistent and to try to keep the same order and designation.	X		
38.	FRA004	1.03, 2.2, 2.8, 2.11, 3.15	[] nuclide composition, density, mass, concentration, moderation, geometry, neutron absorption, neutron reflection or neutron interaction []	The lists of parameters are not consistent through the doc (between 1.3, 2.2, 2.8, 2.11, 3.15), even if these lists are introduced by "such as", it's better to be consistent and to try to keep the same order and designation.	X		

39.	BE001	1.03, 2.2., 2.8	<ul> <li>1.3 The subcriticality of a system depends on many parameters relating to the fissile material, including its mass, nuclide composition, geometry, volume, temperature and density. Subcriticality is also affected by the presence of other materials such as neutron moderators, absorbers and reflectors and dynamic effects (in particular for fluids).</li> <li>2.2 Subcriticality is generally ensured through the control of a limited set of macroscopic parameters such as mass, concentration, moderation, geometry, nuclide composition, enrichment, temperature, density, and neutron reflection, interaction or absorption and control of dynamic effects (in particular for fluids).</li> <li>2.8 Safety criteria based on the critical value6 of one or more control parameters, such as mass, volume, concentration, geometry, moderation, reflection, interaction, nuclide composition, temperature and, density and control of dynamic effects (in particular for fluids).</li> <li>2.11 These parameters include mass, density, concentration and nuclide composition, as well as the geometry, neutron moderation or reflection of the system, and the restrict shows of the system, and the restrict of the system, and the restrict of the system.</li> </ul>	Temperature plays a fundamental role in criticality. For 2.11, temperature is mentioned but seems to concern only the 'flow'. Dynamic effects in liquid for example should also be taken into account (see for instance Cecil Kelley criticality accident).	X		
			neutron absorption characteristics of the fissile material mixture and other				

		-					
			system materials, <u>liquid flow rates</u> and temperature.				
	THE OCC	1.02/					
40.	TUR002	1.03/ Line 3	Subcriticality is also affected by the presence of other materials such as neutron moderators, <b>neutron</b> absorbers and <b>neutron</b> reflectors.	In order to stress that absorbers and reflectors are meant to be for neutrons.	X		
41.	SWE03	1.04/1+2	1.4 In this safety guide, the phrase "nuclide composition" encompasses all the nuclides accounted for in a specific application. Nuclide compositions may be inferred by natural element specifications (e.g. light water, stainless steel SS304). "Isotopic composition" covers isotopic mass fractions or isotopic abundances of a specific element accounted for and covers terms such as "enrichment", "effective enrichment" and "plutonium vector".	Both nuclide and isotope are important specifications and have different meanings.		X	The proposed terms are both included in the current definition of "nuclide composition".
42.	FRA005	1.06	Ensuring, and demonstrating, subcriticality under normal	The objective of the SG includes the assessment, which has an aim of demonstration	X		

43.	FRA006	1.06	Ensuring subcriticality	Exchange the "Estimating"	Х		
			• Estimating	sentence and the "Minimizing"			
			· Minimizing	sentence because it seems more			
				difficult to minimizing something			
				you have not estimated yet.			
44.	FRA007	1.06	under normal and credible abnormal	To be consistent with the rest of	Х		
			conditions or conditions included in the	the document and the SSR-4			
			design basis				
45.	GER006	1.06	The objective of this Safety Guide is to	The criticality safety has to be		X	Credible abnormal
			provide guidance and recommendations	ensured in conditions included in			conditions are included
			on meeting the relevant requirements	the design basis as well - such			in the design basis
			for:	events may have the potential to			
			• Ensuring subcriticality under normal	reach criticality (see Para.2.3), but			
			and credible abnormal conditions or	according to the defence-in-depth			
			conditions included in the design basis;	concept, there should be measures			
			• Minimizing the consequences if a	to prevent this			
			criticality accident were to occur;				
			• Estimating the credible consequences				
			of a potential criticality accident.				
46.	CAN004	1.08	Technical:	Para 1.8 should explicitly note	Х		
				disposal, since it is explicitly			
			Revise language in the following way:	mentioned in para 1.2.			
			dealing with fissile material including				
			its processing, use, inspection, storage,				
			disposal and transport as well as the				
			management of radioactive waste				
			containing fissile material.				
47.	FRA008	1.08	except those that are intentionally	Are the loading / unloading	Χ		The answer is NO,
			designed to be critical, for example a	phases of the reactor included in			these are not included in
			reactor core in a nuclear reactor,	the exemption? If yes, we should			the exception.
				mention it in the text.			

48.	UK033	1.08	the handling (ie the receipt, inspection, storage, internal transport, processing) of fissile material	Defines the term "handling"		X	
49.	WNTI02	1.08	1.8 In this publication, 'handling of fissile material' refers to all activities dealing with fissile material including its processing, use, inspection, storage, and <u>on-site</u> transport as well as the management of radioactive waste containing fissile material.	On-site transport and off-site transport should be distinguished. All recommendations and guidance for off-site transport should be provided in SSR-6 and SSG-26. This document should focus on on-site transport because readers of it are regulators, operators and so on for facilities.	X		
50.	GER007	1.08 Line 3	In this publication, 'handling of fissile material' refers to all activities dealing with fissile material including its processing, use, inspection, storage, and transport, and disposal as well as the management of radioactive waste containing fissile material.	Also, in disposal the criticality safety needs to be considered. Suggestions: The same word sequence could be used in 1.2 and 1.8 for consistency.	X		

51.	TUR003	1.08/ Line 4	dealing with fissile material including its processing, use, inspection, storage, and transport as well as the	It is considered that the term "use" for fissile materials includes irradiation for most cases. Irradiation of fissile materials are mostly occur in critical state. This seems to contradict the state in Para 1.8/ Line 1&2 "This Safety Guide applies to all types of facilities and activities that involve handling of fissile material, except those that are intentionally designed to be critical".		X	There are facilities where nuclear material is irradiated and still under critical, for example R&D facilities.
52.	CAN005	1.09	Technical: Revise language in the following way: The recommendations provided in this Safety Guide cover criticality safety during normal conditions operation, and during credible abnormal conditions	Inconsistency of terminology, see also comment 2 on para 1.2 for related technical comment.	X		
53.	CAN006	1.09	Editorial: It also applies to the design and operational phases of waste disposal. This Safety Guide also provides recommendations on planning the response to a criticality accident	Add space between to and the "applies to the design"	X		
54.	EGY001	1.09 Line 4	It also applies to the design	Space should be left between to the design	Х		
55.	FRA009	1.09	This Safety Guide also provides recommendations on planning the response to a in case of a criticality accident	Suggestion	X		

56.	FRA010	1.09	It also applies to the design	Missing space	X			
57.	GER008	1.09	The recommendations provided in this Safety Guide cover criticality safety during normal operation and during credible abnormal conditions <u>or</u> <u>conditions included in the design basis</u> , from initial design, through commissioning, operation, and decommissioning.	The criticality safety has to be ensured in conditions included in the design basis as well.		X		Credible abnormal conditions are part of the design basis
58.	IND001	1.09	Suggestion: Guidance on criticality hazard analysis and aspects such as quantification of occupational exposure and radiological impact on public and the environment of a potential criticality accident be included in the safety guide.	General suggestion			X	The scope of amendment has been approved in the DPP.
59.	RUS002	1.09	It also applies to the design and operational phases of waste disposal.	Misprints/edits	X			
60.	UK004	1.09	It also applies to the design	Need to include a space between "to" and "the"	X			
61.	UK005	1.09	operational phases of waste disposal (not including post-closure).	To make it clear that post closure situations are not considered as part of the operational phase of waste disposal		X		Post-closure is included in Section 5. The text in 1.9 was modified to capture this.
62.	FRA011	1.09 and 1.10	<u>emergency</u> response to	Include "emergency" term because this term is used in the document.	Х			
63.	GER009	1.09 Line 4	It also applies to the design and operational phases of waste disposal <u>facilities</u> .	The design and operational phases refer to a disposal facility and not to the emplacement process (disposal).	X			

64.	TUR004	1.09/ Line 4	It also applies to the design	Minor correction for the sentence. (should put a space between to and the)	X		
65.	TUR005	1.09/ Line 4	and operational phases of waste disposal of radioactive waste containing fissile material.	The scope of this document is the waste that contains fissile materials.	Х		
66.	CAN007	1.10	Technical:	There are 2 proposed changes:	Х		
			Revise language in the following way:	1. Replacement of "estimating credible fission chain scenario" by			
			The recommendations provided in this Safety Guide encompass: approaches to and oritoria for anyuring subariticality.	more accurate "identification of credible abnormal conditions"			
			estimating credible fissile chain scenarios, identification of credible	2. Removal of "including". The current wording is confusing and			
			abnormal conditions: conducting criticality safety assessments; <del>, including</del>	technically inaccurate; it suggests that the validation of calculational methods is a part of criticality			
			specifying safety measures to ensure subcriticality; management aspects, and response to criticality accidents.	safety assessments, which is inaccurate.			
67.	FRA012	1.10	The recommendations provided in this Safety Guide encompass: approaches to and criteria for ensuring subcriticality; estimating credible fissile chain scenarios, identification of credible abnormal conditions; conducting criticality safety assessments; , including the validating of calculation methods; specifying safety measures to ensure subcriticality; management aspects, and response to criticality accidents	<ol> <li>"estimating credible fissile chain scenarios" is unclear, prefer using the terminology used elsewhere in the text</li> <li>"identification of credible abnormal conditions".</li> <li>the validation of calculation methods is not "included" in criticality safety assessments.</li> </ol>	X		

68.	USA009	1.10	<b>Technical:</b> Revise language in the following way (added text in RED): "The recommendations provided in this Safety Guide encompass: approaches to and criteria for ensuring subcriticality; identification of abnormal conditions and credible accident scenarios; conducting criticality safety assessments; the validation of calculational methods"	The current language is confusing and arguably technically inaccurate. Secondly, the current language suggests that the validation of calculational methods is a part of criticality safety assessments, which is inaccurate.	X		
69.	SWE04	1.10/2	fission chain reactions	"fissile chain" does not sound correct.	Х		
70.	IND002	1.10/3	"including the validation of calculation methods" to be replaced with "including the verification/ benchmarking /validation of calculation methods"	It is not always possible to carry out validation of calculation methods for every criticality safety evaluation for a facility. It can be simply referred to verification with simpler model, benchmarking, etc.	X		
71.	WNTI03	1.11	In cases where criticality safety is specifically addressed by regulations, for example, the <u>off-site</u> transport of fissile material in accordance with SSR-6 (Rev. 1) [6],	SSR-6 and SSG-26 address off- site transport and on-site transport is out of scope from those documents.	X		
72.	UK035	1.12	"for example, and handling of fresh fuel"	Storage of fuel should not be excluded from scope.	X		We agree, "handling" includes storage.
73.	EGY002	1.13 (line 12 of para 1.13)	and decommissioning , transport of fissile material , and research , experimental test and development laboratories.	Experimental test may be added.		X	This para does not list the types of facilities, therefore experimental tests and R&D labs are also included.
74.	FRA013	1.13	provides an introduction to the <del>processes</del> factors that affect criticality safety	The term process is more dedicated to operational aspects	X		

		4.4.9			**	1	1	
75.	FRA014	1.13	the process methodology by which the	The term process is more	X			
			criticality safety assessment should be	dedicated to operational aspects				
			carried out.					
76.	PAK001	1.13	It also provides an introduction to the	i. The safety criteria have been	Х			
			management system that should be in	replaced with subcritical				
			place, safety criteria subcritical limits	limit in the document.				
			and safety margins, and criteria for	However, in our opinion				
			determining exemptions to certain	safety criteria is broader				
				term than subcritical limit				
				and should be retained.				
				If it is necessary to replace the				
				term safety criteria with				
				subcritical limits, than same				
				terminology may be used				
				throughout the document as				
				highlighted with bold text.				
77.	IND003	1.13/12	Suggestion:	Suggestion to enhance the value			Х	The suggestion goes
		-14		of the document.				out of the scope of the
			Consideration may be given for					document
			inclusion of exemption limits and					
			parameter/nomograms for different					
			fissile materials in Anney					
			instite materials in 7 diffex.					
			Further providing a list of various					
			a net of various					
			computational tools and their brief					
			description along with merit/demerits					
			will be useful.					

78.	CAN008	2.01	Technical:	To make technical content and	X		
				terminology of the guidance			
			Revise language in the following way:	consistent with that used in			
			These safety measures should be identified, implemented, maintained and periodically reviewed to ensure that operations and activities stay within defined safety limits (see para 2.9) in	national and international standards on criticality safety adopted or recognized by the most member states.			
			operational states normal and credible abnormal conditions.	See comment 2 on para 1.2 for more details.			
79.	ENISS3	2.01	These safety measures should be identified, implemented, maintained and periodically reviewed to ensure that operations and activities stay within defined safety limits (see para. 2.9) in operational states and credible abnormal conditions (see para. 2.3).	See also Comments N°4, N°6, N°7	X		
80.	FRA015	2.01	These safety measures should be identified, implemented, maintained and periodically reviewed to ensure that operations and activities stay within defined safety limits (see para.2.9) in operational states normal and credible abnormal conditions.	Same comment as for para 1.2.	X		
81.	FRA016	2.01	i.e. based on actions and controls of operating personnel)	Controls are also part (and are important regarding criticality safety) of operating personnel activities	X		

82.	GER010	2.01	Safety measures, both engineered measures and administrative measures (i.e. based on actions of operating personnel), ensure that facilities are operated and activities are conducted within specified operational limits and conditions that ensure subcriticality. These safety measures should be identified, implemented, maintained and periodically reviewed to ensure that operations and activities stay within defined safety limits (see para. 2.9) in operational states and credible abnormal conditions <u>or conditions included in the</u> design basis.	The criticality safety has to be ensured in conditions included in the design basis as well.		X	See GER008
83.	BE002	2.02	To be added at the end of 2.2: Some other parameters like □eff (delayed neutron fraction) might play a role in the safety assessment, if dynamic effects may occur in particular for fluids in accidental conditions.	Focusing on keff might not be enough in particular in accidental conditions. One should envisage considering □eff, □eff	X		

84.	BE003	2.02	The effective neutron multiplication factor4 (keff) of a system + definition in the footnote To be added to the footnote: To be complete, one notes that keff, might be defined in a different way, for instance: through the concept of reactivity; as the "main" eigenvalue of a criticality eigenvalue problem; may be a static (time independent) or a dynamic quantity (time dependent)	There are alternative ways to define the effective neutron multiplication factor which may be more suitable for some systems (as subcritical assemblies and ADS). One notes for instance, the notion of reactivity $\Box$ , the definition through the mean generation time $\Box$ , and the mathematical definition as a concept coming from an eigenvalue problem. Note also that keff may be a static (time independent) or a dynamic quantity (time dependent) (see for example 2.25 <i>Criticality safety</i> <i>staff should be knowledgeable</i> <i>about the physics (both static and</i> <i>kinetic)</i> " This alternative definitions should be at least mentioned in the footnote or refer to dedicated references for completeness	X		
85.	BE004	2.02	which requires nuclear data such as cross-sections and in particular neutron fission cross-sections., capture cross- sections and scattering cross-sections for the materials of the system	There exist many "types" of cross-sections (differential cross- section, total cross-section) and all of them play an important role in the criticality assessment. We suggest not to enumerate them and to provide a general statement instead or to limit them to the use of "fission cross-sections".	X		

86.	BE005	2.02	Because of the large number of variables upon which the neutron multiplication factor depends, there are many examples of apparently 'anomalous' behaviour in changes seem counterintuitive. Nuclear data should only be used in full calculations of keff as attempts to estimate keff from trends in nuclear data can be misleading. Because the effective neutron multiplication factor depends on many different parameters, a 'reliable' assessment of the effective neutron multiplication factor may only be conducted if all these parameters are known with enough accuracy. The assessment of the effective neutron multiplication factor must take into account a proper uncertainty assessment.	This statement is misleading, in particular terms "'anomalous' behavior". A general statement should be envisaged instead. The adjective effective has been omitted also The correction about 'effective' has to be conducted through the whole document.	1.term effective neutron multiplication factor corrected 2.2.A reliable assessment of keff is not necessary to ensure subcriticality. Rather, as is common practice, keff can be largely overestimated via conservative assumptions (e.g., assumption of optimum concentration or spherical geometry, etc.), which accomplishes the goal of assuring subcriticality without accurately knowing
			assessment of the effective neutron multiplication factor must take into account a proper uncertainty assessment.		spherical geometry, etc.), which accomplishes the goal of assuring subcriticality without accurately knowing the true value of keff. The comment related to proper accounting of uncertainty has been incorporated into the accepted/modified text.

07		2.02		<b>F1 1 1 1</b>	37		
87.	FRA01/	2.02	Subcriticality is generally ensured	I his paragraph is not clear, in	Х		
			through the control of a limited set of	particular the link between the			
			macroscopic parameters such as mass,	macroscopic parameters and the			
			concentration, moderation, geometry,	cross sections. Simplification			
			nuclide composition, density, and	proposed.			
			neutron reflection, interaction or				
			absorption. The determination of these				
			limits is generally based on the effective				
			neutron multiplication factor4 (keff) of				
			the system, for which nuclear data are				
			required. The effective neutron				
			multiplication factor4 (keff) of a system				
			may be estimated on the basis of these				
			parameters for some systems. However,				
			those parameters are insufficient for an				
			accurate calculation, which requires				
			nuclear data such as neutron fission				
			cross sections, capture cross sections				
			and scattering cross-sections for the				
			materials of the system. Because of the				
			large number of variables upon which				
			the neutron multiplication factor				
			depends, there are many examples of				
			apparently 'anomalous' behaviour in				
			which changes seem counterintuitive.				
			Nuclear data should only be used in full				
			calculations of keff as attempts to				
1			estimate keff from trends in nuclear data				
			can be misleading.				

88.	FRA018	2.02	Subcriticality is generally ensured through the control of a limited set of macroscopic parameters such as mass, concentration, moderation, geometry, nuclide composition, enrichment, density, and neutron reflection, interaction or absorption. The associated limits of these parameters are mostly calculated through the effective neutron multiplication factor4 (keff) of a system End of §	To be more comprehensible, no need at this part of the guide to go further in details.		X	This comments contradicts comment No FRA017 which was accepted.
89.	GER011	2.02	Subcriticality is generally ensured through the control of a limited set of macroscopic parameters such as mass, concentration, moderation, geometry, nuclide composition, density, and neutron reflection <del>, interaction</del> or absorption.	Reflection and absorption are also interactions.		X	Existing text. Interaction here means interaction without absorption.
90.	SWE39	2.02 Subhea ding	SUBCRITICAL, SAFETY AND OPERATIONAL LIMITS, SAFETY MARGINS	The Guide should make it clear what these terms stand for		X	Some of the terms are defined elsewhere, some are obvious. This SG is not intended to be a textbook, it assumes certain knowledge and understanding of the concept.
91.	UK036	2.02	"behaviour in which changes seem counterintuitive."	Ambiguous. What behaviour and changes in what?	X		This is an existing approved text of the current SSG-27. No alternative proposal is in the comment.

92.	USA010	2.02	<b>Technical:</b> Revise language in the following way: "The effective neutron multiplication factor of a system may be estimated on the basis of these parameters for some systems. However, those parameters are insufficient for an accurate calculation, which requires nuclear data such as neutron fission cross-sections, capture cross-sections and scattering cross-sections for the materials of the system "	The statement was deleted because it is inaccurate. The values of parameters absolutely can be used to demonstrate subcriticality without performing an explicit calculation. For example, a system containing less than 500g U-235 does not require an explicit calculation to demonstrate subcriticality because it contains less than a critical mass	X		The whole paragraph was modified as a combination of several comments. The proposed suggestion for deletion is included.
93.	USA011	2.02	Editorial: Revise language in the following way (added text in RED): "Only Nnuclear data should only be used in full calculations of k_eff as attempts to estimate k_eff from trends in nuclear data can be misleading."	The current language suggests that nuclear data can only be used for limited situations when performing calculations; whereas, its intent is to state that only nuclear data should be used as other methods can be misleading.	X		The comment is not applicable as the language was modified following other comments.
94.	TUR006	2.02 line 2	Subcriticality is generally ensured through the control of a limited set of macroscopic parameters such as mass, concentration, moderation, geometry, nuclide composition, volume, density, and neutron reflection, interaction or absorption.	Volume should add.		X	The "volume" was removed from the list of parameters in the whole document, the correct parameter is "mass"
95.	GER012	2.02 Line 6	Because of the large number of variables upon which the neutron multiplication factor depends, there are many examples of apparently 'anomalous' behaviour in which changes seem counterintuitive."	We suggest deleting here. This sentence is vague, hard to interpret and does not provide any relevant information. What changes are meant? What is apparently 'anomalous' and why?		X	It is an existing text. We believe this is a useful warning and explanation why this topic is so complex.

96.	SWE38	2.02/2	nuclide composition, isotopic	Isotopic composition is an		X	See comment. SWE03
97.	CAN009	2.03	Technical: Revise language in the following way: 2.3 The assurance of subcriticality in accordance with Requirements 38 and 66 of SSR-4 [1] is an essential component of criticality safety. The operational states normal conditions in these requirements, that are referred to as credible abnormal conditions or conditions included in the design basis, include initiating events	<ol> <li>Inportant control</li> <li>To make technical content and terminology of the guidance consistent with that used in national and international standards on criticality safety adopted or recognized by the most member states, see comment on para 1.2 for more details.</li> <li>To eliminate unnecessary technical details, which cause confusion with respect to the main technical content of this guidance</li> <li>See comment 2 on para 1.2 for more details.</li> </ol>	X		

98.	ENISS4	2.03	The assurance of subcriticality in	See also Comments N°3, N°6.	Х		
			accordance with Requirements 38 and	N°7			
			66 of SSR-4 [1] is an essential				
			component of criticality safety. The	The SSR-4 does not described			
			operational states and conditions in these	explicitly which conditions are			
			requirements, that are referred to as	referred to as "credible			
			credible abnormal conditions or	abnormal".			
			conditions included in the design basis,	The standard ANSI/ANS 8.1-			
			include initiating events with the	2014 (R2018) details the			
			potential to cause criticality listed in the	interpretation of "credible			
			Appendix to SSR-4 [1]. The	abnormal conditions", in			
			determination of what constitutes a	reference with PA (Process			
			credible abnormal condition (outside	analysis) and DCP (Double			
			normal operation) should be based on	contingency Principle) and could			
			deterministic methods complemented by	be a helpful guidance for the user			
			probabilistic assessment where possible.	of DS516.			
			In the identification of abnormal events,				
			the facility design and the characteristics	Note: Several conditions referred			
			of the activity as well as operational	to as credible abnormal conditions			
			experience feedback should be	are included in the following			
			considered (see also Ref. [11] and [51]).	chapters namely : 3.10, 3.20, 3.33;			
				4.16, 4.17, 4.38; 5.31, 5.33, 5.36,			
				5.39,			
				<i>//</i> 111 1 1 1 1.			
				"credible abnormal conditions,			
				including numan error, internal			
				and external hazards, and loss or			
				failure of structures, systems and			
				in 82.10			
				III \$3.10. "aradible long term degeneration			
				and/or degradation of neutron			
				and/or degradation of neutron absorbers" in \$3.20			
				"credible abnormal conditions			
				(e.g. deviations from operating			
				(e.g. de viacions from operating			

procedures, credible alterations in	
process or system conditions" in	
§3.33	
"identify all credible initiating	
events, i.e. all incidents that could	
lead to credible abnormal	
conditions" in §4.16	
"credible abnormal conditions in	
accordance with the double	
contingency principle or the	
single failure approach (see paras.	
3.5–3.9)" in §4.17	
"credible abnormal conditions	
even in optimum neutron	
moderation" in §4.38	
"a set of credible abnormal	
conditions in which there is a	
potential for damage to fuel	
elements (e.g. leading to a loss of	
geometry control) or damage to	
other structures (e.g. leading to a	
loss of fixed absorbers)" in §5.31	
"all credible abnormal	
conditions. This includes the	
handling and storage of any	
degraded fuel (e.g. fuel with	
failed cladding) that has been	
stored in canisters. The potential	
for dispersion of fuel due to	
degradation of fuel cladding, or	
due to failures of fuel cladding or	
fuel assembly structures" in §5.33	
"credible abnormal conditions,	
such as a drop of a fuel assembly,	
" in §5.36	

				"credible abnormal conditions involving fuel movements (e.g. a flask being dropped onto the storage array)" in §5.39			
99.	FRA019	2.03	include initiating events with the potential to cause criticality that could lead to criticality conditions	Suggestion for a clearer understanding: not only the accident should be prevented by the conditions where an accident could occur	X		
100.	FRA020	2.03	The assurance of subcriticality in accordance with Requirements 38 and 66 of SSR-4 [1] is an essential component of criticality safety. The operational states normal conditions and conditions in these requirements, that are referred to as credible abnormal conditions or conditions included in the design basis, include initiating events	Same comment as for para 1.2.+ no need to introduce a new kind of "conditions" not used elsewhere in this text: "normal and credible abnormal" is sufficient.	X		

						1	1	
101.	GER013	2.03	The assurance of subcriticality in	A description of how to determine	Х			
			accordance with Requirements 38 and	of what constitutes design basis				
			66 of SSR-4 [1] is an essential	accidents is missing.				
			component of criticality safety. The					
			operational states and accident	Also, the terminology should be				
			conditions in these requirements, that	aligned with the IAEA Safety				
			are referred to as credible abnormal	Glossary.				
			conditions or conditions included in the					
			design basis, include initiating events					
			with the potential to cause criticality					
			listed in the Appendix to SSR-4 [1]. The					
			determination of what constitutes a					
			credible abnormal condition an					
			anticipated operational occurrence or					
			design basis accident (outside beyond					
			normal operation) should be based on					
			deterministic methods complemented by					
			probabilistic assessment where possible.					
			In the identification of abnormal events					
			anticipated operational occurrences and					
			design basis accidents, the facility					
			design and the characteristics of the					
			activity as well as operational					
			experience feedback and the frequency					
			of the events should be considered (see					
			also Ref. [11]).					
102.	UK037	2.03	"complemented by probabilistic	Requiring a probabilistic	X			
			assessment where practicable"	assessment "where possible" is				
				too strong. Adequate fault				
				analysis is possible using purely				
				deterministic methods. This				
				requirement could lead to				
				unnecessarily high costs for				
				criticality assessments for little				
				safety benefit.				

103.	FRA021	2.04	For high hazard facilities, the principles	SSR-4 defines facilities where	Х		
			of SSG-30 should be used.	safety assessment is not necessary			
				and others, where criticality safety			
				shall be ensured by means of			
				preventive measures (). The			
				notion of high-hazard facilities is			
				defined nowhere. Using the guide			
				for Nuclear Power Plants is not to			
				be considered.			
104.	FRA022	2.04	For high-hazard facilities, the principles	SSG-30 is never defined and is for	Х		
			of SSG-30 should be used.	Nuclear Power Plant. In addition,			
				the sentence is not clear: what is a			
				high hazard facilities? Then,			
				applying principles of a document			
				could be ambiguous.			
105.	CAN010	2.05	Editorial:	Para 2.5 uses the term "criticality	Х		
				control personnel", there are			
			A graded approach should be applied	multiple other related terms used			
			to the scope and depth of the criticality	in the document from "criticality			
			safety assessment, the methods and	safety specialist" to "criticality			
			enveloping criticality events within the	staff?			
			safety analysis, to the complexity of	stall .			
			criticality detection and alarm systems,	Use term "criticality safety staff".			
			to the level of	as per para 9.23 of SSR-4.			
			training and qualification of criticality				
			safety staff control personnel, to				
			emergency preparedness and response,				
			and to administrative criticality control				
			measures. Facility specific attributes that				
			are required to be taken into account in				
			the application of a graded approach are				
			listed in para 6.29 of SSR-4 [1].				

106.	FRA023	2.05	to the complexity of criticality	The part of this sentence is not	Х		Replaced with "design
			detection and alarm systems	clear: what is "the complexity"?			of"
				Please clarify or remove this part			
				of the sentence			
107.	UK030	2.05	A graded approach is	Correction of typographical error	X		
108.	USA006	2.05	A revision to the first sentence is	Use of the phrase "is suggested"	Х		
			suggested, as follows: "A graded	or "is recommended" Using "is			
			approach is suggested to be used in	required" may be the wrong			
			developing and implementing the	phrase given that the information			
			approach to ensuring criticality safety of	is in a guidance document.			
			facilities or activities that involve				
			handling of fissile material (see	A graded approach may be used			
			Requirement 11 of SSR-4 [1])."	but is not required. For example,			
				worst-case can be considered as a			
				bounding assumption for all			
				accident sequences and failure			
				modes.			
				The referenced Requirement 11			
				from SSR-4 does not explicitly			
				state that a graded approach is			
				required. Rather, it states that the			
				use of a graded approach shall be			
				commensurate with the potential			
				risk, so this statement is in the			
				SSG-27 is inaccurate.			

