SSC MEMBERS COMMENTS

DS439 Version 1

ADDENDUM TO NS-R-5

APPENDIX IV – REPROCESSING FACILITIES

APPENDIX V – FUEL CYCLE RESEARCH & DEVELOPMENT FACILITIES

CONTENTS

FRANCE (NUSSC)

GERMANY Appendix IV (NUSSC/WASSC)

GERMANY Appendix V (NUSSC/WASSC)

JAPAN (NUSSC)

REPUBLIC OF KOREA (NUSSC)

UK

USA

TITLE: DS 439 ADDENDUM TO NS-R-5 - version 1 APPENDIX IV – REPROCESSING FACILITIES & APPENDIX V – FUEL CYCLE RESEARCH & DEVELOPMENT FACILITIES

Country/	COMMENTS BY REVIEWER Reviewer: F. Féron, V. Lhomme, D. Martineau, JP Carreton, M. Philippe, JP Daubard Country/Organization: France/ASN + IRSN Date: 19 //09/2011				RESOLU	TION	
Comm ent No.	Para/Lin e No.	Proposed new text	Reason	Accepted	Accepted, but modified as follows	Rejected	Reason for modification/reje ction

	Organization	COMMENTS BY REVIEWER 7. Lhomme, D. Martineau, JP Carreton, M. Philippo :: France/ASN + IRSN	e, JP Daubard Page : Date: 19	RESOLUTION				
Comm ent No.	Para/Lin e No.	Proposed new text	Reason	Accepted	Accepted, but modified as follows	Rejected	Reason for modification/rejection	
1.	General		What about the new format of requirements documents (overarching and associated requirements?)		Understand the reason for the comment, but it is not possible to adopt the new format. The proposed format is consistent with the current appendix structure of NS-R-5. Note that the main text and Appendices I-III of NS-R-5 were only approved by the SSC's in 2008 and are not subject to review or change at this time.			

	Organizatio/	COMMENTS BY REVIEWER V. Lhomme, D. Martineau, JP Carreton, M. Philippe n: France/ASN + IRSN	RESOLUTION				
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2.	general		Consistency with requirements and wording used in the upcoming update of NS-R-1 (SSR-2.1) and SS-R-2.2? A formal comparison should be performed by IAEA.		For the reason given against comment 1, the consistency will be confirmed when NS-R-5 comes up for its 10 yearly review.		
3.	general		No need to refer explicitly to the safety assessment	Y			
4.	general		In the interest of balance, it would be appropriate that the Appendix IV is not too shorter than the Appendix II.			Y	The length of each Appendix will be determined by the requirements necessary to supplement those in the main text of NS-R-5.

	Organizatio	COMMENTS BY REVIEWER V. Lhomme, D. Martineau, JP Carreton, M. Philippe n: France/ASN + IRSN	e, JP Daubard Page: Date: 19		RESOLU	TION	
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5.	general		Were (known) lessons learned from Fukushima taken into account?		DS439 was written and approved for submission to the SSC's on 11 March 2011, i.e. before the Fukushima event.		
6.	introduc tion	In reprocessing plants, the full range of radioactive materials and the risks that may be encountered in the fuel cycle facilities, are present.	Worth mentioning	Y			

		COMMENTS BY REVIEWER			RESOLU	ITION		
	/Organizatio	V. Lhomme, D. Martineau, JP Carreton, M. Philippe n: France/ASN + IRSN	e, JP Daubard Page : Date: 19					
Comm ent No.	Para/Lin e No.	Proposed new text	Reason	Accepted	Accepted, but modified as follows	Rejected	Reason for modification/reje ction	
7.	introduction	Specific attention should be devoted to some of its processes: - the pool storage of spent fuel and associated risks because of the source term (feedback of Fukushima accident for example); - the receiving and unloading (dry or wet) spent fuels. - the vitrification process effluent HA and storage of glass containers.	Worth mentioning Fukushima lesson learned		Although out of scope of this Appendix, it is worth noting that specific attention to some of the processes at the reprocessing facility will be required, including: • receiving and unloading (dry or wet) spent fuels; • pool storage of spent fuel; • vitrification of high level waste and the storage of associated glass containers, if located within the reprocessing facility. Note that this text is also consistent with the scope of the Safety Guide on Reprocessing facilities. See DPP for DS360.			

	/Organizatio	COMMENTS BY REVIEWER V. Lhomme, D. Martineau, JP Carreton, M. Philippen: France/ASN + IRSN	e, JP Daubard Page: Date: 19	RESOLUTION				
Comm ent No.	Para/Lin e No.	Proposed new text	Reason	Accepted	Accepted, but modified as follows	Rejected	Reason for modification/reje ction	
8.	IV.1	Transfer this requirement in the main part of NS-R-5	Although true, this requirement is not specific to reprocessing facilities.			Y	Agreed. However, it was judged that this aspect was not adequately covered in the main text of NS-R-5 and was therefore added to the appendix. Note that it is not possible to amend the text in NS-R-5 at this time as the main text of NS-R-5, which was only approved by the SSC's in 2008. Consider this comment when NS-R-5 comes up for its 10 yearly review.	

	Organizatio/	COMMENTS BY REVIEWER V. Lhomme, D. Martineau, JP Carreton, M. Philippen: France/ASN + IRSN	e, JP Daubard Page: Date: 19		RESOL	UTION	
Comm ent No.	Para/Lin e No.	Proposed new text	Reason	Accepted	Accepted, but modified as follows	Rejected	Reason for modification/reje ction
9.	IV.1	"Investigation and assessment regarding the safety aspects of site selection for a reprocessing plant shall be mainly focused on the site conditions through the potential effects of natural and man-induced events or aggressions on the facilities. The site shall be also evaluated with the respect to: - safety aspects of storage and transportation (both from and to the site)of materials or waste." - the possibility for the environment to receive liquid or aerial radioactive and chemical discharges."	The recommendation is not specific to reprocessing plants. These points aims to focus on specific safety issues.	Y			
10.	IV.2/2 nd bullet	• Prevent the accidental uncontrolled release of hazardous (including radioactive) materials;	Release during normal operation should also be considered	Y			
11.	IV.2/3 rd bullet	• Keep radiation exposure during normal operation and accident conditions as low as reasonably achievable;	ALARA is also applicable for accident conditions. This is not specific to reprocessing facilities and could be transferred to the main part of NS-R-5	Y			