109.	USA013	2.05	Technical: States, "A graded approach is required" Suggest change "is required" to "may be used"	A graded approach may be used but is not required. For example, worst-case can be considered as a bounding assumption for all accident sequences and failure modes. The referenced Requirement 11 from SSR-4 does not explicitly state that a graded approach is required. Rather, it states that the use of a graded approach shall be commensurate with the potential risk, so this statement is in the SSG-27 is inaccurate.		X		Replaced with "should be used"
110.	BE006	2.06	" <del>potential</del> risk"	Pleonastic expression, risk should be simply used instead			X	"potential risk" is adopted wording from SSR-4, requirement 11
111.	TUR007	2.06 line 4-7	The training programme on criticality safety should include the relevant aspects of nuclear security and accounting for control of nuclear material. Similarly, security staff and staff responsible for accounting for control of nuclear material should receive at least basic training on criticality safety.	Grammar mistake.	X			
112.	FRA024	2.07	Feedback from of operational experience (x2)	To be consistent with the formulation of requirement 73 of SSR-4	X			
113.	FRA025	2.08	Subcritical limits should be derived on the basis of one or both of the following two types of criteria	Suggestion for a clearer understanding	X			
114.	FRA026	2.08	Safety criteria based on the critical value6 of one or more macroscopic control parameters, such as mass, volume, concentration, geometry, moderation, reflection, interaction, nuclide composition and density, <del>and</del> with account taken of neutron production, leakage, scattering and absorption	In terms of macroscopic control parameters, for a clearer understanding, there is no need to add neutron physics aspects		X	"macroscopis added", the rest was changed following other comments	
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115.	SWE40	2.08	2.8. Consideration should be given to bias and uncertainty in the calculation of keff and/or other control parameters before applying the results. The relationship between keff and other parameters may be significantly non- linear.	Moved and modified from 2.9. This applies to all calculations used for safety. Bias is important.		X	The para was modified in combination with other comments as well.	
116.	BE008	2.08, 2.9	Sentence from 2.8 continues in 2.9	editorial	Х			
117.	BE009	2.08, 2.9	2.9 Alternatively, consideration should be given to uncertainty in the calculation of other control parameters when applying safety margins to their corresponding critical values.	editorial	X			

118.	BE007	2.08,	Subcriticality implies a value of keff of	As indicated in 1.3 and 2.2,		Х	We agree that keff
		3.15,	less than one and/or of a control	assessment of criticality depends			must be less than 1 in all
		3.16	parameter whose value	on many parameters. It is			possible situations,
			2.9 corresponds to a keff of less than	therefore difficult to relate a value			however this is not the
			one. "in all possible situations"	of keff to a single control			right place to state this.
			(especially accidental conditions).	parameter The use of 'or'			This fact is clearly
			3.15 The subcriticality of a system	implies that it must be			stated at several other
			should be demonstrated by calculating	demonstrated that the control			places, this provision
			keff and/or should be controlled by	parameter is restrictive enough			instead gived an
			limiting one or more parameters "in all	not to lead to criticality in all			"implicit" definition of
			possible situations" (especially	possible situations. "in all			ensuring subcriticality.
			accidental conditions).	possible situations" must be			The comment is
			3.16 The control parameter limitations	added.			appropriate when
			set out above can be evaluated either by	2.9, 3.15 and 3.16 must be			discussing process
			multiplying the critical parameter value	consistent.			analysis/assurance of
			determined for all the system's	Expression underlined in 3.16			subcriticality, but is not
			<del>particular</del>	must be revised.			appropriate when
			conditions (especially accidental				defining what
			conditions) by a safety factor, or by				"subcriticality" is.
			calculating the value of the parameter				
			that is guarantees subcritical.				Furthermore, the
							comment asserts that a
							single criticality safety
							parameter cannot be
							used to assure
							subcritical. This is
							incorrect as it is
							perfectly acceptable to
							control a single
							parameter to assure
							subcriticality. In fact,
							this is the very idea
							behind the concept of
							"single parameter
							limits," which is the

							value of a single parameter (with all other parameters optimized) to control subcriticality. A simple example is limiting U-235 below 500grams. This example is controlling mass only, but is acceptable and effective at ensuring subcriticality.
119.	TUR008	2.08/	geometry, <b>neutron</b> moderation,	Similar reason as Comment No:2	Х		
		Line 5	<b>neutron</b> reflection, <b>neutron</b> interaction, nuclide composition				
120.	UK038	2.08/2.		Paragraph break in the wrong	X		It looks like that in
		9		place (middle of a sentence).			track changes mode
							only.

121.	IND005	2.08/7- 9	[Current text]: Safety margins should be applied to determine the safety limits. Subcriticality implies a value of <i>k</i> eff of less than one and/or of a control parameter whose value [Proposed Text]: Safety margins should be applied to	Sentence incomplete and inconclusive.		X	The text was revised following also other comments.
			determine the safety limits. Subcriticality implies a value of <i>k</i> eff of less than one and/or of a control parameter whose value 'below' its critical value. In this context, 'below' is used in the sense that the control parameter remains on the safe side of the critical value.				
122.	BE010	2.09	This should include for example, the possibility of any calculation method for bias, and bias uncertainty, and sensitivity analyses the sensitivity with respect to changes in the control parameter or keff with values of the other parameters.	This statement should be revised.		Х	See the revised text
123.	CAN011	2.09	Editorial/Typo: Remove the number 2.9 (identifier of the para) from the middle of the sentence. Correct subsequent numbering.	It appears that para 2.9 identifier is in the middle of the sentence.	X		

124.	FIN003	2.09	/1SSR-4 requires use of conservative margins for <u>design</u> safety	SSR-4 states (6.21): The <u>design</u> of nuclear fuel cycle facility: b) Shall use conservative margins	X		
				Paragraph 6.56. uses wording "conservative design criteria" and "reasonable margin"			
125.	FRA027	2.09	Consideration should be given to uncertainty in the calculation of keff when applying safety margins to keff, with considerations to the conservatism of the calculation models assumptions	Calculation model assumptions are, in most cases, very conservative ; that can give also important margins that could be taken into account.		X	The comment is not applicable any more as this part of the sentenced has been replaced following other comments.
126.	FRA028	2.09	Move this back to 2.8.	Wording mistake	Х		It looks like a mistake only in track changes view mode.

127.	GER014	2.09	Safety margins should be applied to	Determining safety limits, i.e. the	Х		
			determine the safety limits. Acceptance	criteria that ensure subcriticality,			
			criteria should be defined, and it should	with a fixed desired margin (i.e.			
			be demonstrated that those acceptance	establishing acceptance criteria)			
			criteria are not exceeded. The upper	should not be mixed with			
			bound of the uncertainty and sensitivity	uncertainties in the calculation of			
			analysis of keff-calculations should not	k <sub>eff</sub> .			
			exceed those acceptance criteria.				
			Subcriticality implies a value of k <sub>eff</sub> of	The upper bound of the			
			less than one and/or of a control	uncertainties in the results of k <sub>eff</sub> -			
			parameter whose value corresponds to a	calculations that is considered as			
			k <sub>eff</sub> of less than one. SSR-4 requires use	to be covered should not exceed			
			of conservative margins for safety (see	the safety limit value.			
			Requirement 17 and paragraphs 6.21,				
			6.56 and 6.57). Consideration should be				
			given to uncertainty in the calculation of				
			k <sub>eff</sub> when applying safety margins to k <sub>eff</sub> .				
			Alternatively, consideration should be				
			given to uncertainty in the calculation of				
			other control parameters when applying				
			safety margins to their critical values.				
			This should include the possibility of				
			any calculation method bias, and bias				
			uncertainty, and the sensitivity with				
			respect to changes in the control				
			parameter or k <sub>eff</sub> with values of the other				
			parameters. The relationship between				
			keff and other parameters may be				
			significantly non-linear.				

128.	SWE41	2.09	2.9 Subcritical limits apply to the	Subcritical limits relate to		Х	The introductory
			method for subcriticality determination,	physics/methods, their biases and			sentence not adopted,
			safety limits should add safety margins	uncertainties together with an			overlaps with SSR-4.
			while operational limits include safety	arbitrary margin to specify			-
			limits and may add other margins (SSR-	subcriticality, not to safety			
			4 [1] Requirement 57 para. 9.27).	criteria.			
			Subcritical limits should be derived on				
			the basis of one or both of the following	The subcritical limit could thus be			
			two types of data:	either a set of control parameter			
			51	values representative if the system			
			$-$ the subcritical value of $k_{eff}$ for the	under analysis that gives a			
			system under analysis:	specific subcritical keff or the			
				specifications of one or more			
			a set of one or more control parameters	control parameter values that			
			whose values, individually or in	result in subcriticality alone or in			
			combination, for the system under	combination. There is often more			
			analysis correspond to a $k_{\text{eff}}$ of less than	than one control parameter e g			
			one Examples of such control	moderation control with mass or			
			parameters are mass volume	geometry as a backup			
			concentration geometry moderation	geometry as a backup.			
			reflection interaction nuclide				
			composition and density isotopic				
			fractions for specific elements and with				
			nactions for specific elements and with				
			lookage southering and absorption				
120	TUDOOO	2.00		There should be no new pers. In	v		
129.	10K009	2.09	<del>2.7</del>	this line, it is used in the middle	Λ		
				of a contance. Also the numbering			
				of a sentence. Also the numbering			
120	DE011	2.10	The operational limits and conditions	Why? This statement probably		v	The text was modified
130.	DEUII	2.10	the operational mints and conditions	winy: This statement probably		Λ	following other
			chosen for the facility of activity should	inplies that measurement of Keff			ionowing other
			be capable of being monitored and	is less reliable which is probably			comments.
			controlled, and it possible should not be	wrong in general.			
			derived parameters such as keff.				

131.	CAN012	2.10	Editorial: "The operational limits and conditions chosen for the facility or activity should be capable suitable of being monitored and controlled,	The limits and conditions are just numbers, which cannot be "capable"; they can be suitable, appropriate.	X		
132.	CAN013	2.10	Technical: [] and if possible should not be derived parameters such as k <sub>eff</sub>	Operational limits should not be derived parameters such as k-eff since it is not a parameter that can be easily calculated and verified during operations unless you are in a critical experimental facility where your experiment is meant to be based on calculating/estimating k-eff, but in such a case the experiment is outside of the SSG-27 scope.	X		
133.	CAN014	2.10	Editorial: such as k <sub>eff</sub> Sufficient a	There should be a period before word "Sufficient".	Х		
134.	FRA029	2.10	The operational limits and conditions chosen to be lower or equal to the safety limits for the facility or activity should be capable of being	To be explicit and express that more margins can be taken, not only due to calculation methods	Х		
135.	FRA030	2.10	should be capable of being monitored and controlled, and if possible should not be derived parameters such as keff	This sentence is not clear	Х		

136.	FRA031	2.10	and if possible should not be derived parameters such as keff	k-eff can't be an operational limit since it is not a parameter that can be easily calculated and verified during operations (except for a subcritical experimental facility where your experiment is meant to be based on calculating /estimating k-eff, i.e. unless for §5.99).	X		
137.	FRA032	2.10	"The operational limits and conditions chosen for the facility or activity should be capable of being monitored and controlled <del>, and if possible should not be</del> derived parameters such as keff."	The second part of the sentence is ambiguous. Up to now, we are not capable to monitor and control a keff out of a core.	X		
138.	GER015	2.10	The operational limits and conditions chosen specified for the facility or activity should be capable of being monitored and controlled, and if possible should not be derived parameters such as $k_{eff.}$	OLC are not chosen but rather specified in the design stage of a facility, confirmed in the commissioning stage and established before operations commence (compare e.g. Requirement 18 of SSR-4)	X		
139.	RUS003	2.10	The operational limits and conditions chosen for the facility or activity should be capable of being monitored and controlled, and if possible, should not be derived parameters such as keff. Sufficient and	Misprints/edits		X	
140.	UK039	2.10	"keff. Sufficient"	Missing full stop.	X		
141.	FRA033	2.11	Reverse 2.11 and 2.10	Suggestion for a clearer understanding	Х		

142.	FRA034	2.11	The parameters quoted in limits and conditions or restrictions should be expressed in terms that can be readily be understood, such as enrichment, packaging rules and moisture or hydrogen moderator material limit or restriction.	Hydrogen limit is precisely not a term that can readily be understood.	X			
143.	TUR010	2.11 Line 6	These parameters include mass, volume, density, concentration and nuclide composition, as well as the geometry, neutron moderation or reflection of the system, and the neutron absorption characteristics of the fissile material mixture and other system materials, liquid flow rates and temperature.	Volume should add.			X	The "volume" was removed from the list of parameters in the whole document, the correct parameter is "mass"
144.	UK040	2.11,	"Where practicable, design features are required to be put in place to effectively prevent criticality being achieved"	It is not always possible to prevent criticality solely by engineered means. These are obviously better, but this must allow for situations where operational controls are also necessary.		X		This part of the sentence was deleted as redundant to requirement 38 of SSR- 4.
145.	SWE44	2.11/2+ 3	requires that prevention of subcriticality of the design is to be demonstrated in a subcriticality-safety assessment if not	The subcriticality demonstration involves the design and not operations.	X			

146.	SWE42	2.11/3	subcriticality-safety assessment,	Important: If subcriticality is		Х	The assurance of
		(*2)	for criticality safety or subcriticality,	assessed as being acceptably			subcriticality (under all
		2.11/4	subcriticality safety in design,	maintained, it does not necessarily			normal and credible
		3.1/4	conflicts with maintaining subcriticality	mean that criticality safety is			abnormal conditions) is
		3.3 at	relevant to maintaining subcriticality	acceptable.			part of criticality safety.
		the end	ensuring subcriticality-safety				
		3.10/3	in the subcriticality assessment	The listed texts cover			The terms
		3.17/3	Subcriticality safety-assessments	subcriticality only. Long			"subcriticality
		3.17/9	ensure subcriticality-safety	expressions can sometimes be			assurance" and
		3.19/3+	in the subcriticality safety assessment	made shorter or be altogether			"criticality safety" are
		23/7	important into maintaining subcriticality	removed without losing clarity.			not interchangeable.
		3.24/3	in the subcriticality safety assessment				
		3.25/4+	SUBCRITICALITY ASSESSMENT	It is sometimes not obvious			The term
		26/2	Subcriticality safety assessments	whether the intention is limited to			"subcriticality safety" is
		3.30/3	subcriticality safety assessment	subcriticality assessment or to a			non-sensical.
		3.32/2	subcriticality safety assessment	wider criticality safety			
		4.	PERFORMANCE OF A	assessment.			Terms "criticality
		Heading	SUBCRITICALITY ASSESSMENT				safety" and "criticality
		4.1/1	subcriticality safety assessment	Sections 4 appears to be purely			safety assessment" are
		4.2/1	subcriticality safety assessment	subcriticality. Section 5, as well,			terms used in SSR-4 and
		4.3/6	subcriticality safety assessment	except for references to guides for			in line with common
		Subhead	subcriticality safety assessment	various facilities and activities:			industry terminology.
		ing	subcriticality safety analysis	SSG-5 (enrichment), SSG-6 (fuel			
		U U	subcriticality safety assessment	fabrication, SSG-7 (MOX), NS-			
		4.4/1+2	subcriticality safety assessment	G-2.5 (NPP), NS-G-4.3 (RRs),			
		4.5/1	subcriticality safety assessment	SSG-15 (Fuel Storage), SSG-42			
		4.5/3	subcriticality safety assessment	(reprocessing), SSG-43 (R&D),			
		4.6/1	subcriticality safety assessment	SSG-26 (transport). They cover			
		4.6/1+4	subcriticality safety assessment	criticality safety beyond			
		4.8/1	subcriticality safety assessment	subcriticality to some degree.			
		4.9/1	subcriticality safety assessment				
		4.9(c)/1	subcriticality <del>safety</del> assessment				
		4.9(f)/1	subcriticality safety assessment				
		4.10/1	subcriticality safety assessment				
			subcriticality safety assessment				

۷	4.11/2,5,	subcriticality safety analysis	5.3 is exception, should be		
9	9,10	subcriticality safety analysis	criticality safety.		
4	4.14/2	subcriticality-criticality-safety	Criticality safety is retained when		
4	4.15/1	assessment,	any doubt exists.		
S	Subhead	eriticality safety subcriticality			
i	ing	assessment			
4	4.16/1+(	eriticality safety subcriticality control			
t	b)/1	eriticality safety subcriticality analysis			
4	4.17/1+	criticality safety subcriticality			
2	2+5	assessment			
2	4.18/1	eriticality safety subcriticality			
4	4.22/1	assessment			
4	4.24/2	eriticality safety subcriticality measure	Fresh fuel is not conservative for		
	5.3/2	eriticality safety subcriticality	criticality safety (no FPs)		
4	5.16/1	assessment			
4	5.16(b)/	eriticality safety subcriticality measures	Another exception		
1	1	eriticality safety subcriticality			
4	5.16(e)/	assessment			
2	2,3,6	eriticality safety subcriticality			
4	5.25/2,5.	assessment			
	33/6	criticality safety subcriticality measures			
4	5.35/1	criticality safety subcriticality			
4	5.35/4	assessments			
4	5.37/1	eriticality safety subcriticality			
4	5.38/8	assessments			
4	5.40/4	ensuring subcriticality-criticality-safety			
4	5.41/3	eriticality safety subcriticality			
4	5.41/4	assessment			
4	5.43/1	eriticality safety subcriticality			
4	5.46/2	assessment			
4	5.48/2+	criticality safety subcriticality			
e	6+7	assessment			
4	5.49/5+	criticality safety subcriticality			
7	7	assessment			
4	5.56/3*2				

5.57/1	criticality safety subcriticality			
5.58/3	assessment			
5.61/1	criticality safety subcriticality			
5.61/2	maintenance			
5.62/2	criticality safety subcriticality			
5.67/2	assessment			
5.69/6	criticality safety subcriticality			
5.70/6	assessment			
5.74/1	criticality safety subcriticality			
5.74/2	assessment			
5.77/1	eriticality safety subcriticality			
5.77/4+(	assessment			
d)/1	eriticality safety subcriticality			
5.78/2+	assessment			
9	criticality safety subcriticality			
5.82/10	maintenance			
5.85/2	criticality safety subcriticality measures			
5.91/1	criticality safety subcriticality			
5.94/1+	assessment			
3*2	criticality safety subcriticality			
5.95/4	maintenance			
	criticality safety subcriticality			
	assessment			
	criticality safety subcriticality			
	assessment			
	criticality safety subcriticality			
	assessment			
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	assessment			

147.	SWE45	2.11/5	reactivity fission chain reaction	Reactivity is not useful here.		X	The whole para was modified following other comments.
148.	SWE46	2.11/6	nuclide composition, isotope fractions of specific elements,	The addition of isotope fraction makes sense here.		Х	See the comment SWE03
149.	AUS001	2.12	Please add the following sentence at the end of the paragraph: <i>Application of the</i> <i>provisions of these paras are explained</i> <i>in TECDOC 1768.</i>	This TECDOC provides clear explanation of the application of the provisions of these paras related to fissile material		X	Reference added to Annex – List of Relevant literature. TECDOCs should not be referenced in IAEA Safety Standards
150.	FRA035	2.12	A useful starting point is the exception criteria applied to the classification of transport packages containing fissile material in para. 417 (a)(b)(e)	These might help to be clearer from a "facility" and not from a "transportation"point of view.	X		
151.	JPN015	2.12	2.12 A useful starting point it the exception criteria applied to the classification of transport packaged containing <u>fissile nuclide in para. 222 or</u> fissile material in para. 417 <del>(in conjunction with paras, 423(f) and 424(d))</del> of SSR-6 (Rev.1) [6].	Para. 417 is applicable to all types of package, not only to UN2911 and UN2910 (e.g. to UN2908, UN2915, UN2916, UN2917,).		X	Modified in combination with other comments.
152.	SWE47	2.12	417 (in conjunction with para. 570 <del>paras.</del> 423(f) and 424(d)) of SSR-6 (Rev. 1) [6].	The consignment limits in para. 570 are needed. Paras 423 and 424 are not relevant.	Х		
153.	WNTI04	2.12	2.12 A useful starting point is the exception criteria applied to the classification of transport packages containing fissile material in para. 417 (in conjunction with paras. 423(f) and 424(d) 570) of SSR-6 (Rev. 1) [6].	Para.417 is related to not only paras. 423(f) and 424(d). Para. 570 is closely related to para. 417 and more important for readers.	X		

154.	GER016	2.12 Line 2	Exemption criteria, <u>if not specified</u> <u>by the regulatory body</u> , should be developed by the operating organization, reviewed by the management of this organization, and then agreed with the regulatory body <del>, as appropriate</del> .	Under "Prevention" in Requirement 38 of SSR-4 it is stated: "it meets exemption criteria specified by, or agreed with, the regulatory body,"		X		'As appropriate' left to respect different regulatory arrangements
155.	VIE01	2.12/ 15 <sup>th</sup> line of page 10	Exemption criteria should be developed by the operating organization, reviewed by the management of this organization, and then agreed by the regulatory body, as appropriate	Exemption criteria developed by the operating organization must be agreed by the regulatory body but not agreed with the regulatory body	X			
156.	TUR011	2.12/ Line 3	the management of this organization, and then	Minor correction for the sentence. (oxford comma seems to be unnecessarily used)	X			
157.	IND006	2.12/1	Suggestion: It may be useful to include an Annexure on typical exemption values for different fissile materials/ different physical/chemical forms	For value addition.			X	This is out of the scope of the approved DPP.
158.	FRA036	2.13	no specific safety measures are necessary to ensure subcriticality accordance with IAEA Safety Requirements (SSR-4).	Is it in SSR-4 ?	X			
159.	FRA037	2.13	so far below <u>minimum</u> critical values	Add "minimum" because you should have very important critical values if you also control other parameters like moderation or geometry.	X			
160.	AUS002	2.15	Please add the following sentence at the end of the paragraph: <i>IAEA TECDOC 1768 provides</i> <i>explanation on exemption related to</i> <i>fissile material.</i>	"ditto"		X		Reference added to Annex – List of Relevant literature. TECDOCs should not be referenced in IAEA Safety Standards

161.	TUR012	2.15 Line 2	MANAGEMENT SYSTEM	Beginning of a first sentence or a paragraph should be para number in headline" MANAGEMENT SYSTEM"(as see below comment number 5)	X		
162.	TUR013	2.15 Line 1	2.16 A documented management system that integrates the safety, health, environmental, security, quality, human -and-organizational-factors of the operating organization is required to be in place and implemented with adequate resources, in accordance with Requirement 4 of SSR-4 [1]. As part of the integrated management system early in the	Sentence should be completed.	X		
163.	CAN015	2.16	Editorial: Remove para number from the middle of the sentence	It appears that para 2.16 identifier is in the middle of the sentence.	X		
164.	FRA038	2.16	Move this back to 2.8.	Wording mistake	X		It looks like a mistake only in track changes view mode.
165.	UK041	2.17		Extra full stop after "[3]".	X		

166.	IND004	2.2/3-6	[Current text]:	Macroscopic parameter alone is	Х	The text was revised
				not sufficient to estimate precisely		following also other
			The effective neutron multiplication	effective neutron multiplication		comments.
			factor <sup>4</sup> (keff) of a system may be estimated on the basis of values of these parameters for some systems. However, those parameters are insufficient for an accurate calculation, which requires nuclear data such as neutron fission cross- sections, capture cross-sections and scattering cross-sections for the materials of the system.	factor (k <sub>eff</sub> ), they can ensure subcriticality of the system with a single parameter control.		
			[Proposed Text]:			
			A description of the effective neutron multiplication factor ( $k_{eff}$ ) of a system on the basis of values of these parameters alone is incomplete, and an accurate calculation would require the use of microscopic parameters such as neutron fission cross-sections, capture cross-sections and scattering cross-sections for the system.			

167.	UK020	2.21	There should be a nominated person who is responsible and accountable for criticality safety, including, as appropriate: developing and documenting all aspects of criticality safety assessment, monitoring the performance of activities and processes, ensuring that all staff are adequately trained, and ensuring the existence of a system for keeping records that ensures control of performance and verification of activities that are important to criticality safety. The record keeping system should provide for the identification, approval, review, filing, retrieval, and disposal of records.	Clearer use of English. The term "as appropriate" has been added because some organizations handle fissile material in small quantities and occasionally.	X		
168.	UK042	2.21		It may not be possible to allocate all of these responsibilities to a single person (lower than Chief Executive!). At EDF, responsibility for operational criticality safety and for criticality safety assessments lies in different divisions of the company.	X		
169.	FIN004	2.23	/1The operating organization is required to ensure that criticality safety assessments and analysis are conducted <b>and</b> documented, and that criticality safety is periodically reviewed.	SSR-4 Requirement 5 does not state that the criticality safety assessments be periodically reviewed, nor does this make much sense. Instead, it may make sense to periodically review criticality safety of the facility. Too many 'ands', consider dividing the sentence.	X		

170.	UK021	2.23	Checks should be carried out by the personnel who performed the safety assessments to confirm that data and implementation are correct. Also audits should be carried out by personnel who are independent of those that performed the safety assessments or conducted the criticality safety activities.	Encourages checking of assessment data and assumptions.	X		
171.	USA002	2.24 line 10	Replace the word 'personnel' with 'personal'.	Wrong word used.	Х		
172.	GER017	2.24 Bullet 1	- Determining the required competence of criticality <u>safety</u> staff and providing training, as necessary;	Clarification	Х		
173.	UK022	2.25	The responsibilities, knowledge and training for ensuring criticality safety ("competence") should be clearly specified by the operating organisation. The staff having these responsibilities should be formally appointed by the operating organisation	The revised text clarifies expectations.	X		
174.	CAN016	2.28	Technical: Revise language in the following way: carried out in a safe manner should be identified, provided and maintained. Calculation tools (e.g. computer codes) that are used for criticality safety assessment should be identified, verified and validated.	It is important to have both verification of the computer code installation and validation of the code for the intended application. The verification portion is missing here. It is sort of covered in the next sentence, but it is not clear.	X		

175.	USA012	2.28	Technical: Change "that are used for	Verification is also a must.			
			criticality safety assessment should be		Х		
			identified and validated" to				
			"identified, verified, and validated."				
176.	VIE02	2.28/	Calculation tools (e.g. computer codes)	It is similar to safety of NPPs and	Х		
		2 <sup>nd</sup> line	that are used for criticality safety	research reactors that calculation			
		of page	assessment should be identified. These	tools used in safety analysis are			
		14	calculation tools should be verified and	requested to be verified and			
			validated.	validated.			

177.	IND007	2.28/ 1-	Calculation tools (e.g. computer codes)	Validation may not be possible in		Х	Suggestion: reject.
		2	that are used for criticality safety	all the cases. Benchmarking			Justification: The text of
			assessment should be identified and	against established codes could be			4.26 and 4.27 was
			validated or benchmarked against	resorted to in such cases to meet			modified in line with
			established codes.	the intent.			comments No. CAN026.
							FRA091 and USA016.
							and provides the
							guidance for validation
							in those cases where a
							limited experimental
							data is available.
							Code-to-code validation
							is NOT acceptable, and
							is in fact not validation
							at all as it completely
							misses the purpose of
							validation. Both codes,
							especially if both relying
							on the same data library,
							are subject to the same
							sources of uncertainty.
							As stated in revised text
							for previous sections,
							code-to-code
							comparison may be used
							to supplement
							validation, but does not
							constitute adequate
							validation. The practice
							suggested by the
							comment would
							represent a safety
							concern.

178.	FRA039	2.29	As stated in para 9.83 of SSR-4 [1] "The procedures shall specify all the parameters that they are intended to control and the criteria to be fulfilled." The procedures are required to specify that all the parameters	To be consistent with other formulations of the document that are related to recommendations of SSR ou SSG (see. Formulations in 2.3, 2.4, 2.5, 2.7, 2.13, 2.9, 2.11, 3.45, 5.90). This formulation leaves no "shall" in this guide (even in quotation marks). The same remark applies to 2.29, 5.88, 6.3, 6.7, 6.17, 6.24, 6.28			X	It is a direct quotation used in line with rules for quoting Safety Requirements.
179.	FRA040	2.31	Move this back to 2.30	Wording mistake	Х			It looks like a mistake only in track changes view mode.
180.	UK006	2.32	Original: If unexpected operational deviations occur, operating personnel should immediately place the system into a known safe condition. Revised: If operational deviations occur which are not foreseen in the criticality safety assessment, operating personnel should immediately consult the criticality safety staff for advice on how to place the system into a known safe condition.	Needs to differentiate between those deviations which are unexpected (unlikely) but foreseen and assessed within the safety case and those which are not foreseen. The criticality staff provide advice on safe operation of the facility, they do not operate it.	X			
181.	FRA041	2.33	This includes the system of accounting for, and control of, nuclear material, for which information security should be coordinated in a manner ensuring that subcriticality is <u>maintained</u> not compromised	This is more in accordance with the requirement 75 of SSR-4	X			
182.	UK031	2.34	These operations are required	Correction of typographical error		X		

183.	TUR014	2.34/ Line 3&4	These audits should also cover measures for emergency preparedness and response. These audits should be carried out regularly, and The audits should be carried out in a regular basis and they should also cover measured for emergency preparedness and response. {The results of the audit should be	The sentence is more understandable in this way.	X		
184.	FRA042	2.35	Most criticality accidents or near-miss accidents have had multiple causes	To add the near-miss accident for the feed-back	Х		
185.	FIN005	2.36	/6The investigation should include an analysis of the operation of the facility or conduct of the activity and of human factors. It should also include a review of the criticality safety assessment and analyses that were previously performed, including the safety measures that were originally established.	Clarity and readability. The previous version contained too many things in one sentence, and to many and-words.	X		

100		0.04			17	D 1 .
186.	FRA043	2.36	Deviation from operational procedures	As proposed, the para is much	Х	Deletions accepted,
			and unforeseen changes in operations or	more stringent than the		new sentence in the end
			in operating conditions are required to	corresponding requirement of		not added, repetition
			be reported to the regulatory body and	SSR-4 (9.34). It is not required to		with SSR-4.
			promptly investigated by the operating	report to the regulatory body any		
			organization. As required by para 9.34	change/deviation (only changes		
			of SSR-4 (1], the regulatory body shall	leading to deviate from a		
			be notified of deviations from one or	limit/condition). Some		
			more operational limits and conditions.	deviations/changes may remain at		
				the operating organization level		
				(but are still reported – internally -		
				and investigated). Those		
				deviations (and the result of their		
				investigation) are obviously kept		
				available for the authorities, but		
				not reported/notified.		
187.	UK023	2.36	Deviation from operational procedures	Paras 9.34 and 9.35 of SSR-4 are	Х	The text modified in
			and unforeseen changes in operations or	worded differently. Suggest using		combination with other
			in operating conditions are required to	this (the SSR-4) wording for		comments.
			be reported to the regulatory body and	consistency.		
			promptly investigated by the operating	2		
			organization: see Paras 9.34 and 9.35 of			
			SSR-4 [1].			

188.	UK024	2.36	In line with the Graded Approach to safety, the depth and extent of the investigation should be proportionate to the importance of the event. The investigation may consider factors such as: an analysis of the operation of the facility, conduct of the activity and of human factors, and a review of the criticality safety assessment and analyses that were previously performed including the safety	The depth of the investigation should be proportionate to the importance of the event.		X	First part of the proposal accepted.
			measures that were originally established.				
189.	GER018	2.37 Line 2	to identify relevant implications for safety (Requirement 73 of SSR-4 [1]). <u>The management</u> should identify areas for improvement	Clarification of sentence		X	The management system should also
190.	FRA044	3.01	For criticality safety in design, the double contingency principle (which is required by para 6.142 of SSR-4 [1]) should be used to ensure fault tolerance [1]. For criticality safety in design, it is required by para 6.142 of SSR-4 [1] that the double contingency principe is the prefered approach for the prevention by means of design.	To be consistent with requirements of SSR-4			SSR-4 requires the double contingency principle to be "the preferred approach", so in line with Safety Guide terminology "should statement" is used here.
191.	FRA045	3.01	"For criticality safety in design, the double contingency principle (which is required by para 6.142 of SSR-4 [1]) should to be the used to preferred means of ensureing fault tolerance [1]."	Delete the term « in design » because this sentence is not only of the design.	X		

192.	UK044	3.01	"the double contingency principle should to be the preferred means of ensuring fault tolerance"	Reinstate previous wording. DCP is not the only satisfactory means of demonstrating fault tolerance. Also this is consistent with para		X	Slightly different wording not to paraphrase safety requirements as should
103	SWE48	3 01/1	for ensuring subcriticality safety	J.J. Criticality safety by reference to	x		statements.
175.	5 W L+0	5.01/1	for clisuring subcriticality safety	defence in depth.	Δ		
194.	SWE49	3.01/4	the double contingency principle (which is required preferred	"Shall be" preferred is the same as "is" preferred?	Х		
195.	CAN017	3.02	Technical: Remove the text – it is not technically sound. The third level provides robustness against the escalation of unlikely events, such as autocatalytic events where a supercriticality excursion power coefficient is positive and causes a cliff- edge effect. This leads to the requirement that inherent and/or engineered safety features, fail safe design and procedures be provided to control the consequences of such accidents, see para 2.10 of SSR-4 [10]. Additional guidance can be found in para 3.10 of this publication.	A criticality accident is not at the 3rd level. At the 3rd level, it is still a prevention of criticality and control of abnormal conditions that may lead to a criticality accident. The text echoes common misinterpretation, which was added to this version despite clarifications by the criticality safety expert during the consultancy meetings on SSG-27 revision.	X		
196.	EGY003	3.02	The objective of defence in depth is to prevent failures or if prevention fails the defence in depth mitigate the consequence of such failure and it ensures that the failure is detected or compensated for or corrected.	The concept of defence in depth prevent failures or mitigate its consequence		X	The text was modified to include "mitigation"

197.	FRA046	3.02	The third level provides robustness against the escalation of unlikely events,	The example added to illustrate the 3 <sup>rd</sup> level is wrong for nuclear		Х	The text was deleted following other
			superariticality events where a	fuel cycle facilities. A criticality			comments.
			coefficient is positive and causes a cliff	the 3 <sup>rd</sup> level it is still a prevention			
			edge effect. This leads to the	of criticality and control of			
			requirement that inherent and/or	abnormal conditions that may lead			
			engineered safety features, fail-safe	to a criticality accident.			
			design and procedures be provided to				
			control the consequences of such				
			accidents, see para 2.10 of SSR-4.				
198.	PAK002	3.02	The facility or activity should be	The concept of "defence in depth"		Х	The text was modified
			designed and operated or conducted so	is normally applied to all			following other
			that requirements for defence in depth	operational states. Therefore, bold			comments.
			against <b>normal</b> , credible abnormal	text may be added. The same is			
			conditions or accidents are found in	mentioned in SSR-4 as well.			
100	1112045	2.02	<u>55K-4 [1].</u>		V		
199.	UK045	3.02		I do not understand the specific	Х		
				example given. Also, this para			
				in denth many one of the defence			
				In deput measures (unit level) as			
				inherent/engineered sefety			
				managera Suraly those are			
				preferred for all levels of DiD?			
200.	UK046	3.02	"or, if prevention fails, to ensure that the	Better English	Х		
			failure is detected"	č			

201.	USA014	3.02	<b>Technical:</b> Remove the text $-$ it is not	A criticality accident is not at the	Х		
			technically sound.	3rd level. At the 3rd level, it is			
			The third level provides robustness	still a prevention of criticality and			
			against the escalation of unlikely events,	control of abnormal conditions			
			such as autocatalytic events where a	that may lead to a criticality			
			supercriticality excursion power	accident. The text echoes			
			coefficient is positive and causes a cliff-	common misinterpretation which			
			requirement that inherent and/or	was added to this version despite			
			engineered safety features fail_safe	clarifications by the criticality			
			design and procedures be provided to	safety expert during the			
			control the consequences of	consultancy meetings on SSG-27			
			such accidents, see para 2.10 of SSR 4	rovision			
			[10]. Additional guidance can be found	revision.			
			in para 3.10 of this				
			publication.				

202	GER022	3.02	Defence in depth	The description of paras 3.2 and	X		
202.	SER022	and 3.3	3.2 The requirements for defence in	3 3 is not in line with the	<b>1 1</b>		
		unu 3.3	depth against credible abnormal	description of the defence in			
			conditions or accidents are found in	depth concept provided in SSR-4			
			SSR-4 [1] Defence in depth is provided	paras $2.10 - 2.12$			
			by five independent levels of protection.				
			The third level provides robustness	The section on defence in depth in			
			against the escalation of unlikely events	paras 3.2 and 3.3 need to be			
			such as autocatalytic events where a	revised in accordance with SSR-4			
			supercriticality excursion power	paras, 2.10 - 2.12 as well as paras.			
			coefficient is positive and causes a cliff-	6.19 - 6.27			
			edge effect. This leads to the				
			requirement that inherent and/or	Applying the defence in depth			
			engineered safety features, fail-safe	(DiD) concept to criticality safety			
			design and procedures be provided to	when handling fissile material			
			control the consequences of such	should not assign DiD level 3			
			accidents, see para 2.10 of SSR-4 [10].	already to the task of "controlling			
			Additional guidance can be found in	a criticality accident", but to			
			para 3.10 of this publication.	prevent criticality. "Controlling a			
			3.3. The objective of defence in depth is	criticality event" should be			
			to prevent failures, or, if prevention	assigned to DiD level 4.			
			fails, the defence in depth ensures that	-			
			the failure is detected and compensated				
			for or corrected. This is achieved				
			through the successful application of				
			measures in the other levels with				
			mitigation provided to the extent				
			practicable, as described in para. 6.19				
			and Requirement 10 Application of the				
			concept of defence in depth, SSR-4 [1].				
			The fourth and fifth levels provide				
			mitigation, which, with account taken to				
			the above requirements, leads to the				
			following considerations for criticality:				

203.	UK048	3.02 or	five requires the consideration of mitigation for the radiological consequences of a criticality accident, to which the requirements for emergency preparedness and response in GSR Part 7 [8] also apply; In some operating nuclear facilities, heavy biological shielding may be credited for protecting people and the environment from hazards including criticality. The assessment should consider all the hazards and where possible, prevention of criticality should still be preferred. The safety criteria used in the assessment should be commensurate with the consequences of criticality, taking other hazards into consideration (for instance, where a requirement for emergency cooling conflicts with criticality safety). Restore Table 1 "Overview"	I found this very helpful.	X		
		3?					

204.	GER019	3.02 Line 5	This leads to the requirement that inherent and/or engineered safety features, fail-safe design and procedures be provided to control the consequences of such accidents, see para 2.10 of SSR- 4 [10]	Correction (pay attention, the same mistake repeats often)		X		The text was modified following other comments as well
205.	GER020	3.02 Line 9	The objective of defence in depth is to prevent failures. <del>, or, if</del> <u>If</u> prevention fails, <u>on the other hand</u> , the defence in depth ensures that	Clarification of sentence		X		The text was modified following other comments as well
206.	SWE50	3.02/2	abnormal conditions or and accidents	Applies to both	X			
207.	IND008	3.02/2-5	<b>Suggestion:</b> It's prudent to give an introduction of all levels of defence in depth before detailing out the specifics of level-3 and 4, which are relevant for this safety guide	For comprehensiveness.			X	The description of all levels of defence in depth are provided in SSR-4.
208.	CZE002	3.03	THE TABLE	The table with defense in depth levels is clear and should be left in the document.	Х			
209.	FRA047	3.03	Move this back to 3.2	Wording mistake	X			
210.	GER021	3.03	<i>Previous TABLE 1. OVERVIEW OF LEVELS OF DEFENCE IN DEPTH</i>	We suggest to leave the Table 1, as it is providing clear and simply overview of the levels of defence in depth and the assigned plant states in accordance with the definitions provided in the IAEA Safety Glossary 2018 edition as well as with the definitions provided in SSR-4.	X			

211.	TUR015	3.03	3.3	There should be no new para. In this line, it is used in the middle of a sentence. Also the numbering of rest para.s should be corrected.	X			
212.	UK007	3.03	The safety criteria used in the assessment should be commensurate with the consequences of criticality, taking other hazards into consideration (for instance, where a requirement for emergency cooling conflicts with criticality safety).	This should be highlighted much earlier in the document as a fundamental principle of criticality safety assessment.			X	The comment is uncler whether it proposes new text as 3.3 or something else?
213.	UK047	3.03		Para number misplaced	X			
214.	SWE51	3.03 Bullet/ 1-4	Application of the fourth level, which deals with mitigation against the consequences from the loss of control provided by level three. For criticality safety, such control includes shielding from direct fission chain radiation, confinement of radioactive materials (including "fresh" fission products) as well as other safety features such as protection against chemical hazards, preventing extensive damage to the facility, etc.	Para. 2.13 in SSR-4 is clear on that chemical and other non- radioactive hazards may be affected by a criticality accident. Para. 2.13 in SSR-4, under level three, mentions extensive damage to the facility. The explosion in Fukushima Daiichi-4 was for some time believed to be caused by fuel storage criticality.		X		The para was modified following other comments.
215.	SWE52	3.03 Bullet/ 4-5	Level five requires the consideration of mitigation for the <del>radiological</del> consequences of a criticality accident	SSR-4 is generally clear about covering all consequences of a criticality accident even if specific requirements usually focus on the radiological part.	X			
216.	SVK004	3.03 Table 1	Table1: Overview of Levels of Defence in Depth	We propose to keep this table because is very helpful in fast understanding between ,,defence in depth strategy" and subcriticality safety activities.	X			

217.	RUS004	3.04	<sup>4</sup> A system with a favourable geometry is one whose dimensions, shape and construction materials are such that a criticality event cannot occur even with all other parameters at their	The system may contain structural materials contributing to the absorption of neutrons (e.g. boron steel, cadmium, hafnium and gadolinium)			X	The comment is unclear on what is proposed.
218.	SWE54	3.04 Footnot e 9	worst credible conditions. even with all other parameters "at conditions leading to the worst credible configuration"	It may be too conservative to assume that all parameters are at their worst credible conditions simultaneously.	X			
219.	SWE53	3.04/3	changes in reflection, absorption, and moderation	Neutron absorption is usually a passive safety measure.	X			
220.	CAN018	3.05	The double contingency principle <mark>is</mark> required to be the preferred means of ensuring should be used to ensure fault tolerance	Change in accordance with the change made in 3.1		X		"is" instead of "should"
221.	FRA048	3.05	The double contingency principle is required to be the preferred approach by means of design means of ensuring fault tolerance by design: see para 6.142 of SSR-4 [1].	To be consistent with requirements of SSR-4		X		SSR-4 requires the double contingency principle to be "the preferred approach", so in line with Safety Guide terminology "should statement" is used here. The same as comment No. FRA044

222.	GER023	3.08	The system design is required to follow the fail-safe principle in such a way that and the safety measures should fulfil the single failure criterion, i.e. no single failure or event, such as a component failure a function control failure or a human error (e.g. an instruction not followed), can will not result in a criticality accident: see Requirement 23 of SSR-4 [1]. In addition, a single failure should be postulated to ensure that a component failure, a function control failure or a human error will not lead to a criticality accident by ensuring a degree of redundancy of components or systems in accordance with the required reliability of the systems depending on the assigned level of defence-in-depth.	In this paragraph the fail-safe principle is mixed up with the single failure criterion. The single failure criterion is applied to ensure a certain degree of redundancy whereas the fail-safe principle is a design principle that in case of a failure a controlled or safe state will be reached. With regard to the single failure approach it should be stated that even in case of a single failure during a design basis event, criticality will not be reached.		X	See the revised text
223.	FRA049	3.09	Where this is not possible, it should be ensured that sufficient and appropriate additional safety measures are provided to prevent the initiating event from developing into-a criticality accident conditions.	Suggestion for a clearer understanding: not only the accident should be prevented by the conditions where an accident could occur	X		
224.	FRA050	3.09	Where this is not possible, it should be ensured that sufficient and appropriate additional safety measures are provided to prevent the initiating event from developing into a criticality accident, with a high degree of confidence.	To ensure the same level of safety.	X		

225.	GER024	3.10	The safety functions needed for ensuring subcriticality should be determined and the safety measures for fulfilling these functions should be defined. The definition and substantiation of the safety functions should be based on an analysis of all <u>postulated</u> initiating <u>events</u> or <u>combination of aggravating</u> events relevant to criticality safety. <u>Those safety functions should be</u> <u>assigned to the relevant plant states such</u> <u>as anticipated operational occurrences or</u> <u>design basis accidents, arising from</u> <u>credible abnormal conditions, including</u> human error, internal and external hazards, and loss or failure of <del>structures,</del> systems and components important to safety	A loss of a structure would be considered as a design extension condition, because structures have to be designed to withstand the design basis loads resulting from impacts from postulated initiating events as well as from internal and external hazards.		X		See the revised text. There are no DECs in criticality safety.
226.	UK043	3.10 p17	burety	This is blank	Х			
227.	FIN006	3.11	(d) (ii)/ 2 <b>b</b> ringing the system into normal	misprint (ringing)?	Х			
228.	FRA051	3.11	to observe preferably the following hierarchy.	The hierarchy of the preventive safety measures seems questionable (between "c)" and "d)", because by definition, behind "c)" there is also human actions) and the examples are more focus on correctives actions than normal operations. Could you soften this clause?			X	The "automatically initiated shutdown" under c) is without human actions. It is an existing approved text of the SG.
229.	FRA052	3.11	IAEA Safety Standards require the preventive safety measures put in place to observe the following hierarch	Unable to find in which standard this hierarchy is required. Is it possible to indicate the reference of the standard(s)	X			

230.	UK008	3.11 (d)	'ringing' to 'bringing'	Typographical error	X		
231.	TUR016	3.11 ii/ Line 12	valve in response to an indicator or alarm, <b>b</b> ringing the system into normal operational limits	Minor correction for the sentence. (is it bringing instead of ringing?)	X		
232.	IND009	3.12/2- 3	If sub-criticality cannot be ensured through these means, further safety measures should be employed, for further reducing the probability of the potential criticality accident and mitigating its consequences to occupational workers, public and the environment.	For better readability.	X		
233.	FRA053	3.13	mitigatory safety measures (e.g. shielding, criticality incident accident detection systems and emergency response) should be employed to the extent practicable		X		
234.	FRA054	3.13	Safety measures related to the control of more than one parameter should be preferred over the control of a single parameter, when practicable. Safety measures should preferably be controlled by limiting the parameters that has to be controlled.	Not agreed. We should design and operate with the fewest controls to be safer. The simpler the safety is, the fewer errors we will have.		X	Text modified in combination with other comments.
235.	GER025	3.13 Line 4	Safety measures related to the control of more than one parameter should be preferred over the control of a single parameter, when practicable	Current sentence needs some clarification or rewording. Maybe the statement in the draft should be more like: if only one parameter should be used, make sure this single parameter is enough to meet all requirements, or take additional parameters into account. By generalizing the present statement, it could be interpreted like: "the more parameters the better". But: If a set of parameters (even if it's just one) meets all requirements (e.g. double contingency principle, defense in depth, etc.), more parameters would generate additional restrictions, but do they really enhance safety?	X		
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236.	CAN019	3.14	Editorial: Remove para number from the middle of the sentence	In Para 3.14, it looks like the paragraph number is right after the word "safety", i.e., in the middle of the sentence. It should be in front of the word "safety".	X		

237.	FRA055	3.14	The safety measures put in place should be related to the control of more than one parameter should be preferred over the control of a single parameter, when practicable. Examples of the control parameters are given in para. 3.15. The safety measures put in place should be related to the control of a number of parameters and their combinations. Examples of the control parameters are given in para. 3.15.	The suggested sentence is not safer than the previous one. One good parameter should often be safer than two weak parameters. It is to the nuclear criticality safety analysis to decide. Suggestion to come back to the current SSG 27 sentence.	X		
238.	FRA056	3.14	Move this back to 3.13	Wording mistake	Х		
239.	FRA057	3.15	"The subcriticality of a system is generally demonstrated by calculating keff, and one or more control parameters should be derived."	Clarification proposed.		X	Partially accepted
240.	GER026	3.15	The subcriticality of a system should be demonstrated by calculating $k_{eff}$ and/ <del>or</del> should be controlled by limiting one or more parameters. The control parameters that should be considered for ensuring subcriticality include the following:	Controlling of relevant parameters should be requested independent of demonstration calculations for k <sub>eff</sub> .	X		
241.	UK049	3.15	"calculating keff and/or controlled by"	Poor English. Delete 2nd "should be".		X	See the modified text.
242.	UK009	3.15 (g) and (h)	Add 'and location' or 'geometrical distribution' (as used in para 3.21) to both instances	Crucial part of maintaining control	X		
243.	RUS005	3.15 e 3.16	a Limitation on the nuclide composition in the fissile material present in the system.	See para.1.4	X		
244.	SWE56	3.15(e)	Limitation on the-nuclide isotopic compositions of the elements	Here, the intention is isotopes in elements. (c) covers nuclide composition.	Х		

245.	SWE55	3.15/1+2	The subcriticality of a system design should be demonstrated by determination of $k_{eff}$ and the subcriticality of the operation should be controlled by limiting one or more parameters	Both calculation of a design keff and operation controls are required to ensure subcriticality.	X		
246.	FRA058	3.16	The control parameter limitations set out above can be evaluated either by multiplying the critical parameter value determined for the system's particular conditions by a safety factor, or by calculating the value of the parameter that allows the system to be subcritical with a sufficient margin.	What is subcritical is not the value of the parameter, but the system. Moreover, in this case, being subcritical is not satisfactory enough : we need to be subcritical with a sufficient margin (i.e. we need to comply with the defined criterion)	X		
247.	GER027	3.16 Line 3	In deriving safety margins, consideration should be given to the degree of uncertainty in a system's conditions, the probability and rate of change in those conditions <u>. the</u> <u>uncertainties in calculations, if used</u> , and the consequences of a criticality accident	If the value of the parameter is calculated, the uncertainties of the calculation should be considered.	X		

248.	IND010	3.16/	[Current text]:	Calculation of value of a	X			
			The control nonconstanting to the cost out	certain safety margin to account				
			the control parameter minitations set out	for uncertainty in evaluation of				
			multiplying the critical parameter value	k.s				
			determined for the system's particular	Ken.				
			conditions by a safety factor, or by					
			calculating the value of the parameter					
			that is subcritical					
			that is subcritical.					
			[Modified text]:					
			The control parameter limitations set					
			out above can be evaluated either by					
			multiplying the critical parameter value					
			determined for the system's particular					
			conditions by a safety factor, or by					
			calculating the value of the parameter					
			that is subcritical with a safety factor.					
249.	UK010	3.16/		Ensure consistency of text	Х			
		2.8						
250.	FRA059	3.17	(b) The compound (chemical and	Suggestion to be more explicit	X			
			physical form) to be used cannot change					
0.5.1		0.17	to become a more reactive compound;		37			
251.	FRA060	3.17	The events in (b) and (c) could occur in	Suggestion to be more explicit	X			
			specific situations - for example, the					
			precipitation of a U/Pu nitrate solution					
252	CWE57	2 17/1	or modification of penets diameter	2 17 "mulide composition of the			V	Hang fan "finsile
232.	SWES/	3.17/1	elements in the fissile material	fissile material" and 3 17(a)			Λ	meterial" "nuclida
				"nuclide composition of the				composition "is correct
				elements" 3 15(e) is also				composition is context
				involved Consistency?				
1	1	1		m, or , consistency .	1	1	1	

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	253.	BE012	3.18	Consideration should be given to replacement of a moderator/reflector with an alternative substance having lower favorable or no moderating properties with regard to criticality;	What about reflectors?	X			
	254.	FRA061	3.18	Hydrogen and carbon contained in materials such as water, oil and, graphite and hydrocarbon plastics polyethylene are common moderators	Polyethylene is more common in criticality safety (even in the rest of the document)	X			
	255.	FRA062	3.20	Consideration should be given to monitoring the credible long term degeneration and/or situations that can cause the degradation of neutron absorbers.	Suggestion for a clearer understanding	X			
	256.	UK011	3.20 - 3.22		These paras present an incomplete set of factors relevant to neutron absorbers. Direct reference should be made to recognized standards which address these aspects, e.g. ANSI/ANS 8.5, 8.14 and 8.21		X		The proposed standards are listed in Annex. Direct reference is not possible as these are not numbered.
	257.	FRA063	3.20 3.21	Consideration should be given to monitoring the credible long term degeneration and/or degradation of neutron absorbers and their associated moderators.	As said earlier in the document, neutron absorbers' efficiency can be highly dependent on their associated moderating material.			X	In principal we agree but we believe the meaning is clear even without this addition.
	258.	SWE58	3.20/5	a neutron absorbing feature is accounted for <del>er is necessary</del> ,	The neutron absorption feature may not be necessary but beneficial (defence in depth). The structural features of the neutron absorber may be accounted for elsewhere.	X			

259.	FRA064	3.21	Changes under normal and abnormal credible conditions in the geometrical distribution of neutron absorbers could include slumping, evaporation or compression.	Suggestion for a clearer understanding	X	
260.	SWE59	3.22/8	the absorber nuclide isotope (e.g. <sup>10</sup> B)	Here, the solid, fixed absorber is not the pure boron element.	X	
261.	FRA065	3.24	Neutron interaction between units or equipment containing fissile material should be considered () (or in some cases maximum distances, e.g. to limit interstitial moderation between units of or equipment containing fissile material	The term unit is not defined in the document, this addition is suggested for a clearer understanding	X	
262.	SWE60	3.25/2	than those calculated by assuming for	It is not only a calculated effect - it really happens.	Х	
263.	SWE61	3.26/2	may affect the neutron multiplication factor reactivity	reactivity is rarely calculated in criticality safety and is often misused to represent $k_{eff}$ .	Х	
264.	FRA066	3.27	They require surveillance or periodic verification and, as necessary, maintenance. Examples of passive components are geometrically favourable pipes, vessels and structures, solid neutron absorbing materials, and the form of fissile material.	Suggestion for a clearer understanding	X	

					1	1	
265.	GER028	3.27	Passive engineered safety measures use	Please add the issue about	Х		
			only passive components to ensure	boundary conditions, necessary			
			subcriticality. Such measures are highly	for the activation of the passive			
			preferred because they provide high	measure.			
			reliability, cover a broad range of				
			criticality accident scenarios, and need				
			little operational support to maintain				
			their effectiveness as long as ageing				
			aspects are adequately managed. Human				
			intervention is not necessary. Advantage				
			may be taken of natural forces, such as				
			gravity, rather than relying on electrical,				
			mechanical or hydraulic action. Like				
			active components, passive components				
			are subject to (random) degradation and				
			to human error during installation and				
			maintenance activities. They require				
			surveillance and, as necessary,				
			maintenance. Care has to be taken that				
			boundary conditions, necessary for the				
			effectiveness of the passive measure,				
			will be maintained. Examples of passive				
			components are geometrically				
			favourable pipes, vessels and structures,				
			solid neutron absorbing materials, and				
			the form of fissile material.				
266.	USA015	3.27	Technical: Add the following text	Para 3.27 discusses the fact that	Х		
			(added text in RED):	passive components are subject to			
				human error during certain			
			"The reliability of these types of	evolutions but does not explicitly			
			components should consider	state that these should be			
			administrative failure modes."	considered when determining the			
				reliability of the component as it			
				does for active components in			
				Para 3.31.			

267.	VIE03	3.30/ 10 <sup>th</sup> line of page 26	Independently redundant or diversified systems and components are required to be considered (Requirement 23 of SSR- 4 [1]), which should be sufficient to enhance the reliability of the systems and components and to limit the possibility of common cause failure.	Application of principles of redundancy, diversity and independence is to enhance the reliability of the systems and components while the possibility of common cause failure is only implemented by using diversity principle.	X		
268.	FRA067	3.33	The use of administrative safety measures should be incorporated into the comprehensive criticality safety programme (see para. 2.1), and the use of such measures should include consideration of the following : [] (m) Procedures for firefighting (e.g. the use of hydrogen-free or very low hydrogen content fire extinguishing materials).	In some cases, the hydrogen content of the extinguishing materials is not zero, but low enough to have no impact on criticality safety.	X		
269.	RUS006	3.33 (c) 4.06	The control parameter limitations set out above can be evaluated either by multiplying the critical parameter value determined for the system's particular conditions by a safety factor, or by calculating the value of the parameter that ensure safety margins to keff.	See para. 2.9		X	It is redundant to mention keff in this case.
270.	FRA068	3.34	criticality safety personnel staff	In accordance with the terminology used in SSR-4	X		
271.	FRA069	3.34	The introduction of a new activity may should be subject to authorization by the regulatory body before it can be initiated.	A "should" seems better than a "may"		X	This depends on a particular regulatory regime and in some cases "should" might be not appropriate.