	Organizatio	COMMENTS BY REVIEWER V. Lhomme, D. Martineau, JP Carreton, M. Philippe on: France/ASN + IRSN	e, JP Daubard Page: Date: 19	RESOLUTION : 19			
Comm ent No.	Para/Lin e No.	Proposed new text	Reason	Accepted	Accepted, but modified as follows	Rejected	Reason for modification/reje ction
12.	IV.2/4 th bullet		One comment about the safety functions: The safety function: "provide adequate cooling" is only a means to meet the three functions listed above.		Agreed. Deleted cooling as a safety function.		
13.	IV.2	After the bullet list, add: A specific attention shall be paid to the design and dimensioning of the storage pools for spent fuel, that are sensitive to external hazards, internal hazards (drop load), dewatering fuels	Fukushima lesson learned			Y	Spent fuel storage is out of scope of the document.
14.	IV.2	At the end of IV.2: The design shall take into account the operating experience feedback of similar facilities"	This is not specific to reprocessing facilities and could be transferred to the main part of NS-R-5		Text added under Engineering Design.		
15.	IV.4	Cooling systems, including any support features, shall have adequate capacity, availability and reliability as established in the safety assessment1 to remove heat from radioactive decay and for removing heat due to chemical reactions.	Superfluous (covered by "adequate")	Y			

					RESOLUTION 19				
Comm ent No.	Para/Lin e No.	Proposed new text	Reason	Accepted	Accepted, but modified as follows	Rejected	Reason for modification/reje ction		
16.	IV.4	A t the end, add: Spent fuel pool and associated cooling systems shall be designed to minimize the risk of siphoning water out of the pool.	It is an important safety issue of the storage pool of spent fuel.			Y	Spent fuel storage is out of scope of the document.		
17.	IV.5	Cooling systems shall be designed according to the safety assessment for preventing coolant from leaking into moderation control areas designated for criticality safety.	Superfluous	Y					
18.	IV.6	The design and operational procedures of the reprocessing facility shall allow, <u>as necessary</u> , representative sampling of process and waste streams, either manual or automatic, for ensuring compliance with the requirements established in the safety assessment.	Operational procedure are to be dealt with in "operation" section, not in "design" section.		Agreed, but IV.6 modified as a result of comment 19 and incorporating text from SSR-2.1 R 71. Therefore comment no longer relevant.				
19.	IV.6		Why no using wording of SSR-2.1 (Requirement 59, Requirement 71 and 6.47 and 6.80)?		Wording taken from R71 and 6.47 & 6.80.				

	Organizatio	COMMENTS BY REVIEWER V. Lhomme, D. Martineau, JP Carreton, M. Philippen: France/ASN + IRSN	RESOLUTION				
Comm ent No.	Para/Lin e No.	Proposed new text	Reason	Accepted	Accepted, but modified as follows	Rejected	Reason for modification/reje ction
20.	IV.7	As part of the overall safety assessment of the facility, a criticality safety assessment shall be performed prior to the commencement of any activity involving fissionable material.	To highlight that criticality assessment is only a part, although important, of the safety assessment	Y			
21.	IV.7	Safety criteria and safety margins shall be developed to ensure sub-criticality, based on either the neutron multiplication factor, Keff, or on controlled parameters, such as geometry, mass, enrichment or moderation. control modes such as geometry, mass, moderation.	The end of the last sentence does not appear correct. Calculation of Keff is only a means to validate or control modes selected.		Reference to controlled parameters retained for consistency with DS407 Criticality Safety.		
22.	IV.8	A reference fissile medium material composition shall be defined.	Clarification		Medium added, but reference to material composition retained as it was specifically requested by Japan during the drafting phase.		

	Organizatio	COMMENTS BY REVIEWER V. Lhomme, D. Martineau, JP Carreton, M. Philippe n: France/ASN + IRSN	e, JP Daubard Page: Date: 19		RESOLU	TION	
Comm ent No.	Para/Lin e No.	Proposed new text	Reason	Accepted	Accepted, but modified as follows	Rejected	Reason for modification/reje ction
23.	IV.8	The <u>criticality</u> safety assessment performed using this fissile material composition shall be a bounding case of the actual fissile material composition being handled or processed.	Consistency	Y			
24.	IV.9	Particular attention shall be paid to those system interfaces where there is a change in the fissile material state or in the control mode.	To improve the completeness of this item.	Y			
25.	IV.9	At the end, add Particular attention shall also be paid to the transfer between a safe geometry equipment toward a geometry unsafe equipment	To improve the completeness of this item.		Particular attention shall also be paid to the transfer of fissile material between equipment with a safe geometry to equipment with an unsafe geometry.		

	'Organizatio	COMMENTS BY REVIEWER V. Lhomme, D. Martineau, JP Carreton, M. Philippen: France/ASN + IRSN	e, JP Daubard Page: Date: 19		RESOLU	JTION	
Comm ent No.	Para/Lin e No.	Proposed new text	Reason	Accepted	Accepted, but modified as follows	Rejected	Reason for modification/reje ction
26.	IV.10	If the design of the reprocessing facility takes eredit for burn-up credit, its use shall be appropriately justified.	Alternative wording		If the design of the reprocessing facility accounts for burn-up credit, its use shall be appropriately justified.		
27.	IV.12	In the criticality safety assessment, the choice and safety of the use of fire extinguishing media, e.g. water or powder, shall be addressed.	To improve the completeness of this item.	Y			
28.	IV.14	In the criticality safety assessment, consideration shall be given to the potential for internal and external flooding, and other internal or external hazards that may compromise criticality prevention measures.	Flooding is not the only hazard that can defeat criticality prevention measures	Y			

	Organizatio/	COMMENTS BY REVIEWER V. Lhomme, D. Martineau, JP Carreton, M. Philippen: France/ASN + IRSN	e, JP Daubard Page : Date: 19		RESOLU	UTION	
Comm ent No. 29.	Para/Lin e No. CRITIC ALITY PREVE NTION	Proposed new text In general, for the consideration of the risks of criticality at the design stage, the recommendations II.3, II.4, II.5*, II.6 *, II.7* and II.8 (* need to be adapted to reprocessing plants) set for the manufacturing MOX fuel (Appendix II) shall be added.	Reason To improve the completeness of the criticality articles.	Accepted	Accepted, but modified as follows	Rejected Y	Reason for modification/reje ction Consider that the items referred to in Appendix II are already covered in the general text in NS-R-5 covering the design requirements for criticality prevention and therefore do not need to be repeated in an areas discovered.
30.	Title before IV.15	CONFINEMENT OF NUCLEAR AND RADIOACTIVE MATERIAL	Superfluous The appendix IV refers to safety and not to proliferation issue.	Y			appendix.