272.	FRA070	3.35	The operating procedures (which are required by SSR-4, requirement 63 [1]),	To be consistent with the rest of the document where the paragraph or the requirement number is given with	X		
273.	AUS003	3.35/(b) & (e)	Are required to be developed in collaboration with criticality safety staff and be consistent with and should include those controls, limits and measures that are important for ensuring subcriticality;	Operating procedures should be written and owned by the operating organisation. The existing (e) sounds confusing.	X		
274.	CAN020	3.40	Editorial:	Spelling of organization should be consistent (i.e. use organization, not organisation)	Х		
275.	FRA071	3.40	For this purpose, the operating organization should provide the following: (a) [] manager with overall responsibility for safety at the highest level of the organiszation;	Form : for homogeneity with other occurrences of the same word	Х		
276.	USA003	3.40(c) New item.	"The organizational means for ensuring that the staff for criticality safety themselves are provided with periodic training on operational activities."	Ensure criticality safety staff are cognizant of current operation processes and actions. Enable them to perform their required activities listed in 3.41.		X	The text modified in combination with other comments.
277.	FRA072	3.40.c	The organizational means for ensuring that the staff for criticality safety are provided with periodic training on criticality safety that is suited to the operations they are in charge of.	It can be efficient to focus on some specificities of criticality linked to the operators' everyday operations, and spend less time on generalities.	X		

278.	IND011	3.41/2	<pre>[Current text] routine refresher training is appropriately recommended and instigated. [Proposed text] routine refresher training is appropriately recommended and initiated</pre>	'Initiated' would be more appropriate than 'instigated'.	X		
279.	FRA073	3.42	The staff for criticality safety should be responsible for the following: [] (b) Ensuring the accuracy of the criticality safety assessment, by, whenever possible, directly observing the activity, controls, processes or equipment, as appropriate	In some cases, controls are delegated to different operators or supervisors (for instance, an officer in charge of mass accounting who has to check changes made by an operator in a mass accounting software). The proper completion of these checks may also be observed by the criticality safety staff. But maybe the notion of "controls" is already included in that of "processes" ?		X	Yes the terms activity and controls cover practically all of it.
280.	SWE62	3.42(d)/ 1	eriticality operational limits and conditions	SSR-4 refers to operational limits and conditions (they include safety limits)	Х		
281.	SWE63	3.42(e)/ 1	criticality safety-controlled areas	Criticality control for reactors	Х		
282.	AUS005	3.43 (c)	Please add the following sentence at the end of para 3.43 (c) Empowering staff to stop if there is any potential for unsafe conditions.	Staff responsibilities in para 3.44 (c) states: 'to stop work' Staff need empowerment to stop working.		X	Added to 2.22. 3.43 contains responsibilities.

283.	AUS006	3.43 bis	Please include a sub-para (e) under 3.43:	This is to ensure that production		Х	Sentence added:
		(e)		pressure does not take over safety			"including giving the
			bis (e) ensuring that production does not	and operators do not work under			priority to safety before
			override safety.	pressure. Production over safety			production"
				may lead to unintended safety			
				events.			
284.	AUS004	3.43	Please include the following sentence	Since the 'Supervisor' is	Х		
		bis(b)	after 3.43 (b)	responsible for ensuring day to			
			the inspection, testing and maintenance	day operation, and therefore,			
			of engineered safety systems	appropriate inspection, testing and			
				maintenance of the safety systems			
				is essential for safe operation.			
285.	CAN021	3.44 b)	Editorial:	Suggest using the word "adopt"	Х		
				instead of "espouse"			
			(b) To espouse adopt and contribute to a				
			questioning attitude and strong safety				
			culture; and				
286.	SVK005	4.01	The criticality safety assessment and	We propose to keep this		Х	Yes, this provision is
			criticality safety analysis should be	paragraph in order to preserve			in para 2.26 of the
			carried out by suitably qualified and	expertise in nuclear facilities			document.
			experienced staff for criticality safety	unless this text is placed			
			who are knowledgeable in all relevant	elsewhere in this document			
			aspects of criticality safety and are				
			familiar with the facility or activity				
			concerned, and should also include input				
			from operating personnel				

287.	SWE64	4.01/1	on what SSR-4 refers to as a "deterministic" method- <del>approach</del>	See alternative text in proposed new Section 2. It is not a deterministic approach and there is not much deterministic about the method (subjective). Paras 4.1 to 4.3 appear to be misleading. The probability, conservative or realistic, should be estimated. The probabilistic method is deterministic in producing a risk value. The deterministic method is qualitative.		X	See GSR Part 4, requirement 15 - approaches
288.	SWE65	4.02/2- 4	The probabilistic approach is based on input data that may be realistic or conservative.	See above		X	See GSR Part 4
289.	SWE66	4.02/4	The probabilistic approach provides requires	See above	Х		
290.	WNTI05	4.04	4.4 A criticality safety assessment should be carried out during the design, prior to and during construction, commissioning and operation of a facility or activity, and also prior to <u>on-site</u> transport <sup>10</sup> , and prior to and during storage of fissile material and post-operational clean-out and decommissioning of the facility.	Off-site transport including prior to transport is covered by SSR-6 and SSG-26.	X		
291.	WNTI06	4.04	<sup>10</sup> Specific requirements for criticality safety during the <u>off-site</u> transport of radioactive material are established in SSR-6 (Rev. 1) [6].	On-site transport is out of scope of SSR-6 as stated in para.107(b) of SSR-6.	X		

292.	FRA074	4.04,	"() required ()"	The requirements references are	Х		
		4.5, 4.6,		not very explicit: the terms are not			
		4.7, 4.8,		the same in SSR-4 or sometimes			
		4.9		GSR part 4. Could explicit the			
				reference where the requirements			
				come from.			
293.	SWE67	4.05/2	safety has been can be reasonably	Paperwork can determine the past	Х		
			achieved	(e.g. no accident) but cannot			
				determine the future.			
294.	SWE68	4.05/4	compliance of the design and procedures	Compliance of operations cannot	Х		
			with appropriate safety criteria	be demonstrated.			
295.	CAN022	4.06	Technical:	To make technical content and	Х		
				terminology of the guidance			
			Revise language in the following way	consistent with that used in			
			4.6 The criticality safety assessment is	national and international			
			required to include a criticality safety	standards on criticality safety			
			analysis, which evaluates subcriticality	adopted or recognized by the most			
			for <del>all operational states, i.e.</del> normal and	adopted of recognized by the most			
			credible abnormal conditions.	member states.			
				See comment 2 on para 1.2 for			
				more details.			
296.	FRA075	4.06	4.6 The criticality safety assessment is	Same comment as for para 1.2.	X		
_,			required to include a criticality safety	(Moreover, this sentence was			
			analysis, which evaluates subcriticality	inconsistent with others by			
			for all operational states, i.e. normal and	associating "operational states" to			
			credible abnormal conditions.	"normal operations + credible			
				abnormal conditions" while other			
				sentences were "operational states			
				and credible abnormal			
				conditions")			

297.	FRA076	4.06	The criticality safety analysis should be used to identify hazards, both internal and external, and to determine the radiological consequences of a criticality accident.	Missing end of sentence.	X			
298.	SWE69	4.06/5	determine the <del>radiological</del> consequences the residual safety margins	There are no radiological consequences in a subcriticality assessment.		X		The meaning was specified by adding "of a criticality accident". Then the radiological consequences give sense.
299.	FIN007	4.07	All margins adopted in setting subcritical limits (see paras 2.8-2.12) are required to should be justified and documented.	SSR-4 (6.56) uses term "reasonable margin" which is more appropriately combined with the term "should". After all, the administrative margin is arbitrary by definition and it cannot be justified and is not well combined with requirement.	X			
300.	SWE70	4.07/1	setting-subcritical operational limits	Operational limits include safety limits (SSR-4).			X	"subcritical limits' are introduced and defined in the guide, so using the term is appropriate. It does not contradict general operational limits as described in SSR-4

301.	FIN008	4.08	In the criticality safety assessment, consideration is required should be given to the possibility of inappropriate (and unexpected) responses by operating personnel to abnormal conditions. The potential for operating personnel to respond to leaks of fissile solutions by catching the material in geometrically unfavourable equipment should be considered, for example.	Please change Is required to should or make a quotation from requirements.	X		
302.	GER029	4.08	In the criticality safety assessment, consideration is required to be given	Correction of grammar		Х	should be given to
303.	CAN023	4.09	Editorial: The systematic approach to the criticality safety assessment required to be adopted	It should be "required <u>to</u> be adopted"	X		

304.	FIN009	4.09	/(e)Verification of and validation of the calculation methods including the computer codes and nuclear data. Documentation of the procedures for using them.	Please check the first sentence of the paragraph and clarify (The systematic approach to the criticality safety assessment required to be adopted is outlined ).	X		
				It is important to document the procedures to allow review of the assessment. The validation and verification of the procedure can not be a requirement, setting up the analysis may be user and model-dependent. The acceptability of the analysis depends on the use of validated codes, input and output and not necessarily on the procedure of generating them.			
305.	FRA077	4.09	"The systematic approach to the criticality safety assessment required be adopted is outlined below"	This sentence is not clear. Could you clarify it.	Х		
306.	FRA078	4.09	Definition of the fissile material reference fissile medium, its constituents, chemical and physical forms, nuclear and chemical properties, (b) Definition of the processes and operations involving the fissile material reference fissile medium;	The term reference fissile medium (or fissile reference medium) is the term used in SSR-4 6.1.4.4 (or 6.1.5.6) To be consistent with the standard SSR-4, this term is more appropriate	X		
307.	UK025	4.09	" required to be adopted"	Typographical error – missing "be"		X	should be

308.	UK050	4.09	Replace 1st sentence with: A systematic approach to the criticality safety assessment is required, for example as outlined by the following steps:	Sentence is poor, meaning unclear. Any clear systematic approach should be acceptable, so the given outline should not be mandatory.	X		
309.	UK026	4.09(d)	Demonstration of sub-criticality for normal operation and credible abnormal conditions, including application of the double contingency principle arguments and defence in depth (as appropriate), the identification of which criticality parameters are being controlled, and their associated limits.	Include defence in depth	X		
310.	SWE71	4.09(d)/ 1	Demonstration of subcriticality for the design and procedures under normal operation	Subcriticality cannot be demonstrated by paperwork for actual conditions	Х		
311.	SWE72	4.09(f)/ 1-2	calculation method and selected options nuclear data.	Nuclear data are part of the method.		X	Yes we agree, however we believe it is useful to underline that this includes nuclear data.
312.	IND012	4.09/9- 10	(e) Verification and validation/ <b>benchmarking</b> of the calculation methods including the computer codes, nuclear data and procedures for using them;	Validation may not be possible in all the cases. Benchmarking against established codes could be resorted to in such cases to meet the intent.		X	The same justification as for comment IND007.

313.	CAN024	4.10	Editorial: During development of the criticality safety assessment, the staff performing the assessment should personally observe all relevant aspects of the process or activity being assessed, including any relevant equipment, activities, and processes if possible	Suggest adding "if possible" at the end. The first thought when reading this is how can someone "personally observe" a new process or activity that hasn't been built or set up. Could also change "personally observe" to "personally be involved in" or similar type wording.	X		
314.	UK027	4.10	Where practicable, during development of the criticality safety assessment, the staff performing the assessment should personally observe all relevant aspects of the process or activity being assessed, including any relevant equipment, activities, and processes.	"Where practicable" added because this is not always possible – eg for new design or modifications.	X		
315.	UK051	4.10	Include the words "where practicable" at the start of sentence, ie "Where practicable, during the development"	It may not be possible to personally observe the process or activity, either because there is no access to the facility or during the design phase (i.e. when the facility is not yet built).	X		
316.	EGY004	4.11	Before the start of commissioning and operation, or before an existing operation is changed	The following two item are required in commissioning and operation.	Х		
317.	UK028	4.11	Before the start of a new operation, or before an existing operation is changed, and where these are significant in terms of criticality safety,	This should apply to changes having criticality safety significance" – not to every new operation or modification.		X	before an existing operation is changed with potential impact on criticality safety.

318.	UK029	4.11	The reviewer should be competent in criticality assessment (ie knowledgeable about the physics of criticality and familiar with the associated practices, as well as the operations and activities concerned see para. 2.2.6).	Clearer definition of requirement.		X	See the modified text
319.	USA004	4.11	Add the following sentence to the end of the first paragraph: "Verify that all credible abnormal conditions have been identified."	If the reviewer only verifies the abnormal conditions that the originator identified, then it will be an inadequate review with a higher potential that credible abnormal conditions were missed.	X		
320.	GER030	4.11 Line 4	The review should include, at a minimum, the validation of the calculation method	Clarification of sentence	Х		

221	IND012	4 11/4	[Current text]	Validation may not be possible in		v	The same as provious
521.	110013	+.11/4- 7		all the cases Benchmarking		Λ	comment
		/	Before the start of an operation or	an me cases. Denominarking			comment.
			before an existing operation is changed:	he resorted to in such cases to			
			An independent ravious should be	most the intent			
			- All independent review should be	meet the mitent.			
			adaguagy of the criticality sofety				
			adequacy of the children safety				
			familiar with the physics of				
			ariticality and associated practices				
			enticality and associated practices,				
			as well as the operation of activity				
			include at a minimum validation of				
			the calculation method, the				
			methodology for performing the				
			ariticality sofaty assessment and				
			the demonstration of subaritizality				
			under normal operation and all				
			identified credible abnormal				
			conditions				
			conditions.				
			[Proposed text]				
			[I Toposed text]				
			Before the start of an operation, or				
			before an existing operation is changed:				
			An independent review should be				
			performed that confirms the adequacy of				
			the criticality safety assessment. The				
			reviewer should be familiar with the				
			physics of criticality and associated				
			practices, as well as the operation or				
			activity concerned. The review should				
			include as a minimum, validation /				
			benchmarking of the calculation				
			method, the methodology for performing				

			the criticality safety assessment, and the demonstration of subcriticality under normal operation and all identified credible abnormal conditions.				
322.	SWE73	4.11/6	demonstration of subcriticality for the design and procedures under normal operation	Subcriticality cannot be demonstrated by paperwork for actual conditions	X		
323.	CAN025	4.12	Technical: The characteristics of the fissile material ( <i>e.g.</i> mass, volume, moderation and nuclide composition, including physical form (oxide, nitrate), enrichment, absorber depletion, degree of nuclide decay or in-growth and interaction and irradiation (transmutation of fissile material, results of radioactive decay)	Some of the examples listed are not characteristics of the fissile material (mass, volume and interaction), and some are now included in the new term "nuclide composition" (enrichment, irradiation, decay) with one important missing (physical form).	X		
324.	EGY005	4.12	The characteristic of fissile material(e.g. mass , volume , moderation , nuclide composition , burnup state , enrichment ,)	Burn up state is required to evaluate fissile material for irradiated fuel		X	Burnup is covered by the 'nuclide composition'
325.	FRA079	4.12	The characteristics of the fissile material ( <i>e.g.</i> mass, volume, moderation and nuclide composition, including physical form (oxide, nitrate), enrichment, absorber depletion, degree of nuclide decay or in-growth and interaction and irradiation (transmutation of fissile material, results of radioactive decay)	Some of the examples listed are not characteristics of the fissile material (mass, volume and interaction), and some are now included in the new term "nuclide composition" (enrichment, irradiation, decay) with one important missing (physical form).	X		

326.	FRA080	4.12	<b>Determination of the fissile material</b> <b>reference fissile medium</b> 4.12 The characteristics of the fissile material reference fissile medium (e.g. mass, volume, moderation, nuclide composition, enrichment, absorber depletion, degree of nuclide decay or in- growth and interaction, irradiation (transmutation of fissile material, results of radioactive decay) is required to be determined, justified and documented. Estimates of the normal range of these abaracteristics, including concernation	The term reference fissile medium (or fissile reference medium) is the term used in SSR-4 6.1.4.4 (or 6.1.5.6) To be consistent with the standard SSR-4, this term is more appropriate (same remark applies to 4.13, 4.19)	X		
327.	RUS007	4.12	Control of access to criticality controlled areas.	misprint	Х		
328.	RUS008	4.12	Point at end of paragraph is omitted	misprint	Х		
329.	UK052	4.12	"are required to be determined,"	Plural form (correct English).	Х		
330.	SWE74	4.12/1, 3,4	The fissile material characteristics that are essential for subcriticality assessment are required to be determined, justified, and documented. Characteristics include mass, volume, moderation, nuclide composition, isotopic composition, absorber depletion, degree of nuclide decay and in-growth, irradiation (transmutation of fissile material and fission products).	The long sentence with double parentheses (one missing) is split and clarified.	Х		
331.	CZE003	4.12/2	enrichment	In this paragraph the characteristics of the fissile material contains enrichment but in the paragraph 1.3/2 the enrichment was removed. Please unify.	X		

332.	UK053	4.16	Replace 3rd sentence with:	Better wording.	X		
			"Additionally, justification is required for identified initiating events that were excluded from the assessment:"				
333.	EGY006	4.16 (b)	Input into the criticality safety assessments should be obtained from safety analysis report, manuals,		Х		
334.	FRA081	4.17 & others	The criticality safety assessment is required to be performed by using a verified and validated method.	To be accurate, 'methodology' is the science of methods.	Х		
335.	SWE75	4.17/3	demonstrates that subcriticality-will can be	Paperwork cannot demonstrate future operation safety.	X		
336.	EGY007	4.18 (e)	Operating experience of the facility			Х	OLCs should refer to published documents. If operating experience is relevant then it is covered by c) and d)
337.	WNTI07	4.19	The calculation methods, computer codes and nuclear data used should be specified (including their release versions), together with any cross- section pre-processing codes that were used by assessors.	Various preprocessing codes may be used to prepare established cross-section libraries. This should identify codes additionally used by assessors (users).	X		
338.	SWE76	4.19/2	methods (computer codes and nuclear cross-section data) should be specified together with essential specifications (e.g. release versions and cross-section pre-processing codes).	The codes and data define the method, they are not separate.		X	Yes, we agree, however we deem it useful to enumerate all elements.

339.	WNTI08	4.20	The overall safety assessment for the facility or activity should also be reviewed and used to identify and provide information on initiating events that should be considered as credible initiators of criticality accidents; for example, activation of sprinklers, rupture of a glovebox, buildup of material in ventilation filters, collapse of a rack, movement of fissile material during package transport and natural phenomena.	Editorial "package transport" is not clear. "during package transport" is not necessary because it is included in "movement of fissile material".	X		
340.	FRA082	4.21		Delete this sentence, or at least move it. It is linked to the validation and it is not in the correct chapter.	X		
341.	FRA083	4.21	The results of the calculations should be cross-checked by using independent nuclear data libraries or different computer codes when available.	Precision		X	4.21 deleted as suggested in FRA082
342.	FRA084	4.21	In addition, the uncertainties of the calculated results due to the uncertainties of the nuclear data used should <i>may</i> be determined.	Currently, it is not the industrial practice. It is not systematic, it depends on fissile materials.		X	4.21 deleted as suggested in FRA082

343.	FRA085	4.21	4.21 The results of the calculations	No agreement with this section.		Х	4.21 deleted as
			should be cross-checked by using	Determination of uncertainties in			suggested in FRA082
			independent nuclear data or different	calculation results due to			
			computer codes when available. In	uncertainties on nuclear data can't			
			addition, the uncertainties of the	be done each time. This is more			
			calculated results due to the	R&D in criticality safety than real			
			uncertainties of the nuclear data used	important work that can improve			
			should be determined. These	safety in facilities.			
			uncertainties are required to be taken	Validation recommended in			
			into account if the calculated results are	section 4.25 is sufficient.			
			compared to the established upper	This section should be removed.			
			subcritical limits (see para. 38 4.33).				
344.	SWE77	4.21	Delete the paragraph. Move first	Not an appropriate location.	Χ		
			sentence after para. 4.36	Accounting for bias and			
				uncertainties should be covered			
				earlier in the guide.			
345.	UK054	4.21	Replace 4.2.1 with:	The determination and application		Х	
				of <b>all</b> sources of uncertainty is a			
			4.21 In addition, the uncertainties of	fundamental requirement.			
			the calculated results due to all				
			uncertainties in data (eg uncertainties in	The use of a second calculation			
			nuclear data, dimensions and materials)	for cross-checking is desirable but			
			should be determined. These	not always absolutely essential.			
			uncertainties are required to be taken				
			into account if the calculated results are	These two requirements should be			
			compared to the established upper	separated into 2 clauses.			
			subcritical limits (see para. 4.33).				
			4.22 The results of the calculations				
			snould be cross-checked by using				
			independent nuclear data or different				
			computer codes when available.				

346.	WNTI09	4.21	These uncertainties are required to be taken into account if the calculated results are compared to the established <u>upper_lower</u> subcritical limits (see para.4.33).	Lower limits are conservative. For example, a typical lower limit of k-eff is 0.95 taking into uncertainties from the limit of 1.0.		X		The sentence was deleted following other comments and considering it established a "requirement".
347.	FRA086	4.22	Calculation methods such as computer codes and nuclear data used in the criticality safety analysis to calculate <i>k</i> eff are required to be verified and validated to ensure the reliability of their derived values, taking into account the margins of the calculations model assumptions.	The assumptions taken for the calculation models are mostly very conservative. The margins due to them are in most cases more important than the potential impact due to uncertainties of nuclear data or calculation methods. This should be taken into account, in particular to avoid wasting time on uselessstudies from a safety improvement point of view Same remark of 4.23.			X	The proposed addition makes the sentence too complicated and unclear. We believe the original text does not contradict what is suggested. Therefore suggested to keep it as it is.
348.	GER031	4.22 Line 3	This includes to establish <u>ing</u> their limits of applicability, code bias and level of uncertainty: see para. 6.145 of SSR 4 [1] Requirement 18 of GSR Part 4 [2].	The requirement for establishing code bias and level of uncertainty is formulated in Requirement 18 of GSR Part 4. Para 6.145 of SSR 4 merely asks for verification and validation of computer codes and also refers to Req. 18 of GSR Part 4.	X			
349.	SWE78	4.24/4	quantification of <del>any calculation or code</del> bias and uncertainties	The bias and the uncertainties of the method are intended, not only code and not a specific calculation.	X			
350.	SWE79	4.24/6	or with evaluated experimental data	It is essential that any bias and the uncertainties of the experimental data have been estimated.	X			

351. 352.	SWE80 SWE81	4.25/1- 2 4.25/2	The calculation method should bevalidated against selected benchmarksthat are representative of the systembeing evaluated.The relevance of benchmarks, based onevaluated evaluated for use	This is the same guidance as in the previous para. 4.24. This ties benchmarks to evaluated	X X		
353.	RUS010	4.26	nuclide composition	See para. 1.4	X		
354.	GER032	4.26 (d) new bullet under d)	<ul> <li></li> <li><u>- Correlations between neutron</u> <u>multiplication factors due to nuclear data</u> <u>uncertainties</u></li> <li></li> </ul>	We suggest to add this item to 4.26 (d). Concerning the suitability of a criticality benchmark experiment w.r.t. an application case, the most appropriate criterion is in fact the correlation due to nuclear data uncertainties between the $k_{eff}$ value of the benchmark experiment and the $k_{eff}$ value of the considered application case. This correlation is commonly denoted as ck and is currently the most widely used criterion to select appropriate criticality benchmark experiments for validation.	X		
355.	RUS009	4.26 (ii) 4.26 (vi)	The characteristics of the fissile material (e.g. mass, volume, moderation, nuclide composition, absorber depletion, degree of nuclide decay or in-growth and interaction, irradiation (transmutation of fissile material, results of radioactive decay) is required to be determined,	See para. 1.4	X		
356.	SWE82	4.26(a)/ 1	Benchmarks Experiments that are used for benchmarking	Benchmarks based on experiments need checking.	X		

357.	SWE83	4.26(a)/ 2	complete and accurate-well represented by stated biases (typically corrected for in the benchmark results) and uncertainties	Accurate is a subjective term.	X			
358.	SWE84	4.26(b)	to reduce the effect of shared benchmark uncertainties (correlations, leading to systematic effect uncertainties). minimize uncertainty and/or systematic error.	The reason for being careful with correlations. Systematic error is a questionable term here. Random effect uncertainties benefit from using dependent sets.	X			
359.	SWE87	4.26(d) (ii)/1	Isotopic compositions proportions for selected elements.	Examples are U, Pu, B	Х			
360.	SWE88	4.26(d) (iv)/4-5	In the absence presence of poorly well- absorbing nuclides materials, another element such as oxygen in magnesium oxide, oxygen can be an effective moderator.	Oxygen scattering is more important to reduce leakage than for moderation. Oxygen alone should not be pointed out.	X			
361.	SWE85	4.26(d)/ 1-2	Benchmarks should be reviewed to ensure that their neutronic <del>, geometric,</del> <del>physical and chemical</del> characteristics encompass those <del>characteristics</del> of the system of fissile material to be evaluated. The essential nuclide properties of the system should be well represented by the same nuclide properties of one or more benchmarks. Neutronic characteristics include global indicators such as EALF (energy corresponding to average lethargy of neutrons causing fission) as well as leakage, absorption and fission fractions.	The neutronic characteristics are needed. They may be obtained for the "wrong" reason. Thus, the induvial nuclide data need to be covered.			X	Other than neutronic characteristics are also important.
362.	SWE86	4.26(d)/ 3-4	Neutronic characteristics and sensitivities to nuclide data are determined by system specifications that include the following:	The other, engineering-type of data, determine the neutronics.		X		See the modified text.

			- · ·				
363.	SWE89	4.26(vii	Temperatures in the system. The	Add temperatures (affect the	Х		
		)	sensitivity of the system to any	nuclear data). Delete current (vii)			
			simplification of geometry, for example	about simplifications.			
			elimination of pipes or ducts.				
364.	FRA087	4.26.b	Benchmarks should be selected from		Х		
			multiple independent sets in order to				
			minimize uncertainty and/or systematic				
			bias and in order to avoid the need to				
			have accurate correlation coefficients				
			between experiences.				
365.	FRA088	4.26.c	Benchmarks used should have relatively		Х		
			small and mastered uncertainties,				
			compared with any arbitrary or				
			administratively imposed safety margin.				
366.	FRA089	4.26.ix	Neutron energy spectrum and spectrum			Х	
			index (q4eV, EALF).				
367.	CAN026	4.27	Technical:	This re-wording is expected to	Х		
				gain a wider support by the			
			Replace the last sentence as follows:	member states.			
			Comparison of one computer code's				
			result with the result from using another				
			computer code should not be used to				
			validate a calculation method.				
			Comparison of one computer code's				
			result with another may be used to				
			supplement the validation of a				
			calculational method; however, this does				
			not constitute adequate validation.				

368.	FIN010	4.27	/last sentence Comparison of one computer code's result with the result from using another computer code should not <u>alone</u> be used to validate a calculation method.	Word "alone" added. In some cases it would be highly recommended to complement validation with comparison against other (for example Monte Carlo) codes. The comparison to other codes and libraries can increase the reliability of the	X	The text was modified to better capture the meaning in line with the proposal.
369.	FRA090	4.27	If no benchmark experiments exist that are strictly representative of the system being evaluated An important aspect of this process is the quality of the nuclear data and uncertainties in the data. In some cases, the bias can be evaluated by the ad hoc uncertainties regarding raw nuclear data and by propagating these uncertainties in terms of delta keff. For this method, raw nuclear data sensitivity and covariance data are needed.	validation.	X	The first change accepted. The second seems to complicate the paragraph too much.

370.	FRA091	4.27	Comparison of one computer code's	Code-to-code comparison can be	X	The text was modified
	I		result with another may be used to	a mean to contribute to validation.		accepting this comment
	I		supplement the validation of a	It's for example recognized in		in combination with
	I		calculation method.	French guidance (guide ASN		other comments, mainly
	I			n°28). Such a method, in		CAN026 and USA016.
	I			particular if the code is a		The second part of the
	I			reference code (MCNP or		justification is probably
	1			TRIPOLI), could be very useful to		included in this
	I			validate a calculation method or		comment by mistake.
	I			calculation results, to be sure that		However, 4.37 and 4.38
	I			the limits determined by		were deleted anyway
	I			calculation are safe enough. The		following other
	I			following texts in para 4.37		comments.
	I			"Optimum neutron moderation		
	I			should be analysed regardless of		
	I			whether the system has an actual		
	I			moderator (for example, for dry		
	1			storage facilities)" and in para		
	I			4.38 "The criticality safety		
	I			assessment should demonstrate		
	I			that the system will remain		
	1			subcritical in normal operation		
	I			and credible abnormal conditions		
	l			even in optimum neutron		
	l			moderation" are not technically		
	l			accurate and in contradiction with		
	l			SSR-4 (requirement 38 and para		
	l			6.143) and misinterpret provision		
	l			of para 6.193 (a) of SSR-4		

371.	RUS011	4.27	Geometric arrangements and compositions of fissile material relative to non-fissile material such as neutron reflectors and including materials contributing to the absorption of neutrons (e.g. cadmium, boron, hafnium and gadolinium are commonly used, but other materials such as iron also act as slow neutron absorbers).	Boron is omitted	X	
372.	USA016	4.27	Technical: replace the last sentence with following text (added text in RED): <u>Comparison of one computer code's</u> result with the result from using another computer code should not be used to validate a calculation method. Comparison of one computer code's result with another may be used to supplement the validation of a calculational method; however, this does not constitute adequate validation.	This re-wording is expected to gain a wider support by the member states.	X	
373.	SWE91	4.27/10	quality of the basic-nuclear data and of the benchmarksuncertainties in the data.	Quality is primarily determined by uncertainties.	X	

374	IND014	4 27/10	[current text]	Validation of computer codes and		v	The same as provious
574.	1110014	4.27/10		alculation methods by inter		Λ	approvidus
		-11		calculation methods by met-			comment.
			If no benchmark experiments exist that	comparison is an internationally			
			encompass the system being evaluated	accepted practice. In this			
			(as may be the case, for example, for	background, the statement in the			
			low moderated powders and waste), it	draft guide questions the			
			may be possible to interpolate or	credibility of validated computer			
			extrapolate from other existing	codes. Hence, it is suggested to			
			benchmark data to that system, by	drop the last sentence of the			
			making use of trends in the bias. In cases	current text			
			where the extension from the benchmark				
			data to the system at hand is large, an				
			additional margin may be necessary to				
			account for validation uncertainties.				
			Sensitivity and uncertainty analysis may				
			be used to assess the applicability of				
			benchmark problems to the system being				
			analysed and to ensure an acceptable				
			safety margin. An important aspect of				
			this process is the quality of the nuclear				
			data and uncertainties in the data.				
			Comparison of one computer code's				
			result with the result from using another				
			computer code should not be used to				
			validate a calculation method.				
			[proposed text]				
			[proposed text]				
			If no benchmark experiments exist that				
			encompass the system being evaluated				
			(as may be the case for example for				
			low moderated powders and waste) it				
			may be possible to interpolate or				
			extrapolate from other existing				
			banchmark data to that system by				
			benchmark data to that system, by				

375.	SWE92	4.27/10 -11	making use of trends in the bias. In cases where the extension from the benchmark data to the system at hand is large, an additional margin may be necessary to account for validation uncertainties. Sensitivity and uncertainty analysis may be used to assess the applicability of benchmark problems to the system being analysed and to ensure an acceptable safety margin. An important aspect of this process is the quality of the nuclear data and uncertainties in the data. <u>Comparison of one computer code's</u> result with the result from using another computer code should not be used to validate a calculation method. Carefulness should be applied when using one validated calculation method to validate another calculation method <u>Comparison of one computer code's</u> result with the result from using another using one validated calculation method to validate another calculation method validate another calculation method using another code should not be used to validate a calculation method	This is a controversial issue and should be left open. There is no real conflict in using a validated method within its range of applicability to support validation of another method. Using Monte		X		The text of 4.27 was modified in line with comments No. CAN026, FRA091 and USA016, which further clarified the guidance.
			to validate another calculation method <del>Comparison of one computer code's</del> result with the result from using another	real conflict in using a validated method within its range of applicability to support validation				comments No. CAN026, FRA091 and USA016, which further clarified
			computer code should not be used to	of another method. Using Monte				the guidance.
			validate a calculation method	Carlo techniques to simplify a				
				specification (e.g. 2D cylindric)				
				for a benchmark is accepted in the				
				ICSBEP evaluations. It has been done and will continue to be done				
376	SWEOO	L 27/7	an additional "extra" margin "a	Additional margin and penalty are			x	"additional margin" is
570.	5 W 1290	+.2///	negative may be necessary to account	used later as different concepts in			Δ	clearer then "extra
			for validation uncortaintias	pores 422 and 422				morgin"
		1	101 valuation uncertainties.	paras 452 and 455.	1	1	1	margin

377.	SWE93	4.28/1-3	Modelling of benchmarks performed by organizations other than that which performs the validation should be evaluated to confirm that the models use appropriate calculation methods and analysis techniques for the intended use.	This seems like validation against another method, which is discussed in 4.27. This text is not appropriate here. Maybe, if modified, after the moved para. 4.21.	X		
378.	GER033	4.29	The calculation methods, and analysis techniques and nuclear data library used in the validation to analyse benchmarks should be the same as those used to analyse the system or process to which the validation is applied; otherwise justification should be provided for the use of different techniques.	Since the computational keff bias is generally dominated by the nuclear data bias, the validation has to be performed with the same nuclear data library as used for the analysis of the application case.	X		
379.	SWE94	4.30/1-3	Appropriate statistical methods should be used as the primary means of establishing bias and bias uncertainty <del>in</del> the comparison for validation (i.e. comparing the calculation method results to the benchmark results experiments)	Simplification and clarification. The benchmark is not an experiment and the available result applies to the benchmark.	X		
380.	SWE43	4.31/1+ 35/2	<b>methods in subcriticality analyses</b> "subcriticality <del>safety</del> analysis	Continued change of criticality safety to subcriticality		Х	See the previous comment.
381.	FIN011	4.32	and the additional margin should be reasonable.	According to the arbitrary nature of the administrative margin. In accordance with SSR-4.	X		
382.	CAN027	4.33	Editorial: Typo on how k-eff is labelled eff should be subscripted.	Туро	X		

383.	ENISS5	4.33	An upper subcritical limit (i.e. a direct limit on keff) should be established based on the bias and bias uncertainty of the calculation method, the administrative margin, and any related penalties (e.g. penalty for use of the calculation method outside of its area(s) of applicability, <u>consideration of the</u> <u>experimental uncertainty</u> ). When comparing the calculated keff values with this upper subcritical limit, the remaining uncertainties of the calculated keff values (e.g. statistical uncertainties in case of Monte Carlo calculations or uncertainties due to the uncertainties of the nuclear data used) are required to be taken into account (see SSR-4 para 6.144).	The consideration of the experimental uncertainty is necessary to refine the evaluation of the bias and bias uncertainty which could be underestimated if the experimental uncertainty is neglected (cf NUREG 6698).	X		
384.	FRA092	4.33	An upper subcritical limit (i.e. a direct limit on keff) should be established based on the bias and bias uncertainty of the calculation method, the administrative margin, and any related penalties (e.g. penalty for use of the calculation method outside of its area(s) of applicability) and regarding the conservatism of the assumptions of the calculation models.	See comments above. It is important to balance with the assumptions, mostly conservative, that are taken in the calculations. For instance, if process involving U at 5%-mass of 235-U, is calculated with 239-Pu: the conservatism of the assumption is very important, and applying more margins could be useless.	X		
385.	EGY008	4.33	K <sub>eff</sub>	Instead of Keff	Х		
		fourth					
		line					
386.	FRA093	4.33, 1 <sup>st</sup> sentence	An upper subcritical limit (i.e. a direct limit on keff) should be established based on the bias and bias uncertainty of the calculation method, the administrative margin, <u>the features of</u> <u>the system and its impact on the <math>k_{eff}</math> and</u> any related penalties (e.g. penalty for use of the calculation method outside of its area(s) of applicability).	An upper subcritical limit should also be chosen with the variation of the keff as a function of the variation of the main parameters (mass for example). Keff slope will be very important with highly enriched uranium whereas it will be low with low enriched uranium		X	See the modified text.
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387.	JPN003	4.33./L 3	When comparing the calculated keff <sub>eff</sub> values with this upper subcritical limit, the remaining uncertainties of the calculated keff <sub>eff</sub> values (e.g. statistical uncertainties in case of Monte Carlo calculations or uncertainties due to the uncertainties of the nuclear data used) are required to be taken into account (see SSR-4 para 6.144).	Subscripts.	X		
388.	SWE95	4.33/1	An upper k <sub>eff</sub> subcritical limit (sometimes referred to as "upper subcritical limit" or USL i.e. a direct limit on keff)	It is a $k_{eff}$ subcritical limit. There many be other subcritical limits for other parameters. "Upper" does not clarify anything when applied to this Guide and is not used in SSR-4.	X		
389.	SWE96	4.33/4- 5	this upper-subcritical limit, the remaining uncertainties of the calculated k <sub>eff</sub> values (e.g. statistical uncertainties in case of Monte Carlo calculations-or uncertainties due to the uncertainties of the nuclear data used)	Uncertainties due to nuclear data uncertainties are caught and accounted for by the validation.	X		

390.	FRA094	4.34	When computer codes are used in the analysis, the type of computing platform, i.e. hardware and software, together with relevant information on the control of code configuration, especially calculation schemes, should be documented.		X		
391.	SWE97	4.34/1- 2	computer methods-codes and code method configuration,	The software method includes code, nuclear data and more.		Х	"computer method" has a different meaning than "computer codes"
392.	FRA095	4.36	Once the calculation method has been verified and validated, it is required to be controlled and documented as part of the overall management system to ensure that a systematic approach is adopted in <del>designing, coding,</del> testing and documenting the calculation method: see para 4.18 of SSR-4 [1].	Designing and coding are out of scope of this guide because they are relevant to software development.	X		
393.	EGY009	4.37	The nuclear safety assessments fundamentally depend on the ratio of neutron-multiplying materials to neutron-moderating materials that are proposed in the models used in the analysis.	Replace and by to give better meaning	X		

394.	UK012	4.37 - 4.38	Combine with para 3.18 or delete altogether	The discussion of moderator is out of place here. An appropriate location would be with the discussion of moderator as a control parameter in para 3.18.	X		
				The requirement to demonstrate criticality safety for normal and credible abnormal conditions is already established. While understanding of the coincident condition of optimum moderation (beyond 'credible abnormal conditions') may be of use in understanding risk this para implies applying a more restrictive safety criterion. If so this needs discussion in Sec 1.			
395.	UK034	4.37 & 4.38	Remove paragraphs	These paragraphs are inappropriate and show a misunderstanding of the principles of criticality.	Х		

396.	CAN028	4.37	Technical:	Specifically, the following text in	Х		
		and 4.38	Delete perce 4.27 and 4.28	para 4.37 "Optimum neutron			
			Delete paras 4.57 and 4.58	moderation should be analysed			
				regardless of whether the system			
				has an actual			
				moderator (for example, for dry			
				storage facilities)" and text in para			
				4.38 "The criticality safety			
				assessment should demonstrate			
				that the system will remain			
				subcritical in normal operation			
				and credible abnormal conditions			
				even in optimum neutron			
				moderation" is not technically			
				accurate because the wording (i)			
				is in contradiction with SSR-4,			
				requirement 38 and para 6.143			
				and (ii) misinterprets provision of			
				para 6.193 (a) of SSR-4.			
				If moderation is not a cradible			
				condition it does not need to be			
				considered. As we know, a			
				number of facilities rely on			
				exclusion of moderation by			
				having, for instance, a double roof			
				and double walls.			

397.	FRA096	4.37	Delete paras 4.37 and 4.38	If moderation is not a credible	Х		
		and 4.38		condition, it does not need to be			
				considered. A lot of process units			
				in fuel assemblies manufacturing			
				facilities rely on limited			
				moderation.			
				Besides, such a moderation			
				control is presented and discussed			
				in many other paragraphs of part 5			
				of DS516 (e.g. para 5.16, 5.20,			
				5.70 etc.).			

398.	USA017	4.37 and 4.38	Technical: Delete Paras 4.37 and 4.38.	These two paragraphs are absolutely false, extremely problematic, and inconsistent with other sections of the SSG.	X		
				It is imperative that these two paragraphs be deleted.			
				Controlling and/or limiting moderating material is a perfectly acceptable means of ensuring subcriticality. Under no			
				circumstances should it be required to demonstrate subcriticality under optimum			
				moderation for systems where moderation is adequately controlled.			
				The referenced SSR – Safety of Nuclear Power Plants: Design Requirements SSR-2/1 is not at all			
				does not cover nuclear reactors.			
				virtually impossible for essentially ALL fuel fabrication facilities to operate.			
399.	BE013	4.37, 4.38		4.37 and 4.38 provide a different definition of the optimum neutron moderation. Should be clarified.	Х		

400.	FRA097	4.37, 4.38 6	<ul> <li>4.37 The nuclear safety assessments fundamentally depend on the ratio of neutron-multiplying materials and neutron-moderating materials that are proposed in the models used in the analysis. This ratio, which leads to the maximum neutron multiplication factor, is called optimum neutron moderation. Optimum neutron moderation should be analysed regardless of whether the system has an actual moderator (for example, for dry storage facilities).</li> <li>4.38 The criticality safety assessment should demonstrate that the system will remain subcritical in normal operation and credible abnormal conditions even in optimum neutron moderation. Water is conventionally proposed as the moderator in this analysis (but it may be required to analyse several moderators depending on specific system characteristics). Optimum neutron moderation is determined as the fractional density (from 0 to 1 kg/cm3) at which the neutron multiplication</li> </ul>	No agreement with these two sections. The search of the optimum of moderation depends on the criticality control mode. In case of moderation control, concentration control or mass + geometry control, moderation ratio of the fissile medium are limited. This 2 sections must be removed. Not evaluated	X		
			required to analyse several moderators depending on specific system characteristics). Optimum neutron moderation is determined as the fractional density (from 0 to 1 kg/cm3)				
			at which the neutron multiplication				
			factor reaches the maximum value in the system. See Safety of Nuclear Power				
			Plants: Design Requirements SSR-2/1.				
401.	SWE98	4.37- 4.38	All should be deleted.	Perhaps something could be mentioned for fuel storage at power plants. Added at end.	X		

402.	SWE99	4.37 New 4.37	The results of the calculations should be cross-checked by using independent nuclear data or different computer codes when available.	Moved from 4.21. Replaces current 4.37	X		
403.	SWE100	4.38 New 4.38	Benchmark modelling performed by organizations other than that which performs the validation should be compared to support that the results are consistent and evaluated when they are not.	Moved from 4.28. Replaces current 4.38	X		
404.	FRA098	4.38	Optimum neutron moderation is determined as the fractional water density (from 0 to 1 g/cm3) at which the neutron multiplication factor reaches the maximum value in the system.	Unit mistake	X		
405.	RUS013	4.38	the fractional density (from 0 to 1 g/cm <sup>3</sup> )	misprint	Х		
406.	UK055	4.38	Optimum neutron moderation is determined as the combination of fractional density (from 0 to 1 kg/cm3) and (where credible) the physical distribution of the moderator within the system at which the neutron multiplication factor reaches the maximum value in the system.	Inadequate requirement. Optimum moderation may also involve optimization of the distribution of moderator within a system. Presence of water within fissile units such as fuel assemblies, but not between them is known to give higher k-eff than uniform moderator density everywhere.	X		The whole para was deleted.
407.	GER034	4.38 Line 4	Optimum neutron moderation is determined as the fractional density ( <u>e.</u> <u>g. for water</u> from 0 to 1 $\frac{\text{kg}}{\text{cm3}}$ ) at which the neutron multiplication factor reaches the maximum value in the system.	If other material than water is considered, the density range to be analysed will be different. The given unit of kg/cm <sup>3</sup> is probably a typo and should read g/cm <sup>3</sup> (?)	X		

408.	RUS012	4.38, line 5	Comparison of one computer code's result with the result from using another computer code, as a rule, should not be used to validate a calculation method.	See para. 4.21. There is a lack of experimental data for transplutonium nuclides.		X	The provision was modified in combination with other comments. It is clear that code comparison might be used as a supplement, however does not constitute adequate validation.
409.	CAN029	5.02	Technical: The facilities and activities of the nuclear fuel cycle may be split into two groups: those for which a criticality hazard is not credible and those for which criticality may be credible; where there is a potential for criticality and where there is no potential for criticality" a) Not credible, No potential, for example Credible Potential, for example,	Use of term credible for the purposes of para 5.2 is not technically sound and inconsistent with the content and terminology of SSR-4, paras 6.148, 9.23, 9.85 and of this draft, paras 1.6, 2.3, 5.8, 5.99, 6.42 b), 6.43. Terminology from these paras should be used in para 5.2.	X		
410.	SWE101	5.02(b)/ 3	(b) disposal facilities, and transport between those facilities	Public transport is covered.	Х		
411.	JPN016	5.02-a)	a) Not credible,, <u>transport</u> transportation and conversion; and	"Transport" is used in the IAEA documents.	X		
412.	WNTI10	5.02-b)	"transport" should be added	There is no reason that transport is not mentioned in b) according to the <del>next</del> paras. 5.83 to 5.91.	X		

413.	GER035	5.03	Facilities and packages activities in this second group should be designed and operated or carried out in a manner that ensures subcriticality according to the relevant IAEA specific safety requirements.	According to para 5.2 <b>facilities</b> <b>and activities</b> are split into two groups. Using the term 'packages' in the general part might be to specific.	X			
414.	SWE102	5.04 Footnot e 12	Experimental Research and demonstration facilities	"Experimental" suggests critical experiment facilities to a criticality safety specialist.	X			
415.	GER036	5.06	In conversion facilities uranium concentrates are purified and converted to the chemical forms required for the manufacture of nuclear fuel <u>–</u> usually uranium tetrafluoride or uranium hexafluoride — if enrichment is needed.	Add hyphen to clarify sentence	X			
416.	WNTI11	5.06	Conversion and uranium enrichment (Guidance related to conversion is not necessary to be mentioned in para.5.6 and 5.7.)	"conversion" is listed in the first group (Not credible) in para.5.2 and only 2 <sup>nd</sup> group should be considered on criticality safety according to para.5.3.			X	This is true, however the text under the heading contains explanation for conversion facilities. We believe it is appropriate to keep it in the title.
417.	SWE104	5.07/1	isotopic <del>composition of mass</del> fractions in natural uranium (i.e. ~0.7 <del>wt.</del> % <sup>235</sup> U),	wt.% is not an acceptable SI unit. Mass fraction is good.	X			
418.	SWE105	5.07/4	more reactive material enriched uranium.	"reactive" is not useful	X			
419.	SWE106	5.09/2	below the subcritical operational limit.	Safety limit is covered by this.	X		T	
420.	SWE107	5.14 Subhead ing	Fuel fabrication including reconversion from uranium hexafluoride	Reconversion does not appear elsewhere and fits here.		X		Slightly modified, "including" is not used in titles
421.	SWE108	5.14/1	gases, melts, and solids containing-and	Metal is not needed.	X			

422.	SWE109	5.15/5	ratio-mass fraction of $PuO_2$ to-in the total amount of actinide oxides (i.e. the PuO2 concentration).	Mass fraction covers ratio and concentration (not a good term). U+Pu are actinides.	X		
423.	SWE110	1 1	material, or fissile material leakage into water,	water of fissile material	Λ		
424.	SWE111	5.16(b)/ 2	air rather than water should be avoided used	Air may be a possibility, but other options are available.	Х		
425.	SWE112	5.16(e)/ 3	subcriticality <del>the safety and quality</del>	Quality is not relevant?	Х		
426.	TUR017	5.25 Line 6- 7	It should be verified that the fissile material nuclide composition complies with the criticality limitations of the storage area.	Describe a fuel's enrichment level with this term.	X		
427.	USA005	5.26	For wet and dry storage systems that use fixed solid neutron absorbers, a surveillance programme should be put in place to ensure that the absorbers are installed, and, to monitor their effectiveness and to ensure that they have not become displaced.	Neutron absorbing materials should have a monitoring program even if degradation is not "predicted." The point being to also detect degradation that wasn't predicted.	X		
428.	TUR018	5.29/ Line 2-4	at nuclear power plants and at research reactors, respectively, is provided in IAEA Safety Standards Series Nos NS-G-2.5, Core Management and Fuel Handling for Nuclear Power Plants [25], and in NS-G-4.3, Core Management and Fuel Handling for Research Reactors [26], respectively.	Minor correction for the sentence.	X		
429.	FIN012	5.30	/(d) and the subcriticality margin is affected by such cooling <u>time</u> .	Word "time " added.	Х		

430.	FIN013	5.30	/(g)The <u>most reactive</u> composition and geometry ofnot the most reactive composition	The word optimum changed to "most reactive" in order to make distinction to optimum moderation that is a standard term in criticality safety.	X		
431.	WNTI12	5.30	Some of the guidance provided for spent fuel (after final removal from the reactor core) may also be applied to any-used fuel (irradiated fuel handled and stored at the reactor site, also before final irradiation in the reactor core).	The differences between spent fuel and used fuel are not common. There is no need to define "used fuel" only in this section.	X		
432.	WNTI13	5.30	Irradiation and associated radioactive decay of the fissile material nuclides during reactor operation affecting criticality safety, such as potential consequences, subcriticality margins and emergency preparedness and response.	Editorial	X		
433.	GER037	5.30 (g)	 - The <u>most reactive</u> optimum composition and geometry of irradiated fuel inside the reactor core is often not the <u>most reactive</u> optimum-composition and geometry of fuel in operations outside the reactor core. <u>The radioactive</u> decay after irradiation could lead to a <u>significant increase of the neutron</u> <u>multiplication factor compared to the</u> <u>neutron multiplication factor based on</u> <u>the nuclide composition at the end of the</u> <u>irradiation.</u> 	The term "optimum" needs some clarification; does it mean "most reactive"? This effect can be important in case of long-term storage or disposal and might be worth to be mentioned explicitly.	X		

434.	SWE115	5.30(b)/ 3	cannot be sufficiently or reliable maintained	These methods may be used even when not necessary.	X			
435.	SWE116	5.30(d)/ 1 5.35/1+ 2 5.37/5+ 6+10 5.38/1+ 3	<del>pond)</del> pool	"pool" is used in SSR-4 and should be consistently used.	X			
436.	SWE117	5.30(e)/ 1	The overall nuclide compositions, including isotope compositions of specific elements, physical and chemical forms <del>composition</del>	Both the nuclide composition (including fission products) and the isotopic compositions have changed (e.g. U and Pu).	X			
437.	SWE118	5.30(g)/ 1-2	The optimum composition and geometry of irradiated fuel and water that results in maximum credible neutron multiplication factor is often not the optimum composition and geometry of fuel same in operations inside the reactor core as in operations outside the reactor core.	Optimum geometry is not credible inside the reactor and rarely outside the reactor, at least for multiple fuel assemblies. It means expansion of the fuel rod lattice.		X		The text was modified in combination with other comments.
438.	FRA099	5.30.d	The rate of change in fuel composition can be significant during this cooling period and the subcriticality margin is affected by such composition change.		X			
439.	SWE113	5.30/2- 4	Some of the guidance provided for spent fuel (after final removal from the reactor	Delete sentence if "spent" is replaced with "irradiated"			X	See other comments related to spent vs. irradiated
440.	SWE114	5.30/5*		No change. Potential consequences, emergency preparedness and response are all mentioned.			X	It is not clear what is suggested by this comment.

		5.51; 5.54b, 5.83- 5.90	Remove: - the addition to 5.30c - 5.30g - 5.30h - 5.32 - the addition to 5.45 - 5.51 - 5.54b - The addition at the end of 5.84 (From "Principally []") - the addition to 5.86 - 5.87 Second part of 5.90 (after "[] transparent assessments of subcriticality")	are outside of the scope of the proposed revision by amendment. Existed text did not have any issues, which needed to be fixed in view of publication of SSR-4. There is no obvious justification as to why all these additions are necessary. These additions increase the level of detail of these parts compared to others (statement like 5.51 about the effect of burnable absorbers on neutron spectrum energy is much more precise than most of the rest of the document) or are disproportionate (5.54 b is the best example: this item is not an "issue of particular importance" in reprocessing facilities, which is the title of the list).		Α	additions further improve the guidance. Any particular comments to the proposed modifications would be considered.
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442.	FRA100	5.30- 5.51; 5.54b, 5.83- 5.90	Remove: - the addition to 5.30c - 5.30g - 5.30h - 5.32 - the addition to 5.45 - 5.51 - 5.54b - The addition at the end of 5.84 (From "Principally []") - the addition to 5.86 - 5.87 - Second part of 5.90 (after "[] transparent assessments of subcriticality")	Most additions to the listed paras are outside of the scope of the proposed revision by amendment. Existed text did not have any issues. There is no obvious justification as to why all these additions are necessary. These additions increase the level of detail of these parts compared to others (statement like 5.51 about the effect of burnable absorbers on neutron spectrum energy is much more precise than most of the rest of the document) or are disproportionate (5.54 b is the best example: this item is not an "issue of particular importance" in reprocessing facilities, which is the title of the list) or are vague (like 5.90).		X	The proposed additions further improve the guidance. Any particular comments to the proposed modifications would be considered.
443.	PAK003	5.31	and regular testing and/or maintenance of handling equipment. Further guidance on handling equipments is provided in SSG-15 [27].	The bold text may be added, as the guidance on fuel handling equipments is missing in this section.	X		
444.	WNTI14	5.31	Handling accidents events	Consistency with "Misloading events" in p. 51. "accidents" should be defined (ex. Abnormal conditions) if it is used.	X		
445.	SWE119	5.31/3+ 6*2 5.40/2+ 3	fuel-elements assemblies	Fuel elements could be rods.	X		

446.	FRA101	5.33	This includes the handling and storage of any degraded fuel (e.g. fuel with failed cladding) that has been stored in canisters. Water retention (even temporary) within these canisters after their removal from water has to be considered.	Such configurations can lead to a significant increase in keff if such canisters can interact with other fuel assemblies		X		"has to" replaced with "should"
447.	SWE120	5.34/1	<del>pins or</del> rods	"pins" is not used in SSR-4.	Х			
448.	SWE121	5.34/2	moderation ratio of or the presence of burnable absorbers in the fuel assembly element	Removal of burnable absorber rods that are accounted for in subcriticality is serious	Х			
449.	SWE122	5.34/2	increase its-reactivity keff.	Reactivity is not correct.	X			
450.	TUR019	5.35 – 5.36	Both spent fuel "pools" and "ponds" are used in the Para.s.	One of the terms should be used in the document.	Х			
451.	FRA102	5.36	"In existing facilities where ageing of neutron absorbers has already occurred, provision of solid soluble neutron absorbers for certain credible abnormal conditions, such as a drop of a fuel assembly, should be given only limited credit."	The sentence makes more sense for solid neutron absorbers.	X			
452.	SWE123	5.36/1 Subhead ing	<del>fixed</del> -solid absorber	The main characteristic is "solid". Fixed is not defined.			X	This term is used in other IAEA Safety Standards. See for example SSG-52.
453.	TUR020	5.37/ Line 3	volume of fresh water not containing absorbers available	The sentence is more understandable in this way.	Х			
454.	UK056	5.38	"neutron absorption"	Typo. Currently "neutron absorbtion".	Х			
455.	SWE124	5.39/2- 3	involving-fuel "heavy equipment" movements (e.g. a "transport" flask being dropped onto the storage "configuration"-array).	It is not the fuel that determines the damage. Array is more of a calculation term.		X		

456.	GER038	5.40	For fuel-nuclear facilities that may handle more than one type of fuel element and/or have storage areas with different requirements for acceptable storage within the same facility, the possibility of misloading of fuel elements into the wrong storage locations should also be considered in the criticality safety assessment.	Clarification	X		
457.	TUR021	5.40/ Line 1	For fuel <b>cycle</b> facilities	Terms should be consistent with IAEA terminology.		Х	Just "facilities"
458.	GER039	5.42	Safety measures associated with events of this type should include engineered features to preclude misloading (e.g. based on the physical differences in fuel assembly design); <del>alternatively,</del> <u>additionally,</u> administrative controls and verification	Administrative controls and verification of fuel assembly markings should not be done alternatively but should be foreseen in any case as part of the management system.	Х		
459.	SWE127	5.43 2 <sup>nd</sup> bullet/3	Accounting for the burnable absorber is referred to as burnable absorber credit (or gadolinium credit when that absorber is involved).	This is an important term.	X		
460.	SWE125	5.43/1	involving <del>spent</del> fuel that is or could be irradiated, the <del>spent</del> fuel	"Spent" means fully irradiated, no more reactor irradiation while burnup credit applies to all fuel, often potentially fresh fuel. Just "fuel" is ok.	X		