	Organizatio	COMMENTS BY REVIEWER V. Lhomme, D. Martineau, JP Carreton, M. Philippe on: France/ASN + IRSN	e, JP Daubard Page : Date: 19		RESOLU	TION	
Comm ent No.	Para/Lin e No.	Proposed new text	Reason	Accepted	Accepted, but modified as follows	Rejected	Reason for modification/reje ction
31.	IV.15	During normal operation, internal exposure dose shall be minimized by design to the extent possible as far as reasonably practicable and the need to use personal protection equipment shall be minimized.	Consistency with IV.16. Personal protection should be used only during specific intervention and not during normal operation. The design must fulfill this requirement.	Y			
32.	IV.15		Although true, this requirement is not specific to reprocessing facilities.		Noted.		
33.	IV.16		IV.15 and IV.16 are partly redundant. Combination may be useful:		Noted, but current paragraph structure retained.		
34.	IV.16		Although true, this requirement is not specific to reprocessing facilities.		Noted.		
35.	IV.17		Use SSR-2.1 wording (6.48, requirement 79 and 6.61 and 6.63)		Wording in R79 used. Wording in 6.48 added to "Occupational protection" section.		

	Organizatio	COMMENTS BY REVIEWER V. Lhomme, D. Martineau, JP Carreton, M. Philippe n: France/ASN + IRSN		te: 19		RESOLU	TION	
Comm ent No.	Para/Lin e No.	Proposed new text	Reason		Accepted	Accepted, but modified as follows	Rejected	Reason for modification/reje ction
36.	IV.17		Although true, requirement is not spec reprocessing facilities.	this ific to		Noted.		
37.	IV.18		Use wording of SS (Requirement requirement 79 and 6.6 6.62)	78,		Wording in R79 used.		
38.	IV.18		Although true, requirement is not spec reprocessing facilities.	this ific to		Noted.		
39.	IV.17 and IV.18		It could also be added The design shall se optimize processes to a waste production discharge of gaseous liquid hazardous (inc radioactive) substances	and and uding			Y	This requirement is covered in the main text of NS-R-5, in 6.31.

Country/	Organizatio	COMMENTS BY REVIEWER V. Lhomme, D. Martineau, JP Carreton, M. Philippe n: France/ASN + IRSN	e, JP Daubard Page : Date: 19		RESOLU	JTION	
/09/2011 Comm ent No.	Para/Lin e No.	Proposed new text	Reason	Accepted	Accepted, but modified as follows	Rejected	Reason for modification/reje ction
40.	CONFI NEME NT OF RAD MATE RIALS	Overall, for the consideration of the risks of dissemination of radioactive materials at the design stage, the recommendations II.9, II.10, II.11, II.12 (need to be adapted to the reprocessing plants) set for manufacturing MOX fuel (see in appendix II) shall be added.	To improve the completeness of the confinement items.		II.11 & 12 have been added. However, the objective of the requirements in II.9 & 10 are considered to have been covered in the general text of NS-R-5, in 6.38.		
41.	IV.19	Criticality accidents shall be <u>prevented</u> and controlled by means of design, as far as is reasonably practicable.	Prevention is a key requirement	Y			
42.	IV.20	The use of fire extinguishing media shall be consistent with the requirements established in the safety assessment, e.g. criticality safety provisions.	Superfluous. Furthermore, IV.20 is largely redundant with IV.12. IV.20 might be deleted.		IV.20 deleted.		

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43.	IV.21	During the design of a reprocessing facility, the potential for the formation of red oil and any resulting explosion shall be considered in the safety assessment and appropriate safety measures identified and implemented.	Superfluous		Agreed. However, please note that IV.21 was deleted and combined with IV.3 as a result of Japan comment No 3 and IV.3 was then moved to the Postulated Initiating events Section under "Fire and explosion".		

	Organizatio	COMMENTS BY REVIEWER V. Lhomme, D. Martineau, JP Carreton, M. Philippe n: France/ASN + IRSN	e, JP Daubard Page: Date: 19		RESOLU	TION	
Comm ent No.	Para/Lin e No.	Proposed new text	Reason	Accepted	Accepted, but modified as follows	Rejected	Reason for modification/reje ction
44.	IV.21	Add: The potential formation of explosive materials inside ventilation equipments due to the gaseous mixture shall be considered and appropriate safety measures implemented	To take into account the feedback of events which occurred in different reprocessing plants (France, Germany)? Example of formation of explosive materials is ammonium nitrate		The potential formation of explosive materials inside ventilation equipment due to gaseous mixtures shall be considered and appropriate safety measures implemented.		

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Comm ent No.	Para/Lin e No.	Proposed new text	Reason	Accepted	Accepted, but modified as follows	Rejected	Reason for modification/reje ction
45.	IV.21	Add: The risk of explosion or of excess internal pressure resulting from: - the use of explosive gases, flammable liquids and chemical substances such hydrogen or hydrogen peroxide, TBP and diluents, hydrazine nitrate; - the generation of hydrogen by radiolysis in aqueous or organic solutions and solids; - the forming of explosive products due to chemical reaction or thermal runaway reaction; - pyrophoric materials (zircaloy fines); Shall be considered and appropriate safety measures implemented.	To improve the completeness of this item Forming of explosive products due to chemical reaction or thermal runaway reaction: formation of hydrazoic acid, TBP/nitrate reaction, reoxidation of UIV to UVI, formaldehyde/nitric acid reaction, hydroxylamine nitrate/nitric acid reaction	Y			
46.	IV.22	During the design of a reprocessing facility, plant equipment used in a radiological environment shall be suitably assessed for its actions or failure.	Although true, this requirement is not specific to reprocessing facilities.		Noted		
47.	IV.23	Provisions to prevent, monitor for and collect leaks shall be implemented according to the requirements established in the safety assessment.	Initial wording is ambiguous. Prevention is important, as well as monitoring and collection.	Y			

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Comm ent No.	Para/Lin e No.	Proposed new text	Reason	Accepted	Accepted, but modified as follows	Rejected	Reason for modification/reje ction		
48.	IV.23	Add Specific attention shall be given to equipment containing concentrated acid solutions, especially when at high temperatures.	To improve the completeness of this item	Y					
49.	IV.24		This item is not specific to reprocessing plants. It is a general requirement of fuel cycle facilities and could be transferred to the main part of NS-R-5		Noted.				
50.	IV.25	During the design of a reprocessing facility, the loss of safety systems, safety related items or their supporting features shall be considered and their impact on safety shall be assessed.	Why limiting the assessment to safety systems?		During the design of a reprocessing facility, the loss of safety related items and safety systems (including their supporting features) shall be considered and their impact on safety shall be assessed.				