461.	SWE126	5.43/2-3	greatest-maximum neutron multiplication factor over the whole expected burnup range, including no burnup (sometimes called the "peak reactivity", in particular when burnable absorbers are credited). For many fuel types the maximum <del>peak reactivity</del> is achieved by fresh fuel. For other types there may be a maximum <del>peak in</del> <del>reactivity</del>	The maximum (easier to refer to than "greatest" and avoiding the term reactor physics term "peak reactivity") may be at zero burnup, in particular if burnable absorbers are not credited at all.	X		
462.	CAN031	5.44	Editorial	Typo: Second bullet has . ,	X		
463.	EGY010	5.44	The application of burnup credit is covered in paras 5.47 to 5.50	Instead of 5.43-5.46 as indicated	X		
464.	FIN014	5.44	/10The application of burnup credit is covered in paras. <u>5.47–5.51</u> .	Seems to reference wrong paragraphs	X		
465.	UK057	5.44	"The application of burnup credit is covered in paras. 5.47–5.51.	Wrong para numbers.	X		
466.	JPN004	5.44./la st line	irradiation. The application of burnup credit is covered in paras. $5.4347$ – $5.4651$ .	Correcting quoted paragraph numbers.	X		

467.	SWE129	5.44/1- 11	Accounting for the maximum neutron multiplication factor due to irradiation is a requirement unless: • The fuel which may have a maximum above zero irradiation (burnup) can be demonstrated not to be irradiated, or • It can be sufficiently demonstrated that the fuel has reached a minimum irradiation level (burnup) and that the effects of this burnup can be safely accounted for. This more realistic approach is commonly known as 'burnup credit'. The application of burnup credit is covered in paras. 5.47– 5.50. Burnup credit for a minimum burnup for some fuel may be combined with burnable absorber credit for other fuel to allow lower burnup levels.	Where only fresh fuel can be expected and demonstrated, e.g. fresh fuel reception, storage and handling, there is no need to account for irradiation. Burnup credit is a separate, independent option that may be combined with a burnable absorber credit option.	X		
468.	SWE128	5.44/1-	Accounting for the maximum neutron multiplication factor due to irradiation is a requirement unless: • The burnable absorbers, if present, are not accounted for in the criticality safety assessment, or	The maximum is required even if burnable absorbers are not credited. The maximum will be at a different burnup (not necessarily fresh fuel, see 1 <sup>st</sup> bullet in 5.43)	X		
469.	SWE131	5.45 addition on new para.	Taking credit for burnable absorbers in fuel that may be irradiated does not require verification of the burnup but requires verification of fuel designs and initial enrichment.	Burnable absorber credit is not well described in the guide.	X		
470.	SWE130	5.45/7	misloading events, as described in para. 5.38-5.40-5.42,	Changed paras.	X		
471.	CAN032	5.47	Editorial	Typo: There is a random f) bullet.	X		
472.	GER040	5.47	delete extra paragraph f)	Paragraph is empty	X		

473.	AUS007	5.47 bis (b)	Please include the following advantage of use of burnup credit in criticality safety assessment. This can be inserted after sub-para (b) bis (b) Increased accuracy in safety analysis by reducing the uncertainties in safety margins	Use of burnup credit reduce the uncertainties in safety margin and hence improve the accuracy in safety analysis. In addition, because of reduction in uncertainties in safety margins storage and transport capacity is increased as mentioned in 5.47 (c) and 5.7 (d).		X	Burnup credit vs. safety margin uncertainity is covered by 5.48
474.	TUR022	5.47/ Line 11	( <del>f)</del>	The statement is blank, so it should be cleared	Х		
475.	SWE132	5.47/1	spent fuel during irradiation	spent or irradiated is redundant.	Х		
476.	FIN015	5.48	(a) and (b) /(b)Validation of thein paras. 4.22 - 4.37. Burnup credit analysis involves irradiated fuel which should be taken into account in the validation. (remove the rest of the para.)	References to paragraphs should be checked, (4.22-4.37) seem slightly odd! The nuclear data uncertainties are not unique to burnup credit analysis. Even in fresh fuel case, the storage geometry may contain many different materials. Here, it is sufficient to point out that the validation should take into account the spent fuel composition.	X		
477.	SWE134	5.48(b)/ 3-4	any additional uncertainties	"any" is removed (always) "additional" is added	Х		
478.	SWE135	5.48(b)/ 3-4	accumulated burnup history (in which credible fuel history variations are accounted for) for each specific fuel type <del>amount of burnup is an important</del> parameter)	A loading curve contains fuel enrichment and burnup for a specific fuel type. The fuel history (depletion and cooling) needs to be accounted for.	X		

479.	IND015	5.48/2-4	[current text] The criticality safety assessment and supporting analysis should reliably determine the $k_{eff}$ for the system, by taking into account the changes to the fuel composition during irradiation and changes due to radioactive decay after irradiation. Suggestion: It would be a useful addition to have an annexure covering <b>a</b> list of important actinides and fission products, that may get generated due to irradiation/decay, which can significantly affect the k <sub>eff</sub> .	Changes in fuel composition due to irradiation and decay leads to many actinides and fission products. It will be greatly useful to have a list of important actinides and fission product, which can significantly affect k <sub>eff</sub> in Annexure.			X	The proposal is out of the scope of approved DPP
480.	SWE133	5.48/3	determine a maximum value of the k <sub>eff</sub> for the system, by taking into account some of the changes	It is not necessary to make an accurate determination. Only some of the changes.	Х			
481.	SWE103	5.5/5	<del>common</del> -typical errors such as-double over-batching,	"Common" is a bit strong. Any over-batching may be serious.	X			
482.	FIN016	5.50	<b>Example of</b> further information and guidance	Many guides for burnup credit exist. [28] is from 2011 and just one example. Please add other references.		X		See the text

483.	FIN017	5.51	The presence of burnable absorber (BA)	The current formulation is not	Х		
			and its effect on the neutron energy	understood. Yes, BA credit and			
			spectrum should be taken into account.	burnup credit are different			
			accounted for separately in the depletion	approaches. However, BA credit			
			calculations, and not as part of burnup	is conceptually very similar with			
			credit.	burnup credit and many			
				requirements should be same for			
				these two methods.			
				When it comes to burnup credit,			
				the effect of presence of BA			
				should probably be considered.			
				One possibility is to delete the			
				paragraph since its purpose and			
				meaning is not clear.			

484	GER041	5 51	The presence of burnable absorber (BA)	We suggest to delete this	X		
-07.	SER041	5.51	and its effect on the neutron energy	naragranh			
			spectrum should be accounted for	It is not clear what is meant by			
			separately in the depletion calculations	"accounting for the presence of			
			and not as part of human aradit	burnable absorber and its affect			
			and not as part of burnup crean.	on the neutron energy enertrum			
				on the neutron energy spectrum			
				separately in the depietion			
				calculations, and not as part of			
				burnup credit."			
				In a burnable absorber credit			
				analysis, the nuclide composition			
				of the spent fuel is commonly			
				calculated in depletion			
				calculations taking into account			
				the burnable absorber in the fuel.			
				The subsequent criticality			
				calculation is then based on the			
				fuel composition corresponding to			
				the peak reactivity. At peak			
				reactivity, however, there is still			
				some burnable absorber left in the			
				fuel. Hence, the presence of			
				burnable absorber is in fact			
				accounted for as part of burnup			
				credit.			
485.	SWE136	5.51	5.51 The presence of burnable absorber	This is not correct. Depletion is an	X		
			(BA) and its effect on the neutron	essential part of burnup credit.			
			energy spectrum should be accounted	Presence of burnable absorbers			
			for separately in the depletion	should be accounted for,			
			calculations, and not as part of burnup	conservatively, see $5.48(c)$			
			credit	•••••••			

486.	WNTI15	5.51	The presence of burnable absorber (BA) and its effect on the neutron energy spectrum should be accounted for separately in the depletion calculations <del>,</del> and not as part of burnup credit.	The BA should be taken into account in the depletion calculations of burnup credit for BWR fuels.	X		
487.	SWE137	5.54(b)/ 1	other actinides than uranium and plutonium	No actinides are referred to in this para. while U, Pu and Th are mentioned in para 5.53.	Х		
488.	SWE138	5.54(g) new	(g) Difficulties in monitoring the continuous processes in high radiation level operations.	It may be difficult to see exactly what is going on.	Х		
489.	SWE139	5.60/2	affect the subcriticality measures include:	Just criticality is not correct.	X		
490.	GER042	5.69 Line 2	to detect such leaks are provided in para. <u>5.54</u> <u>5.59</u> .	Check correctness of reference – para. 5.54 does not deal with leakage detection	X		
491.	EGY011	5.72	The recommendations in para 5.71- 5.79	Instead of 5.58-5.77 according to the new modification	X		
492.	FIN018	5.72		The referencing to paragraphs (in paras 5.58-5.77) should be checked	Х		
493.	GER043	5.72 Line 2	The recommendations in paras $\frac{5.58}{5.77-(x.xx - y.yy)}$ apply to packaging	Check correctness of reference	X		
494.	JPN005	5.72./L 1	Waste management operations cover a very wide range of facilities, processes and materials. The recommendations in paras 5. <u>5873</u> –5.77 apply to packaging,	Correcting quoted paragraph number.	X		

495.	JPN006	5.72./L 3	The recommendations are intended to cover the long term management and disposal of waste arising from operations involving fissile material (e.g. 'legacy waste')	According to the IAEA Safety Glossary (2018), the definition of waste management includes all administrative and operational activities involved in the handling, pretreatment, treatment, conditioning, transport, storage and disposal of radioactive waste.	v	X		"waste management"
490.	SWE140	5.72/1	In paras- <del>3.38-3.77</del> 5.71-5.79	Paras for waste management	Λ			
497.	EGY012	5.73	Waste is commonly wrapped in materials that can act as more effective moderators than water — for example, polyethylene and this should be avoided and taken into account in the criticality safety assessment.	Avoid using moderator as wrapped materials			X	Polyethylene might be a good solution for many types of waste, we do not want to close this option totally.
498.	CAN033	5.75	Technical: For the storage of waste containing fissile nuclides, consideration should be given to potential changes in the configuration of the waste, the introduction of a moderator or the removal of material (such as neutron absorbers) as a consequence of a credible internal or external event	To make it consistent with para 6.50 of SSR-4.	X			
499.	JPN017	5.78	5.78, resulting in more handling and transport shipments and higher storage volumes,	Redundant		X		

500.	WNTI16	5.78	5.78, resulting in more handling and transport shipments and higher storage volumes,	Editorial "shipments" is duplicate to "transport".	X		
501.	GER044	5.78 Line 5	This might then lead to an increase in the number of packages produced, resulting in more handling, and transport shipments and higher storage volumes, each of which is associated with a degree of risk (e.g. radiation doses to operating personnel, road or rail accidents, construction accidents).	'transport' and 'shipment' are redundant	X		
502.	TUR023	5.79/ Line 1	The fissile inventory of spent fuel mainly consists of <del>any</del> remaining 233U <del>or/and</del> <b>and/or</b> 235U and	Minor correction for the sentence.	Х		
503.	FIN019	5.82		The referencing to paragraphs 5.85-5.91 should be checked and corrected. Paragraph 5.85 has nothing to do with research laboratories.	X		
504.	WNTI17	5.82	The approach used to ensure subcriticality in decommissioning may be similar to that used for research laboratory facilities (see paras. 5.8592– 5.9198),	Editorial	X		
505.	JPN007	5.82./L 1	The approach used to ensure subcriticality in decommissioning may be similar to that used for research laboratory facilities (see paras. 5.8592– 5.9198), where	Correcting quoted paragraph numbers.	X		

506.	JPN008	5.83./L 1	Movement or transfer transport of radioactive material within a licensed site should be considered to be an on- site operation.	Better wording.		X	When describing movement of materials on the site we prefer to call it transfer. Transport is used for between the sites.
507.	TUR024	5.83/ Line 2	to be an on-site <del>operation</del> <b>transport</b> .	On-site transport is more suitable than on-site operation.		X	The term "operation" is used intentionally here. Transport is a defined term, however what we have in mind here is really one of operations.
508.	FIN020	5.84	The general requirement to prevent criticality in transport does not require licensing. (to be removed)	It is not clear what is meant by this sentence. Therefore, it is difficult to propose new formulation.	X		
509.	FRA103	5.84	Principally due to the requirement for multilateral approval of package designs intended for transport of fissile material, the criticality safety licensing requirements for transport are more prescriptive ("how to design a subcritical package") rather than safety-based ("what to achieve to obtain criticality safety in actual transport"). The general requirement to prevent criticality in transport does not require licensing.	The proposed additional text is not appropriate in SSG-27. The general framework should be better placed in the SSG-26.	X		

510.	JPN018	5.84	5.84 The licensing requirements for subcriticality assessment for off-site transport differ considerably from the requirements for licensing requirements for subcriticality at facilities and for activities other than transport. The general requirements to protect against the consequences of a criticality accident, preferably by preventing criticality applies both to transport (basis for SSR-6 and as specified in para <u>.</u> 673(a)) and to other operations	"General requirements", which appear only in this paragraph throughout this document, are ambiguous words and the intention of using them is not clear. If the words are used, the definition, concept of the words or related examples should be added.	X		
511.	JPN019	5.84	5.84 Principally due to the requirement for multilateral approval of package designs intended for transport of fissile material, the criticality safety licensing requirements for transport are more prescriptive ("how to design a subcritical package") rather than safety- based ("what to achieve to obtain criticality safety in actual transport")	This sentence does not help users of the Transport Regulations, but confuses them. In addition, multilateral approval does not seem to be the reason that the requirements are prescriptive (see 5.85).		X	Reduced following other comments.
512.	JPN020	5.84	5.84 The general requirement to prevent criticality in transport does not require licensing.	Intention of this sentence is unclear. See Comment No. 18.	X		

513.	WNTI18	5.84	5.84 The licensing requirements for subcriticality assessment for off-site transport differ considerably from the requirements for licensing requirements for subcriticality at facilities and for activities other than transport. The general requirements to protect against the consequences of a criticality accident, preferably by preventing criticality applies both to transport (basis for SSR-6 and as specified in para <u></u> . 673(a)) and to other operations. Principally due to the requirement for multilateral approval of package designs intended for transport of fissile material, the criticality safety licensing requirements for transport are more prescriptive ("how to design a subcritical package") rather than safety- based ("what to achieve to obtain criticality safety in actual transport"). The general requirement to prevent criticality in transport does not require licensing.	<ul> <li>"criticality assessment" is used in SSR-6. The term should be consistent in this document.</li> <li>"general requirements" is not clear and it doesn't seem necessary.</li> <li>The requirements for off-site transport in SSR-6 are clear. It's not clear why only multilateral approval is mentioned.</li> </ul>	X		
514.	TUR025	5.84/ Line 2&3	from the requirements for licensing requirements for subcriticality at facilities and for activities <del>other than</del> transport.	The sentence is more understandable in this way.		X	Deleting "other than transport" would change the meaning of the sentence.

515.	TUR026	5.84/ Line 3-5		It is suggested to rephrase the sentence that starts with "The general requirement" in order to make the sentence understandable.		X		Replaced with "licensing"
516.	SWE141	5.84/8	general requirement in SSR-6 para. 673(a) to prevent criticality in transport under normal credible abnormal conditions does not require licensing. Subcriticality during transport is controlled by provisions in SSR-6 but does not require licensing.	This is an important para. in SSR- 6. An actual transport does not normally require specific licensing.		X		The text modified in combination with other comments.
517.	SWE164	5.84bis	Some credible criticality accident conditions, such as immersion of packages under water (e.g. para. 730 of SSR-6), where the water provides shielding, does not require package design licensing.	Example where demonstration of package design subcriticality is not required for licensing even though criticality may be credible.			X	See comment No. SWE163
518.	WNTI19	5.85	Due to the potential for closer contact with the public and absence of the safety amenities of a facility, the criticality safety assessment for <u>off-site</u> transport is more stringent and is required to be conducted solely on the basis of a deterministic approach.	Only off-site transport is close to the public.	X			
519.	SWE142	5.85/2- 3	, and absence of licensing for real transport conditions, the licensing requirements <del>safety assessment</del> for a transport package design <del>is</del> are more stringent. <del>and is required to be</del> <del>conducted solely on the basis of a</del> <del>deterministic approach.</del>	The regulations are stringent for package designs, not for real transport.		X		

520.	AUS008	5.86	Please replace the word ' <i>sample</i> ' (transport package) with ' <i>prototype</i> '	Since this terminology is commonly used for testing a new design of a package.	Х		
521.	FIN021	5.86		X	Y		

522	FRA104	5 86	The potential state of a sample transport	The transport regulations (SSR-6)	X		
022.	1101101	2.00	nackage before during and after the	apply to "nackage designs" and			
			tests specified in SSR-6 (Rev. 1) [6]	do not refer to "sample package"			
			(e.g. water spray and immersion drop	or "real nackage" So the words			
			and thermal tests) provides confirmation	"sample" and "real" should be			
			of the assumptions made for the	deleted			
			criticality safety assessment and analysis	The proposed additional sentence			
			of the design. The specified tests may	heginning with "The specifies			
			not be required if the information can	tests" should be deleted because it			
			he concluded from reasoned	is redundant with SSP 6 and			
			arguments, colculations using	SSG 26. It is not the objective of			
			validated methods or similar tests in	SSG-20. It is not the objective of			
			the next Since the tests should verify				
			the assumptions used in the	The references of the			
			subcriticality analysis many tests need	administrative controls and of the			
			to be considered to cover each scenario	administrative controls and of the			
			(a g an individual package and a	be deleted because it is a			
			(e.g. all individual package and a	be deleted because it is a			
			Additional safety assessment	SCD 4			
			Additional safety assessment	SSK-0.			
			(subsequent to competent authority				
			approval) is required for the actual				
			transport operation (see para. 5.82).				
			Although the requirements established				
			in SSR-6 (Rev. 1) [6] provide a				
			prescriptive system for package				
			subcriticality design assessment, they				
			are not free of engineering judgement.				
			Often, especially for estimating the				
			potential behaviour of a real package				
			under accident conditions, considerable				
			engineering expertise is required. This				
			also applies to specifications of tests to				
			be carried out and to interpretation of				
			test results for verification of the				
			subcriticality assessment assumptions.				

			The criticality safety assessment for transport requires understanding of the potential criticality accident consequences of particular transport operations, of the basis and limitations of the package design requirements, of the administrative controls before and during transport as well as of emergency preparedness and response. It should therefore be carried out only by persons with suitable knowledge and experience of the requirements			
523.	JPN021	5.86	5.86 The potential state of a <u>test</u> <u>specimen of sample</u> transport package before, during and after the tests specified in SSR-6 (Rev. 1) [6] (e.g. water spray and immersion, drop and thermal tests) provides confirmation of the assumptions made for the criticality safety assessment and analysis of the design	Better words.	X	Prototype transport package

524.	JPN022	5.86	5.86 The specified tests may not be required if the information can be concluded from reasoned arguments, calculations using validated methods or similar tests in the past. Since the tests should verify the assumptions used in the subcriticality analysis, many tests need to be considered to cover each scenario (e.g. an individual package and a package in an array configuration).	Additional safety assessment is not limited to ones subsequent to CA approval.	X		CA approval remains
			Additional safety assessment (subsequent to competent authority approval) may be is required for the actual transport operation (see para. <u>5.87</u> 5.82).	To be consistent to para. 5.87. Correction.			
525.	JPN023	5.86	5.86 Although the requirements established in SSR-6 (Rev. 1) [6] provide a prescriptive system for package subcriticality design assessment, they are not entirely free of engineering judgement. Often, especially for estimating the potential behavior-behaviour of a real package under such as loading and unloading-accident conditions, considerable engineering expertise is required. This also applies to specifications of tests to be carried out and to interpretation of test results for verification of the subcriticality assessment assumptions	Accident conditions are covered in para. 673, but loading/ unloading conditions may not be covered.		X	This is an existing text not subject to amendment.

526.	JPN024	5.86	5.86 The criticality safety assessment for transport requires understanding of the potential criticality accident consequences of particular transport operations, of the basis and limitations of the package design requirements, of the administrative controls before, and during and after transport as well as of emergency preparedness and response. 	Conditions of after transport should also be included.	X		
527.	JPN025	5.86	5.86 It should therefore be carried out only by persons with suitable knowledge and experience of the requirements established in SSR-6 (Rev. 1) [6].	The first part of para. 5.87 These words should be moved here.	Х		
528.	WNTI20	5.86	The potential state of a test specimen or sample representing a sample transport package before, during and after the tests specified in SSR-6 (Rev. 1) [6] (e.g. water spray and immersion, drop and thermal tests) can provides confirmation of the assumptions made for the criticality safety-assessment and analysis of the design.	"potential" is not clear and seems unnecessary. Consistent terminology (criticality assessment)	X		
529.	WNTI21	5.86	5.86 Additional safety assessment (subsequent to competent authority approval) is required for the actual transport operation (see para. 5.82).	No additional assessments subsequent to competent authority approval are required in SSR-6 at all.		X	The provisions says that design approval of transport package is not enough for an actual transport. Additional approval/license is needed for individual transports.

					1			
530.	WNTI22	5.86	5.86	The accident conditions for off-		Х		Agree with the
			The criticality safety assessment for <u>on-</u>	site transport are clearly defined				justification for the
			site transport requires understanding of	in SSR-6, but the accident				comment. Namely,
			the potential criticality accident	scenarios in on-site transport				SSR-6 assumed very
			consequences of particular on-site	should be considered based on the				hypothetical and
			transport operations, of the basis and	realistic operations.				extremely conservative
			limitations of the package design					conditions to bound any
			requirements, of the administrative					actual and real life
			controls before and during on-site					conditions. Realistic
			transport as well as of emergency					conditions of off-site
			preparedness and response. It should					transport are never
			therefore be carried out only by persons					considered in the
			with suitable knowledge and experience					assessment. However,
			of the transport requirements in					the proposed re-wording
			facilities.					would put the text out of
								context of 5.86, which is
								about off-site, not on-
								site. Therefore one part
								of the para deleted, last
								comment accepted.
531.	GER045	5.86	Additional safety assessment	Para 5.82 deals with subcriticality	Х			
		Line 7	(subsequent to competent authority	in decommissioning and not				
			approval) is required for the actual	transport.				
			transport operation (see para. 5.8882).					
532.	<b>TUR027</b>	5.86/	especially for estimating the potential	The sentence is more	Х			
		Line 11	behaviour of a real package	understandable in this way.				
533.	SWE144	5.86/11	real-package under prescribed accident	A real package should comply		Х		Modified following
			conditions	with the design. Accident				other comments.
				conditions in actual transport				
				should not be confused with those				
				for the package design.				
534.	SWE145	5.86/12	This also applies to specifications of	The tests are used to determine			Х	These are two different
			tests	the prescribed normal and				tasks.
				accident conditions.				
535.	SWE143	5.86/7- 8	Additional safety assessment (subsequent to competent authority approval) is required for the actual	This sentence appears to be redundant, after considering suggested changes above.	X			
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			transport operation (see para					
536.	CAN034	5.87	Editorial:	Formatting	X			
			middle of the paragraph (close to the end, before "established in SSR-6"). It should be formatted to show up at the beginning, before "Although the					
505	554105	<b>5</b> 0 <b>7</b>	requirements"		**			
537.	FRA105	5.87	calculation) of a package design is one component of the criticality safety	what is the difference between « subcriticality assessment » and "criticality safety assessment"?	X			
	ļ		assessment."	Clarification proposed.				
538.	FRA106	5.87	Move this back to 5.86	Wording mistake	Х			
539.	JPN026	5.87	5.87 established in SSR-6 (Rev. 1) [6]. Subcriticality assessment of a package design is one component of the criticality safety assessment. The	Editorial (see Comment No. 25).	X			
			competent authority may require specific additional actions before <u>and</u> during <u>and after</u> transport, some of which may require specific approval.	Conditions of after transport should also be included.				
540.	TUR028	5.87	<del>5.87</del>	There should be no new para. In this line, it is used in the middle of a sentence. Also the numbering of rest para.s should be corrected.	X			
541.	WNTI23	5.87	5.87 established in SSR-6 (Rev. 1) [6].	Туро		X	Looks like a typo in track changes mode only, the final text is good.	

542.	WNTI24	5.87	5.87 Subcriticality assessment of a package design is one component of the criticality safety assessment.	This sentence doesn't provide any information.	X			
543.	WNTI25	5.87	The competent authority may require specific additional actions before and during <u>on-site</u> transport, some of which may require specific approval.	It is unlikely that the CAs requires any additional requirements beyond SSR-6 for off-site transport, but the CAs may require some requirements for on- site transport depending on the specific operations.		X		Yes we agree, the sentence was deleted not to confuse on-site and off-site transport provisions.
544.	SWE146	5.87/2	one component of the criticality safety assessment. Emergency preparedness and response are required to be established in advance (SSR-6, para. 304).	Emergency preparedness and response establishment is required but SSR-6 does not specify how.			X	The comment is unclear, what is suggested?
545.	FIN022	5.88		The reference (para 5.81) should be checked and corrected	Х			
546.	FRA107	5.88	The para 5.88 could be deleted or could only refer to para 673 od SSR-6. At least, the added words "real" and "actual" should be deleted.	Simplification measure: The para 5.88 is redundant with para 673 of SSR-6. The transport regulations (SSR-6) does not refer to "actual transport" or "real conditions". Those conditions are not the standardized conditions taken into consideration by SSR-6 (normal or accident conditions of transport). SSR-6 applies to "package designs" and not to "real package".	X			

547.	GER046	5.88	The assessment of subcriticality referred to in para. $5.\underline{8781}$ provides a basis for the package design, but a subcriticality assessment for the actual transport under real conditions is required by Paragraph 673 of SSR-6 (Rev. 1) [6],	Para 5.81 deals with subcriticality in decommissioning and not transport.	X		
548.	JPN027	5.88	5.88 The assessment of subcriticality referred to in para. 5.865.81 provides a safety basis for the package design, but a subcriticality assessment for the actual transport under real conditions is required by Paragraph 673 of SSR-6 (Rev. 1) [6]-, which states:	Correcting para number. Editingorial (typo).	X		
549.	WNTI26	5.88	The assessment of subcriticality referred to in para. 5.81-5.86 provides a basis for the package design, but a subcriticality assessment for the actual transport under real conditions is required for on-site transport. Requirements for off-site transport are provided by Paragraph-673 of SSR-6 (Rev. 1) [6], which states:	Consistency of terminology. 5.81 is wrong, 5.86 may be more appropriate. SSR-6 doesn't require to consider actual transport conditions including accidents. The transport conditions (routine, normal and accident) for off-site transport are clearly provided in para.673.		X	Slightly modified wording.
550.	WNTI27	5.88 footnot e	<sup>18</sup> In the context of SSR-6 (Rev. 1) [6], fissile material includes only <sup>233</sup> U, <sup>235</sup> U, <sup>239</sup> Pu and <sup>241</sup> Pu, subject to a number of exceptions <u>identified in para.222</u> .	The intention of this footnote 18 is not clear because it is not related to temperature change. It can be deleted. If it is necessary, para.222 should be added in the footnote because additional conditions are provided in the paragraph.	X		

551.	SWE147	5.88/all	The basis for subcriticality in transport is thus the package design under prescriptive conditions. This is similar to subcriticality for facilities. Actual transport subcriticality requires consideration of realistic normal and credible abnormal conditions. Paragraph 673 of SSR-6 (Rev. 1) [6] states: "Fissile material shall be transported so as to: (a) Maintain subcriticality during routine, normal and accident conditions of transport; in particular, the following contingencies shall be considered:" The contingencies apply to actual transport, not to be confused with the package design requirements, including test specifications.	The transport regulations are not so different to facility regulations. The difference between design safety (paper) and real safety (operation) exists for both. The transport regulations are more prescriptive (not deterministic) for the design and relies on procedures rather than licensing for subcritical operation.		X		The text was modified in combination with other comments.
552.	WNTI28	5.89	Hazards to be considered for on-site transfer transport should include, but are not limited to, the following:	Consistency	Х			
553.	GER047	5.89 (a)	<u>a) Insufficient p</u> Provisions to ensure that packages of fissile material remain reliably fixed to vehicles	In the way (a) is stated in the draft, it is not a hazard, according of the logic of this paragraph (a) should be reversed or rephrased		X		Hazards changed to Considerations
554.	SWE149	5.89(d)/ 1	out of the package <del>confinement system</del>	The confinement system in SSR-6 is a misleading term representing a curious concept. Should not be used here even though it is for on- site transfer.	X			
555.	SWE148	5.89/1	Hazards required to be considered for licensing of on-site transfer	If licensing is not required, there is no difference to public transport.			Х	More general term "considerations" is suggested

556.	CAN035	5.90	Technical: Remove para 5.90	Para 5.91 conveys the same meaning as para 5.90 without making strong but vague statements. See comment on para 5.30.	X		
557.	FIN023	5.90	Criticality safety in transport requires more than subcriticality -> Criticality safety is a requirement in transport /8That The owner of the fissile material should provide any information	The current formulation is not meaningful. What is meant by "Criticality safety requires more than subcriticality"? misprint? Something strange in the sentence.		X	The text was removed
			on special controls required and should justify any actions needed to prevent such potential consequences				

558.	FRA108	5.90	This requirement is different to the	The proposed additional para is	Х		
			package design requirements in SSR-	not appropriate in SSG-27.			
			6. Consideration of the actual	A SSG document cannot			
			transport conditions need to be	introduce any requirement. It can			
			<del>considered, which may differ from the</del>	only explain a SSR document.			
			prescriptive design requirements.	As written, the text implies that			
			Actual package contents, actual	the risk of criticality is poorly			
			number of packages, vehicle	taken into account by the SSR-6.			
			<del>properties, transport mode</del>	If there is a lack in the transport			
			<del>environment, human factor</del>	regulations, these transport			
			consideration, etc. may lead to	regulations must be modified.			
			simpler, more accurate and	Competent authorities should not			
			transparent assessments of	replace consignors.			
			subcriticality. Criticality safety in	This additional para is			
			transport requires more than	contradictory to paras 304 and			
			subcriticality and emergency	section 5 of SSR-6.			
			preparedness is required specifically	The word "owner" is			
			in SSR-6. Any case where the	inappropriate and the transport			
			potential criticality accident	regulations (SSR-6) does not refer			
			consequences could be much more	to "actual package".			
			<del>serious, than assumed as the basis by</del>				
			SSR-6, should be considered. That				
			owner of the fissile material should				
			provide any information on special				
			controls required and should justify				
			any actions needed to prevent such				
			potential consequences. In general,				
			competent authorities in all countries				
			involved in transport of fissile				
			material should be aware of actual				
			transports and should act on such				
			information where necessary and				
			consistent with national regulations.				

559.	GER048	5.90	This The criticality safety requirement	Clarification of several issues	Х	The paragraph was
			for transport is different to the package			significantly modified
			design requirements in SSR-6.			following other
			Consideration of the The actual transport			ocmments.
			conditions need to be considered, which			
			may differ from the prescriptive design			
			requirements. Actual package contents,			
			actual number of packages, vehicle			
			properties, transport mode environment,			
			human factor consideration, etc. may			
			lead to simpler, more accurate and			
			transparent assessments of subcriticality.			
			Criticality safety in transport requires			
			more than subcriticality and emergency			
			preparedness is as required specifically			
			in SSR-6. Any case where the potential			
			criticality accident consequences could			
			be much more serious, than assumed as			
			the basis by SSR-6, should be			
			considered. That The owner of the fissile			
			material should provide any information			
			on special controls required			

560.	JPN028	5.90	5.90 This requirement for on-site	Clarification.	Х	The para was removed
			transport is different to the package			from the document
			design requirements in SSR-6 (Rev.1)	Editorial		following other
			[6]. Consideration of the actual transport			comments.
			conditions need to be considered, which			
			may differ from the prescriptive design	Editorial.		
			requirements. Such as a Actual package			
			contents, actual number of packages,			
			vehicle properties, transport mode			
			environment, human factor			
			consideration <del>, etc.</del> may lead to simpler,			
			more accurate and transparent			
			assessments of subcriticality. Criticality			
			safety in transport requires more than	Editorial.		
			subcriticality and emergency			
			preparedness is required specifically in			
			SSR-6 (Rev.1) [6]. Any case where the	Editorial.		
			potential criticality accident			
			consequences could be much more			
			serious, than assumed as the basis by			
			SSR-6 <u>(Rev.1)</u> [6], should be			
			considered. That owner of the fissile			
			material should provide any information			
			on special controls required and should			
			justify any actions needed to prevent			
			such potential consequences. In general,			
			competent authorities in all countries			
			involved in transport of fissile material			
			should be aware of actual transports and			
			should act on such information where			
			necessary and consistent with national			
			regulations.			

561.	TUR029	5.90 Line 1	This requirement is different to the package design requirements in SSR- 6[references number].	[References number] should write every citation.	X		
562.	UK058	5.90	"The owner of the fissile material"	Typo. Currently "That owner"		X	The provision deleted following other comments.
563.	WNTI29	5.90	ThisThe requirements for on-site transport are is different thano the package design requirements in SSR-6. Consideration of the actual <u>on-site</u> transport conditions need to be considered, which may differ from the prescriptive design requirements. Actual package contents, actual number of packages, vehicle properties, transport mode environment, human factor consideration, etc. may lead to simpler, more accurate and transparent assessments of subcriticality <u>for on-site</u> <u>transport</u> . Criticality safety in transport requires more than subcriticality and emergency preparedness is required specifically in SSR-6. Any case where the <u>re are</u> potential criticality accident consequences <del>could be much more</del> serious, than assumed as the basis by SSR-6, should be considered.	On-site transport and off-site transport regulated by SSR-6 should be clearly separated. SSR-6 requires only for off-site transport. SSR-6 regulates to prevent any criticality accidents and provides no assumptions on any criticality accidents.		X	The whole para was deleted following other comments.

564	ED A 100	5.01	The articolity sefety assessment of a	Taking into account surrent		v	Degardless where the
504.	FKA109	5.91	The criticality safety assessment of a	Taking into account current		Λ	Regardless where the
			transport package approved according to	situations.		1	demonstration is, it is
			requirements of SSR-6 (Rev. 1) [6] may			1	still transport package
			rely upon this approval for the use in a				safety assessment.
			facility. In such a case, it should be				
			demonstrated that all normal and				
			credible abnormal conditions are bound			1	
			by the existing transport package safety				
			assessment or demonstrated in safety				
			files of facilities. In addition, the				
			package need to be in the same				
			configuration than during transport			1	
			(equiped with its shock absorbers for			1	
			example).				
565.	JPN029	5.91	5.91 The criticality safety assessment of			Х	The correct
			a transport package approved according			1	terminology is as in the
			to requirements of SSR-6 (Rev. 1) [6]			1	draft.
			may rely upon this approval for the use			1	
			in a facility. In such a case, it should be			1	
			demonstrated that all normal and	Clarification		1	
			demonstrated that all normal and	Claimeation.		1	
			credible off-normal and accident			1	
			abnormal-conditions are bound by the			1	
			existing transport package safety			1	
			assessment.			1	
						1	

566.	WNTI30	5.91	The criticality safety assessment of a transport package approved <u>for off-site</u> <u>transport</u> according to requirements of SSR-6 (Rev. 1) [6] may rely upon this approval for the <u>use</u> <u>on-site</u> transport in a facility. In such a case, it should be demonstrated that all normal and credible <u>off-normal</u> and <u>accident</u> <u>abnormal</u> -conditions <u>in a facility</u> are bound by the existing transport package safety assessment.	On-site transport and off-site transport regulated by SSR-6 should be clearly separated.		X	<ol> <li>The comment is technically sound as it relates to the off-site transportation, but it attempts to apply off- site terminology instead of the on-site one.</li> <li>The original text appropriately allows the use of off-site transportation certificate for assessment of any on-site activity, not just on-site transportation.</li> </ol>
567.	SWE150	5.91/1	of a transport package, complying with a package design approved	A specific package is not approved, only the design.	Х		
568.	SWE151	5.91/2	package design safety assessment.	Again, it is the design.	Х		
569.	CAN036	5.92	Technical: 5.92 This publication also covers those research and development of systems and products laboratories that that handle or utilize fissile material in sufficient quantities for criticality to be credible where there is a potential for criticality	Use of term credible for the purposes of para 5.92 is not technically sound and inconsistent with content and terminology of SSR-4, paras 6.148, 9.23, 9.85 and of this draft, paras 1.6, 2.3, 5.8, 5.99, 6.42b), 6.43. Terminology from these paras should be used in para 5.92.	X		
570.	CAN037	5.92	Editorial: Two "that" words in the first sentence " that that handle or utilize"	Туро	X		
571.	FIN024	5.92	This publication also covers those research and development laboratories that handle or utilize	This is a definition that should be stated somewhere in the beginning of the safety guide e.g. in paragraph 1.2.		X	The text was modified to exclude "Scope" type of language

572.	GER049	5.92	This publication also covers those research and development laboratories that that handle or	Remove extra "that"	X		
573.	SWE153	5.92 Footnot e 18	In the context of the Transport Regulations, SSR-6 (Rev. 1) [6], the fissile material definition is based on presence of significant quantities and mass fractions of the selected fissile nuclides <del>includes only</del> <sup>233</sup> U, <sup>235</sup> U, <sup>239</sup> Pu and/or <sup>241</sup> Pu, subject to a number of exceptions. Other fissile nuclides may require accounting for in a subcriticality assessment.	The fissile material definition in SSR-6 is a practical solution to specify the provisions. Other fissile nuclides may need consideration in a safety assessment (e.g. <sup>243</sup> Cm in burnup credit).	X		
574.	JPN009	5.92./L 1	This publication also covers those research and development laboratories that that handle or utilize fissile material in sufficient quantities for criticality to be credible.	Editorial.	X		
575.	SWE152	5.92/2- 3	for criticality to be-credible a potential threat.	To determine that criticality is not credible, some assessment is required.		X	Modified by other comments.
576.	SWE154	5.93/6	Examples of special fissionable (including fissile) and non-fissionable nuclides	A mixture of all nuclide types.	X		
577.	SWE155	5.94/4 and 5.95/4	subcriticality-controlled area	Criticality control is for a reactor	X		

578.	GER050	5.95 Line 2	The management system should ensure that the combining of material from another criticality controlled area or the movement of moderators into an area is restricted and <u>that</u> such movement is subjected to a criticality safety assessment	Clarification of sentence	X		
579.	FIN025	5.98		Reference to para 5.86 should be corrected. Para 5.86 does not mention any materials	X		
580.	FRA110	5.98	Particular challenges will be encountered in determining the critical mass of unusual materials, such as some of those listed in para. 5.86 5.93.		X		
581.	GER051	5.98 Line 2	$\dots$ such as some of those listed in para. $5.86 \underline{5.93}$ and other exotic trans- plutonium materials	Check correctness of reference	Х		
582.	SWE156	5.98/1- 2	unusual materials which contain significant fractions of special nuclides	The special nuclides may not be available separately.	X		
583.	SWE157	5.98/1- 2	5.86-5.93 (with references) and other exotic trans plutonium materials	The nuclides are not exotic. In irradiated fuel.	X		
584.	SWE158	5.98/3	no-few criticality experiment benchmarks with which k <sub>eff</sub> criticality computations with these nuclides and materials	There are critical experiments where <sup>237</sup> Np is present in significant fractions.	X		

585.	CAN038	5.99	Technical:	Replace the modification with the	Х		
			Subaritical assemblies have the potential	text proposed during consultancy			
			for criticality accidents but should be	meetings. Justification: its			
			considered as reactor cores:	technical content and the			l l
			consequently, criticality safety	emphasize is the opposite to what			
			measures, as described in the previous	criticality safety experts agreed			
			sections, may not be sufficient	upon. The proper			l l
				exclusion/warning should apply to			
			Subcritical assemblies have the potential	reactors or other facilities that are			
			for criticality accidents; as such,	designed to be critical, not			
			in the previous sections, should be	subcritical! For example,			
			applied	ANSI/ANS-8.1, Canadian			
			a provensione a second s	REGDOC-2.4.3, and other			
				documents state that information			
				set out in those standards applies			
				to operations with fissionable			
				materials outside nuclear reactors,			
				except for the assembly of these			
				materials under controlled			l l
				conditions (such as in critical			
				experiments).			
				The recommendations of the rest			
				of the draft apply to the subcritical			
				assemblies. One specific feature is			
				that keff (or closely related			
				parameters such as "inverse count			
				rate") may be used as a control			
				(see comment on para 2.10).			1

586.	FRA111	5.99	Subcritical assemblies have the potential	It is not necessary that subcritical		X	The justification
			for criticality accidents but should be	assemblies, which, by definition,			provided does not
			considered as reactor cores;	are not intended (and thus			contradict the provision,
			consequently, criticality safety	designed) to be critical, have to be			so we suggest to keep it.
			measures, as described in the previous	considered as reactor cores.			It is just to provide
			sections, may not be sufficient	The recommendations of the rest			further
			Subcritical assemblies have the potential	of the doc apply to these			guidance/reference for
			for criticality accidents; as such,	assemblies. One specificity is that			subcritical assemblies.
			criticality safety measures, as described	keff (or closely related parameters			
			in the previous sections, should be	such as "inverse count rate") may			
			applied.	be used as a control (see comment			
				on para 2.10). Not added in the			
				proposed change but could be.			
587.	PAK004	5.99	Subcritical assemblies are generally	The bold text may be added.	Х		
			used for research and educational				
			purposes. Subcritical assemblies have				
			the potential for criticality accidents but				
			should be considered as reactor cores;				
			consequently, criticality safety				
			measures, as described in the previous				
			sections, may not be sufficient. Further				
			details may be found in Annex II of				
			SSR-3 [51] which provides an				
			overview of the application of the				
			safety requirements to subcritical				
	THE OCC	<b>5</b> .00	assemblies.				
588.	TUR030	5.99		The second sentence of this Para.	X		
				is not clear, should be rephrased.	1		

		1					,,
589.	USA018	5.99	Technical: Subcritical assemblies have the potential for criticality accidents but should be considered as reactor cores; consequently, criticality safety measures, as described in the previous sections, may not be sufficient	Delete the modification because its technical content and the emphasize is the opposite to what criticality safety experts agreed upon. The proper exclusion/warning should apply to reactors or other facilities that are designed to be critical, not subcritical. For example, ANSI/ANS-8.1, Canadian REGDOC 2.4.3, and other documents state that information set out in those documents applies to operations with fissionable materials outside nuclear reactors, except for the assembly of these materials under controlled conditions (such as in critical		X	The text was modified in line with comment No. CAN038 which is in line with experts agreement during the consultancies. CAN038 refers to the same standard as this comment.
590.	WNTI31	5.99	Subcritical assemblies 5.99 Subcritical assemblies are generally used for research and educational purposes. Subcritical assemblies have the potential for criticality accidents but should be considered as reactor cores; consequently, criticality safety measures, as described in the previous sections, may not be sufficient.	What is "subcritical assemblies"? This term is not listed even in the Safety Glossary. It should be defined if considered necessary.	X		This para. includes a reference to SSR-3 which includes subcritical assemblies.

591.	FRA112	6.0		This chapter should emphasize the need for neutron portable detector for emergency teams. This kind of device is not usual (compared to gamma portable detector) but neutrons are produced by criticality accident		X	No suggested wording was provided. Section 6 discusses both neutron and gamma dose contribution, states that criticality accident detection can be accomplished by either neutron or gamma detection, and that personnel dosimeters should be provided that monitor both gamma and neutron radiation. By this we believe the intent of the comment is covered.
592.	CAN039	6.01	Editorial: - typo " it does highlight", not " highlights"	Туро	X		