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Comm ent No.	Para/Lin e No.	Proposed new text	Reason	Accepted	Accepted, but modified as follows	Rejected	Reason for modification/reje ction
51.	IV.26	The design of electrical power supplies to reprocessing facilities shall ensure the necessary levels of adequate availability and reliability—as established in the safety assessment.		Y			
52.	IV.26	In case of the loss of normal power, emergency electrical supply shall be provided to the relevant items important to safety, taking into account according to the reprocessing facility's operational status (e.g. normal operation, shutdown, maintenance, clean-out), and the requirements established in the safety assessment.		Y			

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53.	IV.27	The potential consequences of a failure or leak shall be assessed in order to determine complementary Safety measures to minimize the consequences of potential failure or leak in high active area shall be sought.	Rewording		The potential consequences of a failure or leak shall be assessed in order to determine complementary safety measures to minimize the consequences. Safety measures to minimize the consequences of potential failure or leak in high active area shall be implemented.		

	'Organizatio	COMMENTS BY REVIEWER V. Lhomme, D. Martineau, JP Carreton, M. Philippe on: France/ASN + IRSN	RESOLUTION				
Comm ent No.	Para/Lin e No.	Proposed new text	Reason	Accepted	Accepted, but modified as follows	Rejected	Reason for modification/reje ction
54.	IV.29	Extreme weather conditions shall be taken into account in the design of items important to safety, in particular cooling systems associated with the storage of heat generating high level waste or spent fuel.	requirement to cooling systems. For example, what		Extreme weather conditions shall be taken into account in the design of items important to safety, in particular cooling systems associated with the storage of heat generating high level waste. Note, spent fuel storage is out of scope.		

COMMENTS BY REVIEWER RESOLUTION Reviewer: F. Féron, V. Lhomme, D. Martineau, JP Carreton, M. Philippe, JP Daubard Page: Country/Organization: France/ASN + IRSN Date: 19 /09/2011 Accepted, but Comm Reason for Para/Lin Proposed new text Accepted Rejected Reason modified as modification/reje ent e No. follows No. ction 55. POSTU In general, the recommendations II.15, II.16, To improve the completeness II.15 added, see of these items LATED II.17, II.18, II.19, II.20, II.21, II.22, II.23 also Japan **INITIA** (need to be adapted to reprocessing plants) comment No 25. set for the manufacturing MOX fuel It may be mentioned the II.16 First TNG (Appendix shall installations where the risks **EVENT** II)be added. sentence added. S of loss of cooling are the However, second most sensitive: such as pools, sentence covered concentrated fissile products under Criticality solutions storage, vitrified Prevention. II.17added, see waste... also German IV It may be also mentioned the comment No 3. where II.18 objective of installations radiolysis risks are the most this requirement sensitive. considered to be covered by IV.15 & 34. II.20 covered by IV.15. II.21 covered by IV.5. II.22 added. II.23 covered by IV.33. Loss of cooling covered by IV.5. Radiolysis risk covered in IV.28.

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under Fire and Explosion.

	Organizatio	COMMENTS BY REVIEWER V. Lhomme, D. Martineau, JP Carreton, M. Philippen: France/ASN + IRSN	e, JP Daubard Page: Date: 19		RESOLU	TION	
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56.	IV.30	Adequate instrumentation shall be provided for measuring the variables that are relevant to can affect the safety of the reprocessing facility, both: - in normal operation to ensure that the process is being operated within the safety limits and to monitor its environmental impact; - for detecting and managing accident conditions, such as criticality or earthquake detection.	To also cover accident conditions	Y			
57.	IV.31	Automated safety control systems, e.g. safety interlock systems, shall be designed to ensure adequate the necessary levels of availability and reliability as established in the safety assessment		Y			
58.	IV.31	to ensure that the related process parameters remain within the operational limits and conditions. Where prompt and reliable action is necessary, provision shall be made in the design for automatic safety control or action.	See SSR-2.1 (5.11). For example, in case of earthquake detected by seismographs, certain functions (transfer of radioactive solution for example) or system should be stopped.	Y			

	Organizatio/	COMMENTS BY REVIEWER V. Lhomme, D. Martineau, JP Carreton, M. Philippe n: France/ASN + IRSN	e, JP Daubard Page : Date: 19		RESOLUTION	
Comm ent No.	Para/Lin e No.	Proposed new text	Reason	Accepted	Accepted, but modified as follows	Reason for modification/reje ction
59.	IV.32	Instrumentation shall be provided to confirm that filtration systems are working effectively.	Although true, this requirement duplicates IV.30	Y		
60.	IV.33	The design of the reprocessing facility shall enable Requirements for the safe management of radioactive waste and effluents arising from normal operation, maintenance and periodic wash-out of the facility—shall be established. Due consideration shall be paid to the various nature, composition and, when radioactive, activity level, of the waste generated in the facility.	Rewording It is important to take into account the separation of the streams of waste with different characteristics and the choice of the waste management process taking into account safety issues	Y		
61.	IV.34	In reprocessing facilities, commissioning shall be divided into stages (typically inactive and active). Consideration shall be given to defining commissioning activities as early as possible to avoid difficulties in performing a test satisfactorily or with a higher risk, at a later stage.	It is more a recommendation than a requirement. No added value as 8.9 of NS-R-5 already requires stages	Y		

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62.	IV.35	Special attention shall be paid to ensuring that no commissioning tests are performed that might place the plant in an unanalysed condition. Each safety function shall be verified as fully as practicable before the stage in which the function becomes necessary to ensure safe commissioning. For example, shielding is generally ensured by inspection in the construction stage and testing and checking during inactive commissioning and confirmed during active commissioning.	See SSR-2.2 (6.1). No examples in requirements.	Y			
63.	IV.36 1.	During inactive commissioning: Confirmation of the performance of shielding and confinement systems, including confirmation of the weld quality of static containment; Confirmation of the performance of criticality control measures; Demonstration of the availability of criticality detection and alarm systems; Demonstration of the performance of emergency shutdown systems. Demonstration of the availability of emergency power supply	Add power supply verifications	Y			

	Organizatio	COMMENTS BY REVIEWER V. Lhomme, D. Martineau, JP Carreton, M. Philippe n: France/ASN + IRSN	e, JP Daubard Page: Date: 19		RESOLU	JTION	
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64.	IV.36.2		Delete IV.36. The first bullet might be changed to verification of radiation conditions in the facility (but not of workers' doses)		IV.36 deleted.		
65.	IV.37	The capability of the reprocessing facility and systems to be <u>tested</u> and maintained <u>once commercial operation has started</u> shall be addressed in the commissioning programme, especially for hot cells and remote equipment.	Periodic testing should also be considered	Y			
66.	IV.39		Delete IV.39. Covered by 8.1 of NS-R-5	Y			
67.	IV.43	A spent fuel acceptance and reprocessing feed programme of a reprocessing facility shall be prepared and assessed to ensure that the requirements established in the operating licence and in the safety assessment are met throughout the reprocessing processes, and to ensure no unacceptable impact on the reprocessing facility products and waste/discharges generated.	Licence conditions shall also be considered Discharges to the environment should also be considered.	Y			
68.	IV.49		Although true, not specific to reprocessing facilities		Noted.		