593.	WNTI32	6.01	6.1	TS-G-1.2 for transport emergency	Х		
			Further recommendations and guidance	should be added.			
			are provided in IAEA Safety Standard				
			Series Nos GSG-2, Criteria for Use in				
			Preparedness and Response for a				
			Nuclear or Radiological Emergency				
			[37], GS-G-2.1, Arrangements for				
			Preparedness for a Nuclear or				
			Radiological Emergency [38], and GSG-				
			11, Arrangements for the Termination of				
			a Nuclear or Radiological Emergency				
			[39] and TS-G-1.2 [xx], Planning and				
			Preparing for Emergency Response to				
			Transport Accidents Involving				
			Radioactive Material.				
594.	EGY013	6.01	No.	instead of Nos ( printing error )	X		
	~~~~	line 6					
595.	GER052	6.01	It does not cover all aspects of	Wording	X		
		Line 2	emergency preparedness and response,				
			nowever, it does <del>highlights</del> <u>highlight</u>				
500	CANO40	6.04	Eléments that are specific		V		
596.	CAN040	6.04	Editorial:	torm "yory low probability	Χ		
			Remove comme in the following text:	events"			
			Remove comma in the following text.				
			very low probability, events				

597.	CAN041	6.04	Technical: For each facility in which fissile material is handled and for which a criticality detection and alarm system is required (see para. 6.149 of SSR-4 [1]) an emergency plan, procedures and capabilities to respond to credibly foreseeable criticality accidents are also required,	Use of term credible for the purposes of para 6.4 is not technically sound and inconsistent with content and terminology used in SSR-4, requirements 15 and 16, paras 6.44, 6.173 and in this draft, paras 6.42 (a) and (b) as well as in a few paras, which are now deleted from the emergency response part of the draft.	X		
598.	CAN042	6.04	Editorial: Remove a para number (6.5) from the middle of the sentence: In some circumstances where a criticality detection and alarm system is not installed (e.g. shielded facilities), analyses should still be conducted to determine whether an emergency 6.5 plan is necessary for the facility.	Туро	X		
599.	EGY014	6.04	Para 6.4 ended with whether an emergency and it should be completed with para 6.5		X		
600.	UK013	6.04	For each facility in which fissile material is handled and for which a criticality detection and alarm system is required (see para. 6.149 of SSR-4 [1])	This should at least refer to the text discussing the need for a criticality detection and alarm system (para 6.39 onwards).		X	The suggested reference is confusing. Paras. 6.139-6.148 do not mention criticality alarm system.
601.	UK059	6.04	"respond to credible criticality accidents"	Typo. Currently "credibly criticality accidents"	X		

602.	FIN026	6.05	<ul> <li>/2-3 an emergency plan, procedures and capabilities to respond to credibley criticality accidents are also required,</li> <li>Another option would be:</li> <li> an emergency plan, procedures and capabilities to respond credibly to criticality accidents are also required</li> </ul>	misprint/typo?		X	"credible" was replaced following other comment
603.	FRA113	6.05	Move this back to 6.4	Wording mistake	Х		
604.	FIN027	6.06	The criticality safety analysis required for emergency preparedness and response is required to The analysis of consequences of criticality that <u>may</u> be used for the emergency preparedness and response <u>should</u> consider	Criticality safety analysis demonstrates the subcriticality of the facility. It is not used for emergency preparedness. For some facilities consequences of criticality <u>may</u> be assessed. The consequence analysis therefore <u>may be part of the criticality</u> <u>safety assessment</u> . Therefore "should" (and not "is required to").	X		
605.	FRA114	6.06	In demonstrating the adequacy of the emergency arrangements, the potential occupational exposures and, if relevant, the dose to a member of the public exposures from external-radiation exposure should be calculated	For the public, internal exposure as a result of ingestion/inhalation of the release is also to be considered (not just external exposure).	X		

606.	UK019	6.09	Consideration should be given to limiting or terminating off-site releases by shutting down facility ventilation systems in the event of criticality accident. The effects of implementing such measure should be considered, including the possibility of an increase in hydrogen gas concentration due to radiolysis.	Radiolysis is not the only factor to take into account	Х		We agree. There is no suggestion in the comment how to modify the text.
607.	FRA115	6.10	It should be ensured that operating personnel are aware that following the initial fission spike(s), the system might return to a state <u>at or</u> very close to critical but with a continuing low fission rate.	The term "at or" seems incorrect	X		
608.	FRA116	6.11	Experience has shown that the main risk in a criticality accident is to operating personnel in the immediate vicinity of the event. Generally, radiation doses to operating personnel more than a few tens of metres away are not immediate life threatening	Without shielding, a few tens of meters away, we can have the order of 1 Sv, which is a lot adding "immediate" allow to precise the statement	X		
609.	SWE160	6.11/2	To ensure that future operations will not introduce other, or more severe, types of hazards, emergency preparedness requires determination of all potential consequences of a criticality accident. Para. 6.09 refers to potential generation of hydrogen gas.	Not only radiological consequences need to be determined.		X	Para 6.11 is about "re- entry" to the facility, not about other than radiological hazards.

610.	FRA117	6.14	Evacuation should follow the quickest and most direct routes practicable, with consideration given to the need to minimize radiation exposure. As usual radiation protection controls may be skipped for such an evacuation, appropriate controls should then be done at the gathering point.	The dose delivered to an operator staying for too long close to a criticality accident can be significantly higher than the dose due to a lack of cleaning before evacuation.		X	The proposed addition does not contradict what is already written. We suggest to leave it as it is.
611.	UK014	6.14	Evacuation routes should minimize radiation exposure, for example avoiding potential sites of a criticality accident and following the quickest and most direct routes practicable.	The principle to follow is one of minimizing risk, primarily from radiation exposure, not necessarily following the quickest possible route	X		These are only examples. The text was modified.
612.	CAN043	6.19, 6.21- 6.23	Technical: Remove paras 6.19, 6.21-6.23	Content of these paras of subsection "Medical considerations" is out of scope of this guide because these are relevant only to the medical staff whereas criticality safety topics, which are directly or indirectly relevant to medical considerations, are already covered in other existing paras.		X	Medical aspects were addressed also in current SSG-27

613.	FRA118	6.19,- 6.21- 6.23	Remove paras 6.19,-6.21-6.23	Content of these paras of subsection "Medical considerations" is out of scope of this guide because these are relevant only to the medical staff whereas criticality safety topics, which are directly or indirectly relevant to medical considerations, are already covered in other existing paras. Other paras in this section (6.18, 6.20 and 6.24) are in interface between NCS and Medics and add value.	X		
614.	GER053	6.2 Line 4	or a release of radioactive material within the facility and/or to the environment, which necessitate necessitates emergency response actions.	Wording	X		
615.	SWE159	6.2/6	The kinetic energy release from a criticality accident could itself lead to considerable hazards, other than radiological.	This is a fact that is recognized in SSR-4 and elsewhere.	X		
616.	CAN044	6.24	Reconstructing the dose <mark>s received</mark> distribution in the human body will be critical to the medical response	The key parameter for medical response, specific to criticality accidents, is the "dose distribution in the human body". This parameter requires information about orientation of the victim and neutron spectrum (which lead to specific provisions in facilities such as "criticality belts", "zone neutron spectrum dosimeters",).	X		

617.	FRA119	6.24	Reconstructing the doses received distribution in the human body will be critical to the medical response	The key parameter for medical response, specific to criticality accidents, is the "dose distribution in the human body". This parameter requires information about orientation of the victim and neutron spectrum (which lead to specific provisions in facilities such as "criticality belts", "zone neutron spectrum dosemeters",).	X		
618.	FRA120	6.26	(e) the orientation of the victims compared to the criticality accident.	The orientation of the victims compared to the accident is key parameter.	Х		
619.	FRA121	6.26	(e) the spectrum of neutrons received by the victims	One of the key parameters	Х		
620.	FRA122	6.26 d	Estimations of the dose received by those likely to be affected (i.e. operating personnel). If possible, equivalent doses to organs have to be evaluated in order to proceed to appropriate medical interventions.		X		
621.	SWE161	6.26(b)/ 1	The power history of the criticality accident (i.e. the number of fissions that have occurred as a function of time);	Power is a function of time and the evolution is important. Number of fissions is energy.	Х		
622.	FRA123	6.26.b	The energy released by the criticality accident (i.e. the number of fissions that have occurred);	Power is expressed in fissions/sec	X		
623.	GER054	6.27 d) footnot e 20	A quenching mechanism is a physical process other than mechanical damage that limits a fission spike during a nuclear criticality excursion, for example, thermal expansion or micro- bubble formation in solutions [1713].	Reference does not seem to be correct.	X		

624.	FRA124	6.32 (a)	The <u>mass</u> of the fissile region	The mass (not the volume) is the key parameter affecting the fission yield because heating is based on the mass, not the volume	X		
625.	SWE162	6.32 +6.33+6 .34	Move this information to new Section 2.	What can happen is essential as a background to the Guide and should come earlier.		X	New section was not created, see the corresponding comments.
626.	JPN010	6.32. (c) Footnot e 21/L4	decrease the effective neutron multiplication factor (keff <sub>eff</sub> ) of a system.	Subscript.	X		
627.	FRA125	6.39	In determining this evaluation, consideration should be given to all processes, including those in which neutron moderators or reflectors more effective than water may be present.	This sentence is not useful and is not the main aspect to determine the need for a criticality detection and alarm system		X	We agree it is not the main aspect, however it is one of the guiding principles which might be useful for some instances. We suggest keeping it. It is an existing already approved text.
628.	UK015	6.39	The need for a criticality detection and alarm system should be evaluated for all facilities and activities involving, or potentially involving, the risk of criticality	Second sentence is superfluous. This is an incomplete set of conditions which need to be assessed and is bounded by the requirements of the first sentence.	X		

629.	JPN011	6.39.	The need for a criticality detection and alarm system should be evaluated for all facilities, procedures and activities involving, the risk of criticality. In this evaluation, consideration should be given to all processes, including those in which neutron moderators or reflectors more effective than water may be present.	The criticality detection and alarm system requires consideration of procedures in addition to equipment and human behavior.			X	Yes, we agree procedures are required. However the provision says that criticality detection and alarm systems are required for each facility and activity. Procedures do not require detection system, it is vice versa.
630.	FIN028	6.41	should provide effective <del>means of</del> information to enable minimizing the total dose	Detection and alarm system provide information. The means to minimize the dose are <u>the</u> <u>actions</u> initiated based on this information. Please change is required to should.	Х			
631.	FRA126	6.41	When installed, the criticality detection and alarm system is required to provide effective means of minimizing the total dose received by personnel from a criticality accident and to initiate mitigating actions. Personnel must be trained to know what to do if the criticality alarm goes off. Periodic exercises must be carried out	Staff training is a key point to minimize dose in case of criticality accident		X		New para added to cover this.
632.	UK016	6.41	When installed, the criticality detection and alarm system is required to initiate mitigating actions to provide effective means of minimizing the total dose received by personnel from a criticality accident.	The system provides an alarm to allow mitigating actions to be taken, it does not provide other means of dose minimization	X			

633	. FRA127	6.42	Justification of any exceptions to the need to provide a criticality detection and alarm system should be provided and could be based upon the following cases: [] The transportation of fissile materials does not require criticality detection and alarm systems for these reasons. [] In credible abnormal conditions during transport, such as immersion of packages under water where the water provides shielding. []	Do not make a special case for transport Not pragmatic, not self-sufficient. "credible abnormal conditions" are not mentioned in the transport regulations.	X		
634	. FRA128	6.42	(b) Shielded facilities in which the potential for a criticality accident is foreseeable but the resulting radiation dose at the outer surface of the facility unit where the accident occurred would be lower than the acceptable level. Examples of such facilities unit might include hot cells and closed underground repositories.	Clarification	X		
635	. UK017	6.42		This provides no specific evidence why this applies to ALL transport. Is this meant to apply to all transport, or just that covered under SSR-6 (which is already covered by (d).		X	See the modified text
636	. UK018	6.42	Add new subpara 6.42(e) (e) where potential operational and public exposures doses are below emergency action levels	Standard practice to have exposure levels beneath which a detection and alarm system is not justified		X	We believe it is already covered by (b)

637.	JPN030	6.42- (b)	(b) The <u>transport-transportation</u> of fissile materials does not	"Transport" is used in the IAEA documents.	X			
638.	SWE163	6.42(c)	Move to para. 5.84. In credible abnormal conditions during transport, such as	In the wrong location.			X	It is in the right place, this section summarizes guidance related to criticality and alarm systems.
639.	JPN031	6.42-(c)	(c) In <u>accident-credible</u> condition <u>s of</u> during transport such as	"Accident conditions of transport" is the defined term in the Transport Regulations.			X	We agree that this is true for the transport safety analysis, however for criticality safety this is the right terminology.
640.	WNTI33	6.42-(c)	(c) In credible abnormal conditions during transport, such as immersion of packages under water where the water provides shielding.	Para. 6.42 (a) mentions transport does not require criticality detection and alarm.		X		The text was modified following other comments.
641.	WNTI34	6.42- (d)	(d) Packages requiring competent authority approval for fissile material awaiting shipment loading or during shipment or awaiting unpacking unloading	There is no need to limit the CA approval. Para. 6.42 (a) mentions transport does not require criticality detection and alarm. "Loading" and "unloading" are more appropriate than "awaiting shipment" and "awaiting unpacking".		X		The text was modified following other comments, combined with previous bullet.
642.	SWE165	6.43/2	An example is emergency response to a transport accident involving fissile material.	The conditions may be difficult to assess at the time.	X			

643.	FRA129	6.44	The criticality detection and alarm system should be based on the detection of neutron and/ <del>or</del> gamma radiation. Consequently, consideration should be given to the deployment of detectors that are sensitive to both gamma radiation <del>or</del> and neutron radiation, <del>or both</del>	Both are needed (there are both neutrons and gamma rays emitted during a criticality accident and the ratio between these 2 components varies enormously	X	
644.	JPN012	6.44.	The criticality detection and alarm system should be based on the detection of neutrons and/or gamma radiation. Consequently, consideration should be given to the deployment of detectors that are sensitive to gamma radiation or neutron radiation <u>neutron radiation or</u> gamma radiation, or both. If applicable, other reliable and practical methods could be adopted.	<ol> <li>Optimization of word order.</li> <li>FP gas monitor is rather reliable method to detect criticality accident in certain nuclear fuel fabrication facilities.</li> </ol>	X	
645.	FRA130	6.45	In areas in which criticality alarm coverage is necessary, means are required to be provided to detect excessive radiation doses and/or dose rates and to trigger an alarm for the evacuation of personnel.	Both are possible	Х	
646.	JPN013	6.57.	Each audible signal generator should be tested periodically. Field trials-tests should be carried out to verify that the signal is audible above background noise throughout all areas to be evacuated.	Unifying terms.	X	

647.	JPN014	6.58.	Where tests reveal inadequate performance of the criticality detection and alarm systems, management should be notified immediately, and corrective actions should be agreed and taken without delay. Other measures (e.g. mobile detection systems) may need to be installed to compensate for defective criticality <u>detection</u> and alarm systems.	<ol> <li>Unifying terms.</li> <li>Typo.</li> </ol>	X		
648.	CAN045	List of referenc es	INTERNATIONAL ORGANIZATION FOR STANDARDIZATION, Nuclear criticality safety – Solid waste excluding irradiated and non-irradiated nuclear fuel ISO 22946, ISO, Geneva (2020). INTERNATIONAL ORGANIZATION FOR STANDARDIZATION, Nuclear criticality safety – Geometrical nuclear eriticality safety dimensions for subcriticality control – Equipment and layout, ISO FDIS 21391, ISO, Geneva (2019).	These ISO standards (22946 and 21391) are now published. The first one can be added to the references and the second one can be referred to as an international standard (and no more a FDIS) with its definitive title.	X		

649.	FRA131	List of referenc es	INTERNATIONAL ORGANIZATION FOR STANDARDIZATION, Nuclear criticality safety, Nuclear criticality safety training for operations, ISO 23133, ISO, Geneva (2020). INTERNATIONAL ORGANIZATION FOR STANDARDIZATION, Nuclear criticality safety – Geometrical nuclear criticality safety dimensions for subcriticality control – Equipement and layout, ISO FDIS 21391, ISO, Geneva	These ISO standards (22946 and 21391) are now published. The first one can be added to the references and the second one can be referred to as an international standard (and no more a FDIS) with its definitive title.	X		
650.	SWE07	New II.1	<ul> <li>(2019).</li> <li>II.1. Criticality means the state of a just (balanced) self-sustaining fission chain reaction based on free neutron transport and fissionable (including fissile) material. Supercriticality means the state of a divergent (increasing power), self- sustaining fission chain reaction.</li> <li>Subcriticality is the state of a fission chain reaction that is not self-sustaining.</li> <li>Whenever handling of substantial quantities and concentrations of fissionable material is planned, the potential for criticality is required to be considered.</li> </ul>	These are basic concepts which may be defined elsewhere but should be repeated and confirmed in this document. The IAEA Safety Glossary needs input from specialised documents such as this. The last sentence introductory covers both design and operational subcriticality as well as emergency preparedness.		X	"Criticality" is already defined in the IAEA Safety glossary. The proposed guide makes a reference to this. Other definitions do not seem to be necessary or useful for the purpose of this guide.

651.	SWE16	New II.10	II.10. The SSR-4 [1] objectives and scope (paras. 105-112, with subpara. 111(c) using release of HF as an example) clarifies that all accident consequences attributable to the energy release during a criticality accident are required to be accounted for, including non-radiological consequences. SSR-4 [1] Requirement 20 para. 6.65 states that "Non-radiological consequences of operation of the nuclear fuel cycle facility shall be considered in the safety analysis". The energy release during a criticality accident is covered in SSR-4 [1] Requirement 22, para. 6.88: "Consideration shall be given to the strengthening of structures to withstand or mitigate the effects of accident conditions such as explosion or	Related to the previous comment. Conservatism in subcriticality assessment is not the same as conservatism in criticality safety assessment. This applies to radiological consequences but may be applied to other expressions of the energy release during a criticality accident.		X	Creating new sections/structure of the document is out of the scope of the approved DPP. If essential, some of the elements should be proposed to be inserted in the existing structure.
652.	SWE17	New II.11	II.11. Fissile material handling presents an additional, cliff edge hazard through its self-sustaining fission chain reaction capability. Independent of any abnormal conditions, there are no consequences while subcriticality is maintained. This is unlike the radioactivity hazard which is always present and where both normal and abnormal conditions lead to radiological consequences. The criticality and radioactivity hazards should thus be assessed and controlled separately, with appropriate attention given to areas of conflict of interest or confusion.	Being different by nature, criticality and radioactivity should be considered as separate hazards. Both may lead to radiological consequences, even heat generation, but the physics and the energy releases are substantially different. The safety assessments and controls are fundamentally different.		X	Creating new sections/structure of the document is out of the scope of the approved DPP. If essential, some of the elements should be proposed to be inserted in the existing structure.

653.	SWE19	New	II.12. Implementation of plans for a new	Criticality safety is an additional		Х	Creating new
		II.12	facility or a significantly new activity,	responsibility that may require			sections/structure of the
			involving fissile materials outside of a	more and different considerations			document is out of the
			reactor core, requires accounting for the	than initial plans may indicate.			scope of the approved
			general requirements applicable to				DPP. If essential, some
			handling radioactive materials. In				of the elements should
			addition, the potentials for criticality				be proposed to be
			accidents and their credible				inserted in the existing
			consequences (including non-				structure.
			radiological) are required to be				
			determined. Requirements to estimate				
			consequences of accidents are covered				
			in SSR-4 [1], e.g. Requirement 1, para.				
			306.				

654.	SWE20	New II.13	II.13. Identification of potential criticality accident scenarios and estimation of their consequences may affect siting and design of a facility and of specific activities, selection of technical solutions, co-location with other activities, accounting for and control of fissile material, security, safety organisation, training, licensing, criticality detection and alarm, evacuation plans, radiation dose measurement, procedures for stopping an ongoing and reoccurrence of criticality excursions, medical preparedness, plans for handling other hazards affected by the accident (e.g. chemical), coordination emergency authorities, site recovery, etc. Early preparations should be made to support more detailed, but overall balanced, technical and administrative solutions later.	Early preparation for new challenges will support better detailed solutions later.	X	Creating new sections/structure of the document is out of the scope of the approved DPP. If essential, some of the elements should be proposed to be inserted in the existing structure.
655.	SWE21	New II.14	II.14. Determination of potential criticality accident conditions and credible consequences should initially assume no measures to prevent the accident or to mitigate its consequences. Later, the implemented safety measures (accounting for human factor effects) and emergency preparedness should reduce the estimated residual risk.	The first question may be: What is there to worry about? This should be answered early during the plans for new types of operations.	X	Creating new sections/structure of the document is out of the scope of the approved DPP. If essential, some of the elements should be proposed to be inserted in the existing structure.

656.	SWE22	New II.15	II.15. An initial step is to determine the properties of the fissile materials to be considered. Nuclear, chemical and physical data are all essential. For large scale handling of a new type of fissile material, specific measurements may be justified to obtain more information to support determination of criticality for relevant parameters and the excursion evolution due to parameter changes.	Sometimes new types of fissile material may require substantial efforts to determine their properties. An example is low- moderated MOX powder, present during fuel fabrication, for which nuclear data are still not very accurate or difficult to validate.	X	Creating new sections/structure of the document is out of the scope of the approved DPP. If essential, some of the elements should be proposed to be inserted in the existing structure.
657.	SWE23	New II.16	II.16. The final selection of fissile materials, facility construction, equipment, processes, controls, etc. should be made to reduce the potential for a criticality accident. The overall implementation should be evaluated for credible consequences of a criticality accident. That foreseeable accident should be assumed even though it is estimated to have a lower probability than what corresponds to credible accident conditions.	The residual risks for various criticality accident scenario should be estimated.	X	Creating new sections/structure of the document is out of the scope of the approved DPP. If essential, some of the elements should be proposed to be inserted in the existing structure.
658.	SWE24	New II.17	II.17. In addition to experience from criticality accidents in handling of fissile materials outside of reactor cores and from reactivity accidents in reactor cores, there are many measurements of supercritical excursions. This can be used to draw direct conclusions and as a basis for development and validation of calculation methods for prediction of excursion evolutions.	There is plenty of experience from criticality excursions and from reactivity accidents and measurements. This should be applied to assessment of criticality accident consequences.	X	Creating new sections/structure of the document is out of the scope of the approved DPP. If essential, some of the elements should be proposed to be inserted in the existing structure.

659.	SWE25	New II.18	II.18. A supercritical excursion depends on many circumstances. The evolution is primarily determined by the parameter, speed and total magnitude of changes that cause the excursion together with the feedback from the released fission energy. The energy release eventually returns the excursion to a permanently subcritical state, to a steady critical state or to a pulsating state where re- criticality may occur many times.	Understanding of the potential excursion behaviour during a credible criticality accident provides essential information for emergency response and for reliable information to management, authorities and the public.	X	Creating new sections/structure of the document is out of the scope of the approved DPP. If essential, some of the elements should be proposed to be inserted in the existing structure.
660.	SWE26	New II.19	II.19. The final assessment of residual criticality accident scenarios and consequences should be used for detailed emergency preparedness, including testing and training. Maintenance may require substantial modifications to account for changed circumstances.	Emergency preparedness requires follow-up, testing, modification.	X	Creating new sections/structure of the document is out of the scope of the approved DPP. If essential, some of the elements should be proposed to be inserted in the existing structure.
661.	SWE08	NEW II.2	II.2. Criticality and supercriticality are intentionally achieved to produce fission energy, for some purpose(s). The energy release from an inadvertent (unintended) criticality, associated with at least some initial supercriticality, is defined as a criticality accident. The scenario is often described as an excursion. The accident term should be applied even if no significant safety consequences are expected from an excursion.	This is also a repetition of established but very essential terminology. The last sentence guides the reader to why an event that appears to cause no harm (e.g. a short criticality event in a fuel pool) still should be classified as an accident.	X	"Criticality" is already defined in the IAEA Safety glossary. The proposed guide makes a reference to this. Other definitions do not seem to be necessary or useful for the purpose of this guide.
662.	SWE27	New	II.20. During assessment of potential	Standards and regulations build	X	Creating new
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		II.20	criticality accident scenarios for a new	on experience to a large degree.		sections/structure of the
			facility or activity, any significant	Sometimes learning from		document is out of the
			deviation from previous experience or	experience is too late.		scope of the approved
			assessments should be observed. It may			DPP. If essential, some
			result in some standards and regulations			of the elements should
			being incomplete or even inappropriate			be proposed to be
			for application on the new facility or			inserted in the existing
			activity.			structure.
663.	SWE28	New	II.21. Determination of criticality	Lack of specific requirements to	X	Creating new
		II.21	accident consequences may not be an	account for new types of fissile		sections/structure of the
			explicit requirement in some standards	materials or to assess credible		document is out of the
			and regulations. The designers and	criticality accident consequences		scope of the approved
			operators of new facilities or activities	does not mean that those issues		DPP. If essential, some
			should be aware of the limitations of	have not been considered when		of the elements should
			applicability of such standards and	the requirements were prepared		be proposed to be
			regulations. The IAEA transport	and approved. Having the basis		inserted in the existing
			regulations, SSR-6 [6] is an example.	for the regulations and standards		structure.
			They are based on a set of circumstances	is essential for safe application.		
			(some from the early 1960's) with			
			bounding assumptions that should be			
			recognized and assessed when			
			potentially insufficient. Since 1996,			
			SSR-6 para 683(a) requires a specific			
			scenario for air transport, in recognition			
			of potentially more serious			
			consequences than expected from a			
			"traditional" criticality accident.			

664.	SWE29	New II.22	II.22. The defence in depth level three should be applied to ensure that a criticality excursion preferably shuts itself down quickly and permanently or at least that it is reduced to a low power excursion. An auto-catalytic reaction (a cliff edge effect), where the power- feedback is positive should be prevented by design.	There have been some discussions on autocatalytic excursion, e.g. in final disposal of plutonium. Awareness of such potentials would lead to designs and operations that make them impossible.		X	Creating new sections/structure of the document is out of the scope of the approved DPP. If essential, some of the elements should be proposed to be inserted in the existing structure.
665.	SWE31	New II.23	II.23. SSR-4 [1] Requirement 38, separates assessment of design from that of operation. Design mitigation to prepare for a postulated criticality accident is covered specifically in Requirement 38 paras 6.149 and 6.150. The criticality safety assessment for a design of a facility or activity is essential for a safe and efficient operation.	The better the design assessment, the easier the maintenance of subcriticality during operation and the better the response to credible accident conditions and, at worst, a criticality accident.		X	Creating new sections/structure of the document is out of the scope of the approved DPP. If essential, some of the elements should be proposed to be inserted in the existing structure.
666.	SWE33	New II.24	II.24. Demonstration of actual maintaining of subcriticality can only be made under real circumstances. This means, SSR-4 [1] Requirement 66, "under operational states and conditions that are referred to as credible abnormal conditions or conditions included in the design basis".	Demonstration of subcriticality could not be made for a design, it can only be made for actual operations.		X	Creating new sections/structure of the document is out of the scope of the approved DPP. If essential, some of the elements should be proposed to be inserted in the existing structure.

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667.	SWE34	New II.25	II.25. Demonstration of criticality safety also means achieving the emergency preparedness (criticality detection and alarm, evacuation, etc.) specified in the design assessment. That is more difficult to demonstrate, none of the criticality accident scenarios are expected to occur. Requirement 72 of SSR-4 [1] covers emergency preparedness by the operational organization. Requirement 73 of SSR-4 covers a feedback programme to learn from events at the facility as well as from other facilities and activities worldwide.	There are several ways to make sure that emergency preparedness would actually work to reduce the consequences of a criticality accident.		X	Creating new sections/structure of the document is out of the scope of the approved DPP. If essential, some of the elements should be proposed to be inserted in the existing structure.
668.	SWE36	New II.26	II.26. Two different approaches, referred to as "deterministic" and "probabilistic", to subcriticality assessment are essential concepts in SSR-4 [1], see e.g. Requirements 9, 13, 19, 20 and 21. The meaning of those terms, in particular "deterministic", may not be obvious since all safety analysis requires some degree of probabilistic assumptions. An example from requirement 20, para. 6.70: "In setting acceptable limits for design basis accidents, the risks from adverse events shall be characterized as tolerable risks or unacceptable risks depending on both the severity of the consequences and the frequency or probability of occurrence". An alternative term is "qualitative".	Deterministic analysis appears to contain significant probabilistic assumptions and the results are often expressed as probability judgments.		X	Creating new sections/structure of the document is out of the scope of the approved DPP. If essential, some of the elements should be proposed to be inserted in the existing structure.

669.	SWE37	New	2.27. Deterministic is often associated	Deterministic methods often	X	Creating new
		II.27	with numerical calculation methods such	apply 95/95 probability-		sections/structure of the
			as diffusion or Sn theory, while	confidence limits. They are		document is out of the
			probabilistic is associated with Monte	probabilistic and, for large		scope of the approved
			Carlo methods. Deterministic means that	standard deviations, could "hide"		DPP. If essential, some
			the same result should be obtained if the	reductions of the subcritical		of the elements should
			method is repeated at different times or	margin significantly. Probabilistic		be proposed to be
			by different users. In criticality safety	methods typically have		inserted in the existing
			assessment it appears to be most	insufficient or unreliable input		structure.
			appropriate to consider a combined	data, in particular for human		
			approach, applying fixed criteria	factor effects.		
			together with operation-specific			
			probabilities.			
670.	SWE09	New	II.3. Criticality safety means protection	Continued focusing in on	X	"Criticality" is already
			• • •	-		
		II.3	against consequences of a postulated	criticality safety and why		defined in the IAEA
		II.3	against consequences of a postulated criticality accident, preferably by	criticality safety and why prevention is not sufficient for		defined in the IAEA Safety glossary. The
		II.3	against consequences of a postulated criticality accident, preferably by prevention of the accident. Subcriticality	criticality safety and why prevention is not sufficient for declaring criticality safety as		defined in the IAEA Safety glossary. The proposed guide makes a
		II.3	against consequences of a postulated criticality accident, preferably by prevention of the accident. Subcriticality of the fissionable material handling	criticality safety and why prevention is not sufficient for declaring criticality safety as acceptable.		defined in the IAEA Safety glossary. The proposed guide makes a reference to this. Other
		II.3	against consequences of a postulated criticality accident, preferably by prevention of the accident. Subcriticality of the fissionable material handling should always be maintained under all	criticality safety and why prevention is not sufficient for declaring criticality safety as acceptable.		defined in the IAEA Safety glossary. The proposed guide makes a reference to this. Other definitions do not seem
		Ш.3	against consequences of a postulated criticality accident, preferably by prevention of the accident. Subcriticality of the fissionable material handling should always be maintained under all normal and credible accident conditions	criticality safety and why prevention is not sufficient for declaring criticality safety as acceptable.		defined in the IAEA Safety glossary. The proposed guide makes a reference to this. Other definitions do not seem to be necessary or useful
		П.3	against consequences of a postulated criticality accident, preferably by prevention of the accident. Subcriticality of the fissionable material handling should always be maintained under all normal and credible accident conditions (including effects of the human factor).	criticality safety and why prevention is not sufficient for declaring criticality safety as acceptable.		defined in the IAEA Safety glossary. The proposed guide makes a reference to this. Other definitions do not seem to be necessary or useful for the purpose of this
		П.3	against consequences of a postulated criticality accident, preferably by prevention of the accident. Subcriticality of the fissionable material handling should always be maintained under all normal and credible accident conditions (including effects of the human factor). However, a criticality accident should be	criticality safety and why prevention is not sufficient for declaring criticality safety as acceptable.		defined in the IAEA Safety glossary. The proposed guide makes a reference to this. Other definitions do not seem to be necessary or useful for the purpose of this guide.
		П.3	against consequences of a postulated criticality accident, preferably by prevention of the accident. Subcriticality of the fissionable material handling should always be maintained under all normal and credible accident conditions (including effects of the human factor). However, a criticality accident should be prepared for, even if the estimated	criticality safety and why prevention is not sufficient for declaring criticality safety as acceptable.		defined in the IAEA Safety glossary. The proposed guide makes a reference to this. Other definitions do not seem to be necessary or useful for the purpose of this guide.
		П.3	against consequences of a postulated criticality accident, preferably by prevention of the accident. Subcriticality of the fissionable material handling should always be maintained under all normal and credible accident conditions (including effects of the human factor). However, a criticality accident should be prepared for, even if the estimated probability is below what corresponds to	criticality safety and why prevention is not sufficient for declaring criticality safety as acceptable.		defined in the IAEA Safety glossary. The proposed guide makes a reference to this. Other definitions do not seem to be necessary or useful for the purpose of this guide.
		П.3	against consequences of a postulated criticality accident, preferably by prevention of the accident. Subcriticality of the fissionable material handling should always be maintained under all normal and credible accident conditions (including effects of the human factor). However, a criticality accident should be prepared for, even if the estimated probability is below what corresponds to the criteria for credible accident	criticality safety and why prevention is not sufficient for declaring criticality safety as acceptable.		defined in the IAEA Safety glossary. The proposed guide makes a reference to this. Other definitions do not seem to be necessary or useful for the purpose of this guide.

671. SWE10	New II.4	II.4. Prevention of a criticality accident is also referred to as maintenance (or preservation) of subcriticality. Subcriticality assessment of is essential but not sufficient to cover criticality safety assessment. The differences between these assessments should be made clear or incorrect conclusions may be drawn. An assumption that is conservative in a subcriticality assessment may not be conservative in the overall criticality safety assessment (e.g. a less severe criticality accident than what could be expected).	Same as previous reason, with a focus here on assessments (used frequently in SSR-4 and in the draft Guide).		X	"Criticality" is already defined in the IAEA Safety glossary. The proposed guide makes a reference to this. Other definitions do not seem to be necessary or useful for the purpose of this guide.
672. SWE11	New II.5	II.5. This document excludes fissionable materials that do not contain sufficient quantities and mass fractions of fissile nuclides to be classified as fissile materials in a specific operation. The scope is thus limited to fissile materials. This may change in the future and plans for operations with new types of fissionable but non-fissile materials should account for any criticality potential. The definition of fissile material may differ between different applications. Natural uranium has been demonstrated to be a fissile material in nuclear reactors but in most applications outside of the reactor core (including public transport), natural uranium may be exempted as a fissile material. Natural uranium still needs to be accounted for as a safety factor if it is present together with fissile materials.	The concept of fissile material is not as simple as some may have believed. Unlike fissile nuclides and fissionable nuclides, which are scientifically defined, the materials are defined from a practical point of view. Plans for new types of fissionable material in nuclear facilities and in transport may require attention. The description of natural uranium classification is also a theme that keeps coming back as questions to criticality safety practitioners.		X	Creating new sections/structure of the document is out of the scope of the approved DPP. If essential, some of the elements should be proposed to be inserted in the existing structure.

673.	SWE12	New II.6	II.6. Demonstration of subcriticality of a design or of a real operation does not account for radioactivity of the fissile material. In a neutron transport equation, any external or internal neutron source is excluded when the neutron multiplication factor is determined. In a measurement, only neutrons from a specific fission chain reaction are accounted for, other neutrons need to be screened out. Even the measured fissions need to be weighted to obtain the correct self-generating fission distribution.	The basis for maintaining subcriticality is not related to radioactivity. Ionizing radiation becomes a factor first when a criticality accident is considered. This para. does not contain any direct recommendation but gives guidance on how subcriticality is typically determined, by calculation or by measurement.	X	Creating new sections/structure of the document is out of the scope of the approved DPP. If essential, some of the elements should be proposed to be inserted in the existing structure.
674.	SWE13	New II.7	Conveniently, fissile materials are classified as being radioactive materials. Radioactivity does not affect subcriticality of a given configuration but may have affected its composition and temperature. Radioactivity affects the initiation (internal neutron source) of a supercritical excursion and later stages. Assuming mass fractions of 100 % 235U or 100 % 239Pu may be conservative for subcriticality assessment but may result in unacceptable criticality accident consequences (larger initial energy release). A conservative assumption of fresh fuel rather than irradiated fuel (stored radioactivity) underestimates consequences.	Conservatism in subcriticality assessment is not the same as conservatism in criticality safety assessment. This applies to radiological consequences but may be applied to other expressions of the energy release during the accident.	X	Creating new sections/structure of the document is out of the scope of the approved DPP. If essential, some of the elements should be proposed to be inserted in the existing structure.

675.	SWE14	New II.8	Neutron multiplication in a material (not necessarily fissile, e.g. natural uranium) may require accounting for in radiological safety. Such calculations or measurements are similar to those made to determine subcriticality.	The neutron multiplication factor may need determination to account for neutron multiplication, even in a non- fissile material. It is not criticality safety.	X	Creating new sections/structure of the document is out of the scope of the approved DPP. If essential, some of the elements should be proposed to be inserted in the existing structure.
676.	SWE15	New II.9	II.9. The energy release during a fission event consists primarily of kinetic energy of fission products with some of the remaining fraction being ionizing radiation energy, including free neutrons. The kinetic energy release may result in a considerable safety threat, including direct injury to people, release of previously contained hazards (e.g. radioactive, chemical, toxicological, combustible) and damage to facilities and equipment. The safety assessment is required to consider such potential consequences.	A criticality accident is defined as an energy release. Direct radiation is a small fraction while the remaining energy release may release stored reactivity, chemical and other hazards. This is not the major experience from criticality accidents, but reactivity accidents demonstrate the potential.	X	Creating new sections/structure of the document is out of the scope of the approved DPP. If essential, some of the elements should be proposed to be inserted in the existing structure.
677.	SWE05	New Section Prel. "II"	II CRITICALITY – A JUST SELF-SUSTAINING FISSION CHAIN REACTION	General information and guidance should be found early in the document.	X	This is a revision by amendment, no changes to the structure of the document are allowed except those which were envisaged in the approved DPP.
678.	SWE06	New Section Subtitle	GENERAL	To cover guidance on terminology and physics	X	DTTO as SWE05

679.	SWE35	New Section Subhead ing	DETERMINISTIC AND PROBABILISTIC ANALYSIS		X	Creating new sections/structure of the document is out of the scope of the approved DPP. If essential, some of the elements should be proposed to be inserted in the existing structure.
680.	SWE18	New Subhead ing	NEW FACILITY OR ACTIVITY		X	Creating new sections/structure of the document is out of the scope of the approved DPP. If essential, some of the elements should be proposed to be inserted in the existing structure.
681.	SWE30	New Subhead ing	CRITICALITY SAFETY DURING OPERATION		X	Creating new sections/structure of the document is out of the scope of the approved DPP. If essential, some of the elements should be proposed to be inserted in the existing structure.

682.	SWE166	Referen ce [36] and Annex Relevan t literatur e/ p. 93	AMERICAN NUCLEAR SOCIETY, nuclear criticality safety control of selected actinide nuclides, ANSI/ANS- 8.15-2014, ANS, La Grange Park, IL <u>AMERICAN NUCLEAR SOCIETY,</u> <u>Nuclear Criticality Control of Special</u> <u>Actinide Elements, Rep. ANSI/ANS- 8.15-1981, ANS, La Grange Park, IL</u>	This reference has been revised (note lower case initials in title). [36] Old reference.	X		
			(1981). AMERICAN NUCLEAR SOCIETY, Nuclear Criticality Safety Control of Special Selected Actinide Elements Nuclides, ANSI/ANS-8.15- 1981;R1987;R1995;R2005;R2014 (R = Reaffirmed), ANS, La Grange Park, IL	(bottom of page 93) ANSI/ANS standards.			
683.	ENISS7	REFER ENCES Page 89	[51] AMERICAN NUCLEAR SOCIETY, Nuclear Criticality Safety in Operations with Fissionable Material Operations Outside Reactors, ANSI/ANS, 8.1-2014 (R2018), ANS, La Grange Park, IL.	The revision of ANSI/ANS 8.1 completed in 2014, ANSI/ANS 8.1-2014 (R2018), details the interpretation of "credible abnormal conditions", in reference with PA (Process analysis) and DCP (Double contingency Principle) and could be a helpful guidance and reference for the user of DS516. See also Comments N°3, N°4, N°6	X		

684.	FRA132	Referen	[]	The SSG-26 seems to be under	Х		
		ces	[10] INTERNATIONAL ATOMIC	publication.			
	l		ENERGY AGENCY, Advisory Material	<u>^</u>			
			for the IAEA Regulations for the Safe				
			Transport of Radioactive Material,				
			(201220 Edition), IAEA Safety				
			Standards Series No. SSG-26, IAEA,				
	l		Vienna. (A revision of this publication is				
			in preparation.)				
	ļ		[]				
685.	PAK005	REFER	[51] INTERNATIONAL ATOMIC	To make references consistent	Х		
1	l	ENCES	ENERGY AGENCY, Safety of	with comment at Sr. No. 4.			
	l		Research Reactors, IAEA Safety				
			Standards Series No. SSR-3, IAEA,				
	ļ		Vienna (2016).				
686.	ENISS6	Relevan	AMERICAN NUCLEAR SOCIETY,	See also Comments N°3, N°4,	Х		
	l	t	Nuclear Criticality Safety in Operations	N°7.			
		Literatur	with Fissionable Materials Outside				
		e	Reactors, ANSI/ANS-8.1-1998;				
	l	ANSI/A	R2007;R2014 ANSI/ANS, 8.1-2014				
	l	NS	(R2018) (R = Reaffirmed), ANS, La				
	l	Standar	Grange Park, IL (1998). (see [51]				
	l	ds	ANSI/ANS, 8.1-2014 (R2018))				
	ĺ	Page 94					