	Organization	COMMENTS BY REVIEWER V. Lhomme, D. Martineau, JP Carreton, M. Philippe n: France/ASN + IRSN	e, JP Daubard Page: Date: 19		RESOLU	TION	
Comm ent No.	Para/Lin e No.	Proposed new text	Reason	Accepted	Accepted, but modified as follows	Rejected	Reason for modification/reje ction
69.	IV.50		Although true, not specific to reprocessing facilities and too detailed.		Noted.		
70.	IV.51		Delete IV.51. It is more a recommendation;			Y	Retained as inter-campaigns are a feature of the operation of reprocessing facilities.

	Organizatio	COMMENTS BY REVIEWER V. Lhomme, D. Martineau, JP Carreton, M. Philippe n: France/ASN + IRSN	e, JP Daubard Page: Date: 19		RESOLU	TION	
Comm ent No.	Para/Lin e No.	Proposed new text	Reason	Accepted	Accepted, but modified as follows	Rejected	Reason for modification/reje ction
71.	IV.54	Add Before changing location of process equipment installed in inaccessible cells, their associated neutron reflector or connections with other equipment, the criticality assessment shall be updated to determine whether such change is possible.	To improve the completeness of this item.		Prior to modifying the location, or neutron reflectors or connections of process equipment installed in inaccessible cells, the criticality assessment shall be updated to determine whether such change is acceptable.		
72.	Before IV.55	Add: IV.## Specific provisions shall be provided to reduce the risk of accumulation of organic phase in tanks which handle aqueous solutions containing fissile materials.	To improve the completeness of this item.	Y			

	Organizatio	COMMENTS BY REVIEWER V. Lhomme, D. Martineau, JP Carreton, M. Philippe n: France/ASN + IRSN	e, JP Daubard Page: Date: 19		RESOLU	TION	
Comm ent No.	Para/Lin e No.	Proposed new text	Reason	Accepted	Accepted, but modified as follows	Rejected	Reason for modification/reje ction
73.	IV.56	The inadvertent addition of water or neutralizing chemicals (often used for decontamination) to fissile solutions, which can cause precipitation with a criticality risk, shall be minimized. Such liquid feed lines shall be isolated or shall be subject to appropriate administrative controls during normal operations according to the requirements established in the safety assessment.	Superfluous	Y			
74.	After IV.56	Add: The lack of accumulation of fissile material in tanks, for which the sub-criticality is not guaranteed only by the geometry, shall be periodically reviewed by appropriate means after draining and rinsing, if any.	To take into account operational experience feedback.				

	Organizatio	COMMENTS BY REVIEWER V. Lhomme, D. Martineau, JP Carreton, M. Philippe n: France/ASN + IRSN	e, JP Daubard Page: Date: 19		RESOLU	JTION	
Comm ent No.	Para/Lin e No.	Proposed new text	Reason	Accepted	Accepted, but modified as follows	Rejected	Reason for modification/reje ction
75.	IV.58	Due to the wide range of radiation types and physical and chemical forms of radioactive materials, the type of monitor used, either fixed or mobile monitors, shall be specified by suitably qualified radiation protection personnel. Appropriate equipment, either stationary or mobile, shall be provided at the reprocessing facility to ensure that there is adequate radiation monitoring in operational states and, as far as is practicable, in accident conditions.	See SSR-2.1 (requirement 82 and associated)	Y			
76.	IV.59	Delete IV.59	Although true, this requirement is not specific to reprocessing facilities. Covered by 9.37, 9.40, 9.41 and 9.48 of NS-R-5			Y	Retained to emphasize that resorting to PPE is to be limited.
77.	IV.60	The potential for fire <u>or explosion</u> and the control of ignition sources and potential combustible materials, including <u>hazardous</u> and <u>toxic</u> process chemicals, shall be carefully considered, included during maintenance operations.	Explosion should also be considered. Hazardous chemicals should be highlighted	Y			

	Organizatio/	COMMENTS BY REVIEWER V. Lhomme, D. Martineau, JP Carreton, M. Philippon: France/ASN + IRSN	e, JP Daubard Page: Date: 19		RESOLU	JTION	
Comm ent No.	Para/Lin e No.	Proposed new text	Reason	Accepted	Accepted, but modified as follows	Rejected	Reason for modification/reje ction
78.	After IV.60	Add a new requirement: IV.## Each handling device used for transferring loads containing radioactive substances or loads in line of equipment containing radioactive materials or participating in safety functions shall be subjected to appropriate check and operating instructions.	To focus on handling devices such as crane	Y			
79.	Title before IV.61	RADIOACTIVE WASTE AND EFFLUENT MANAGEMENT	Superfluous			Y	Agreed. However, retained to remain consistent with other Appendices
80.	IV.61	Solid waste management IV.61. Solid-waste generation, treatment and storage shall be organised according to preestablished criteria and shall take into consideration both on-site storage capacity and disposal.	No reason to limit to solid waste. To take into account operational experience feedback.	Y			• •

	Organizatio/	COMMENTS BY REVIEWER V. Lhomme, D. Martineau, JP Carreton, M. Philippe on: France/ASN + IRSN	e, JP Daubard Page: Date: 19		RESOLU	JTION	
Comm ent No.	Para/Lin e No.	Proposed new text	Reason	Accepted	Accepted, but modified as follows	Rejected	Reason for modification/reje ction
81.	IV.62	Liquid-waste management IV.62. Heat generating high level waste shall be stored in facilities that address (through design and operation measures) the need to maintain suitably reliable cooling, in accordance with the requirements established in the safety assessment.	Superfluous	Y			
82.	IV.63		Delete IV.63 (duplicates commissioning requirement + complying with OLC or taking action if OLC are not complied with)	Y			
83.	IV.64		Delete IV.64 (duplicates commissioning requirement + complying with OLC or taking action if OLC are not complied with)	Y			
84.	Append ix V general		The recommendations of this Appendix are very general and do not focus on specific safety issues of R&D facilities.		Noted.		

	r: F. Féron, Organizatio	COMMENTS BY REVIEWER V. Lhomme, D. Martineau, JP Carreton, M. Philippen: France/ASN + IRSN	e, JP Daubard Page: Date: 19		RESOLU	UTION	
Comm ent No.	Para/Lin e No.	Proposed new text	Reason	Accepted	Accepted, but modified as follows	Rejected	Reason for modification/reje ction
85.	Before "DESI GN"	Add a new introductory paragraph: Some safety issues specific to R & D facilities are: a. the manipulation of small amounts of radioactive material; b. the diversity of the experiments carried out and the associated safety assessment, which might be covering several different experiments; c. the potential manipulation of unusual radionuclides, such as "exotic" actinides, with the associated risks; d. the organizational and human factors as the operations are mainly manual and require the cooperation between the operating personnel of the facility and personnel the "R&D personnel".	To give some focus of specific issues of R&D facilities	Y			
86.	V.1	The facility shall be designed to prevent a criticality accident and the accidental release of hazardous (including radioactive) materials.	To put emphasis on radioactive substances	Y			

	'Organizatio	COMMENTS BY REVIEWER V. Lhomme, D. Martineau, JP Carreton, M. Philippe n: France/ASN + IRSN		RESOLU	TION		
Comm ent No.	Para/Lin e No.	Proposed new text	Accepted	Accepted, but modified as follows	Rejected	Reason for modification/reje ction	
87.	V.1	The design shall keep radiation exposures during normal operation and accident conditions as low as reasonably achievable.	ALARA is also applicable for accident conditions. This is not specific to reprocessing facilities and could be transferred to the main part of NS-R-5	Y			

	Organizatio	COMMENTS BY REVIEWER V. Lhomme, D. Martineau, JP Carreton, M. Philippe n: France/ASN + IRSN	e, JP Daubard Page: Date: 19		RESOLU	TION	
Comm ent No.	Para/Lin e No.	Proposed new text	Reason	Accepted	Accepted, but modified as follows	Rejected	Reason for modification/reje ction
88.	V.2	Containment shall be the primary method for ensuring confinement against the spreading of contamination. Containment can be provided by two complementary containment systems—static and dynamic. In view of the large range of potential radiological hazards presented by fuel cycle research—and—development—facilities,—a graded approach shall be used in the design of the containment systems.	Superfluous. In addition, like the MOX facilities (item II.9-Appendix II), there is some confusion between "systems" and "barriers" (Every containment system may be composed of static or dynamic barriers).		Containment shall be the primary method for ensuring confinement against the spreading of contamination. Containment can be provided by two complementary containment systems — static (e.g. physical barriers) and/or dynamic (e.g. ventilation). In view of the large range of potential radiological hazards presented by fuel cycle research and development facilities, a graded approach shall be used in the design of the containment systems with respect to the nature and number of the barriers and their performance, in accordance with the severity of the potential radiological consequences of their failure.		

Proposed new text Proposed new text Reason Accepted Accepted Modified as follows Rejected Modified as follows Rejected Modified as follows Rejected Modified as follows Rejected Modified as follows Reson for modification/rejection		Organizatio	COMMENTS BY REVIEWER V. Lhomme, D. Martineau, JP Carreton, M. Philippe n: France/ASN + IRSN	e, JP Daubard Page : Date: 19		RESOLU	TION	
90. V.8 Why other hazards (earthquake, flooding) have been neglected? Why other hazards is covered by the emergency requirements specified in the general text of NS-R-5. However, it was judged appropriate to highlight a number of specific hazards associated with operating an R&D facility.	ent		Proposed new text	Reason	Accepted	modified as	Rejected	modification/reje
(earthquake, flooding) have been neglected? requirement to address hazards is covered by the emergency requirements specified in the general text of NS-R-5. However, it was judged appropriate to highlight a number of specific hazards associated with operating an R&D facility.	89.	V.7				Noted.		
91. /		V.8		(earthquake, flooding)		requirement to address hazards is covered by the emergency requirements specified in the general text of NS-R-5. However, it was judged appropriate to highlight a number of specific hazards associated with operating an		
	91.	/						

Draft Safety Requirements DS439 "ADDENDUM TO NS-R-5, APPENDIX IV – REPROCESSING FACILITIES", Step 7, Version 1, 2011-08

			COMMENTS BY REVIEWER			RESOLU'	ΓΙΟΝ	
	Nuclear S		inistry for the Environment, Nature U) (with comments of GRS) : Germany	Conservation and Page 1 of 2 Date: 2011-09-	Accepted Accepted, but modified as follows Rejecte d Reason for modification/rejection Accepted Accepted, but modification/rejection Accepted Reason for modification/rejection Accepted Reason for modification/rejection Accepted Y The results of the trise of the triple of triple of the triple of			
Rele- vance	Comment No.	Para/Line No.	Proposed new text	Reason	Accepted			modification/reje
1	1	IV.8	2 nd sentence: "A reference fissile material composition shall be defined. The safety assessment performed using this fissile material composition shall be a conservative bounding case of the actual fissile material composition being handled or processed."	Clarification. Compare with NS-R-5, para 6.47: "Criticality evaluations and calculations shall be performed on the basis of making conservative assumptions."	Y			
1	2	IV.13	"In the criticality safety assessment, account shall be taken of the effects of corrosion, and erosion and vibration cracking in systems exposed to oscillations."	Additional important effect that could give rise to criticality incidents.	Y			
1	3	after IV.21	include new para with the following text: "In areas with potentially explosive atmospheres, the electrical network and equipment shall be protected	The proposed para is taken from Appendix I, para I.12; Appendix II, para II.17; Appendix III, para III.12. The	Y			

	Nuclear S		COMMENTS BY REVIEWER inistry for the Environment, Nature J) (with comments of GRS): Germany	Conservation and Page 1 of 2 Date: 2011-09-		RESOLU'	ΓΙΟΝ	
Rele- vance	Comment No.	Para/Line No.	Proposed new text	Reason	Accepted	Accepted, but modified as follows	Rejecte d	Reason for modification/reje ction
			in accordance with industrial safety regulations."	requirement applies to reprocessing facilities as well.				
3	4	IV.34	2 nd sentence: "Consideration shall be given to define defining commissioning activities as early as possible to avoid difficulties in performing a test"	Editorial.		Agreed. However, IV.34 was deleted by France comment No 61.		
3	5	title of subsectio n after IV.38	"COMMISSIONNING STAGES"	Editorial.	Y			
3	6	IV.39	" shall be tested for loss of or failures in, the supporting systems, as far as practicable"	Wrong comma.		Agreed. However, IV.39 was deleted by France comment No 66.		
3	7	IV.43	" throughout the reprocessing processes, and to ensure that there is no unacceptable impact on the reprocessing facility products and waste."	Wording to improve understanding.	Y			
3	8	IV.44	2 nd bullet point:	Editorial.			Y	Current

			"In addition to meeting the requirement of para 9.14, covering the minimum staffing for operation,"				wording is acceptable.
2	9	IV.53	"Procedures for the transfer or disturbance of fissile material during operational states (including maintenance) shall be defined, including hold points submitted to elearance from a persons who is are independent of the operations management."	Meaning of the phrase "hold-points submitted to clearance" sentence is unclear. Clarification is required.		Procedures for the transfer or movement of fissionable material during operational states (including maintenance) shall be defined and submitted for approval from criticality safety staff that are, to the extent necessary, independent of the operations management. Note, proposed text includes Japan comment Nos 22 & 31.	
3	10	IV.55	"All transfers of fissile material, including waste and residues, shall be subject to certification as such by the sending plant and acceptance by the receiving plant prior to sending."	Editorial.	Y		
3	11	IV.60	"The potential for fire shall be	Editorial.	Y		

			carefully considered, included including during maintenance operations."				
1	12	section DECOM MISSION ING	include new section with title "DECOMMISSIONING" and the following para: "Special procedures shall be implemented to ensure that criticality control is maintained in dismantling equipment whose criticality is controlled by geometry."	Essential completion with respect to criticality prevention. The proposed para is taken from Appendix III, para III.35. The requirement applies to reprocessing facilities as well.	Y		

Draft Safety Requirements DS439 "ADDENDUM TO NS-R-5, APPENDIX V – FUEL CYCLE RESEARCH & DEVELOPMENT FACILITIES", Step 7, Version 1, 2011-08

	Nuclear S		COMMENTS BY REVIEWER inistry for the Environment, Nature (J) (with comments of GRS) Germany		RESOLUT	ΓΙΟΝ		
Rele-	Comment	Para/Line	Proposed new text	Reason	Accepted	Accepted, but	Rejecte	Reason for
vance	No.	No.				modified as follows	d	modification/reje ction
1	1	introduct	1 st paragraph:	Essential completion.	Y			
		ory text	" receive, handle, process, examine and store a large variety	Examples of special fissionable and non-				
			of radioactive materials with very	fissionable materials				
			different physical characteristics	sometimes encountered in				
			(e.g. uranium, thorium, plutonium), other actinides (e.g.	fuel cycle R&D facilities include ²³³ U, ²³⁷ Np, ²⁴² Pu,				

	Nuclear S		COMMENTS BY REVIEWER inistry for the Environment, Nature J) (with comments of GRS) are Germany			RESOLU"	ΓΙΟΝ	
Rele- vance	Comment No.	Para/Line No.	Proposed new text	Reason	Accepted	Accepted, but modified as follows	Rejecte d	Reason for modification/reje ction
			americium, neptunium, curium), separated isotopes (fissionable and non-fissionable), fission products, activated materials and irradiated fuel. Furthermore, a wide range of other materials is used in such facilities, for example graphite, boron, gadolinium, hafnium, zirconium, aluminium, heavy water and various metal alloys."	²⁴¹ Am and ²⁴² Am. See IAEA Draft Specific Safety Guide DS407 "Criticality Safety for Facilities and Activities handling Fissionable Materials" (Draft version 2, June 2010, para 5.86).				
1	2	introduct ory text	Add 3 rd paragraph with the following text: "Fuel cycle research and development facilities at laboratories are generally characterized by the need for high flexibility in their operations and processes, but typically have low inventories of fissionable materials and can include both hands-on and remote handling operations."	To complete the general characterization of fuel cycle R&D facilities. The proposed text is adopted from the IAEA Draft Specific Safety Guide DS407 "Criticality Safety for Facilities and Activities handling Fissionable Materials" (Draft version 2, June 2010, para 5.85) in a slightly modified form.	Y			

2	3	V.2	"Containment shall be the primary	Clarification and	Y		
			method for ensuring confinement	completion.			
			against the spreading of	1			
			contamination. Containment can				
			be provided by two				
			complementary containment				
			systems – static (e.g. physical				
			barriers) and dynamic (e.g.				
			ventilation). In view of the large				
			range of potential radiological				
			hazards presented by fuel cycle				
			research and development				
			facilities, a graded approach shall				
			be used in the design of the				
			containment systems- with respect				
			to the nature and number of the				
			barriers and their performance, in				
			accordance with the severity of the				
			potential radiological				
			consequences of their failure."				
1	4	section	subsection SAFETY	The proposed	Y		
	-	DESIGN	FUNCTIONS:	requirement is taken from	_		
				Appendix I, paras I.3+I.4;			
			include new item after para V.2	Appendix II, para			
			with title "Criticality prevention"	II.3+II.4; Appendix III,			
			and the following para:	para III.3+III.4.			
				The requirement applies			
			"Criticality safety shall be ensured	to fuel cycle R&D			
			by means of preventive measures.	facilities as well.			
			Preference shall be given to	racinates as well.			

			achieving criticality safety by				
			design, to the extent practicable,				
			rather than by means of				
			administrative measures."				
1	5	section	subsection SAFETY	The proposed text of the	Y		
		DESIGN	FUNCTIONS:	1 st new para is adopted			
				from Appendix I, para			
			include new item after para V.3	I.10; Appendix II, para			
			with title "Protection against	II.15; Appendix III, para			
			internal fires and explosions" and	III.10.			
			the following paras:	The proposed text of the			
			1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	2 nd new para is taken			
			"A fire detection system shall be	from Appendix I, para			
			installed that is commensurate with	I.12; Appendix II, para			
			the risks of fires and is in	II.17; Appendix III, para			
			compliance with national	III.12.			
			requirements."	Both requirements apply			
			requirements.				
			(CT '.1 , .' 11 1 '	to fuel cycle R&D			
			"In areas with potentially explosive	facilities as well.			
			atmospheres, the electrical network	The possible sources of			
			and equipment shall be protected	explosions include gases			
			in accordance with industrial safety	(e.g. hydrogen used in			
			regulations."	sintering furnaces) and			
				chemical compounds (e.g.			
				ammonium nitrate used in			
				recycling workshops).			
1	6	section	include new subsection with title	The proposed text is	Y		
		DESIGN	"ENGINEERING DESIGN" and	adopted from Appendix			
			the following para:	IV, para IV.3, in a			
				modified form.			
			"The design shall as far as	The requirement applies			
			reasonably practicable prevent	to fuel cycle R&D			

			hazardous concentrations of gases and other explosive or flammable materials."	facilities as well.			
1	7	section OPERA TION	subsection MANAGEMENT SYSTEM: include new item after para V.4 with title "Qualification and training of the personnel" and the following para: "An inappropriate response to a fire or explosion at the facility could increase the consequences of the event (e.g. radiological hazards including criticality, chemical hazards). Specific training and drills for personnel and external fire and rescue staff shall be organized by the operating organization."	The proposed text is adopted from Appendix I, para I.16; Appendix II, para II.40; Appendix III, para III.21. The requirement applies to fuel cycle R&D facilities as well.	Y		
1	8	section OPERA TION	subsection "CRITICALITY PREVENTION: include new para after V.5: "In the criticality safety assessment, the choice and safety of the use of fire extinguishing media, e.g. water, shall be addressed."	Consistency with para V.9. The proposed requirement is taken from Appendix IV, para IV.12. It applies to fuel cycle R&D facilities as well.		In the criticality safety assessment, the choice and safety of the use of fire extinguishing media, e.g. water or powder, shall be addressed. Reference to powder added to be consistent with	

						France comment No 27.	
1	9	V.9	"In dealing with a fire or a release of hazardous materials (e.g. UF ₆), a fire fighting medium shall be used that does not itself the actions taken or the medium used to respond to the emergency shall not create a criticality hazard or add to the chemical hazard."	Essential completion to cover laboratories handling UF ₆ . The chemical toxicity of UF ₆ and its reaction products (HF and UO ₂ F ₂) with water is predominant over uranium's radiotoxicity.	Y		
1	10	section DECOM MISSION ING	include new section with title "DECOMMISSIONING" and the following paras:	Essential completions with respect to criticality prevention during the decommissioning stage.	Y		
			"Special procedures shall be implemented to ensure that criticality control is maintained in dismantling equipment whose criticality is controlled by geometry."	The proposed requirement is taken from Appendix III, para III.35. It applies to fuel cycle R&D facilities as well.			
			"Criticality safety shall be ensured for the temporary storage of radioactive waste contaminated with plutonium that is generated by the dismantling of gloveboxes and their contents."	The proposed requirement is taken from Appendix II, para II.46. It applies to fuel cycle R&D facilities handling plutonium as well.			

Title: Appendix IV "Reprocessing Facilities", DS439 (An appendix to NS-R-5)

		COMMENTS BY REV	EWER		RESOLUTION		
Reviewer: Country/O		n: Japan/ NISA Da	te: 28 Sept. 2011				
Commen t No.	Para/Li ne No.	Proposed new text	Reason	Ac cep ted	Accepted, but modified as follows	Rej ect ed	Reason for modify./re jection
1	General comme nt	• The structure of chapters and titles should be reconsidered.	The structure of chapters and titles is not systematic so that some changes of them are pointed out, i.e. comment No.3, 4, 5, 6, 7, 8, 9, 10, 11 and 12. However, the document still leaves room for improving their structure. Therefore, it is recommended to reconsider the structure in earnest.		Addressed in the corresponding sheets below.		
2	General comme nt in a future	• The structure of chapters and titles should be reconsidered not only for the appendix IV but also appendix I, II and III.	When chapters and titles of appendix I, II, III and IV are compared each other, some of them are not well-balanced and consistent. Therefore, the structures of chapters and titles of appendix I, II, III and IV should be reconsidered based on the reconsideration's results of appendix IV shown above at the next opportunity to review them, i.e. in every 5 years after their publishing.		Agreed. Structure will be reconsidered when NS-R-5 comes up for its 10 yearly review.		

3	IV.3	This paragraph should go to the place before IV.20.	It is appropriate that this paragraph is under the POSTULATED INITIATING EVENTS with IV.20 and IV.21. Accordingly, the title "Fire" before IV.20 should be changed to "Fire and explosion," and the title "Explosion" before IV.21 should be deleted. (Regarding the comments for the titles, they are	Agreed. IV.3 replaced with paragraph suggested by France comment No 45.		
			shown also at corresponded places in this sheet.)			
4	ooling IV.4. IV.5	These paragraphs and their title "Cooling" should go to the place after IV.29.	It is appropriate that this paragraph is under the POSTULATED INITIATING EVENTS. Accordingly, some titles and a structure of them under "POSTULATED INITIATING EVENTS" should be changed. Detailed of these changes are shown later at corresponded places in this sheet.		Y	These paragraphs are referring to the performance of the cooling systems and not PIEs.
5	• S amplin g and analysi s IV.6	The paragraph IV.6 and its title "Sampling and analysis" should go to the place after IV.32.	It is appropriate that this paragraph is under the INSTRUMENTATION AND CONTROL SYSTEMS.		Y	Agreed, it could go in either location and the current position is retained.
6	• B NGINE ERING DESIG	Delete the title "ENGINEERING DESIGN."	All paragraphs under the "ENGINEERING DESIGN" are supposed to go other places according to the comments No. 3, 4		Y	Title is retained as a number of paragraphs

	N (betwee n IV.2 and IV.3)		and 5. Therefore, this title should be deleted.			remain under this section.
7	Betwee n IV.18 and 19	• Delete the title "Internal initiating events."	Strictly speaking, the title "Internal initiating events" and descriptions under the title do not go along with, e.g. the sentence IV.24 has "external flooding." One of measures for this inconsistency is to delete the both titles "Internal initiating events" and "External Initiating Events." The measure dose not gives a significant demerit to us because it is easy for us to distinct internal and external initiating events by reading sentences.		Title is retained and reference to external flooding in IV.24 removed	
8	Betwee n IV.19 and 20	• The title "Fire" should be changed to "Fire and explosion."	See the reason of comment No. 3.	Y		
9	Betwee n IV.19 and 20	Insert IV. 3 under the new title "Fire and explosion" shown above.	See the reason of comment No. 3.	Y		
10	Betwee n IV.20an d 21	• Delete the title "Explosion."	See the reason of comment No. 3.	Y		

11	Betwee n IV.27an d 28	• Delete the title "External Initiating Events."	See the reason of comment No. 7.		Y	See comment No 7.
12	After IV.29	 Insert the title "COOLING", IV. 4 and IV.5. Note: The original title "Cooling" should be "COOLING." 	See the reason of comment No. 4. Note: "Cooling" is given as an important function independently in the "SAFETY FUNCTIONS." Therefore, it is appropriate that the position of title changes from "Cooling" to "COOLING."		Y	Cooling as a safety function was deleted by France comment No 12.
<u> </u>	- N - 12	1.14 (1 1' 1/1. (1	and Calculations and		1	
		d 14 are those regarding with the sc		D 0		
13	Introduction	the shearing, decladding and dissolution of spent fuel; all the chemical cycles of separation and purification (including solvent removal from aqueous solutions, and solvent treatment and rework); the concentration of fission products and plutonium and uranium nitrates; the conversion of plutonium and uranium nitrate to oxides (including MOX powder); the storage of these products; and associated waste conditioning and storage (including vitrification).	handles high-active-liquid especially	Reference to "all" and "rework" added. However, vitrification is out of scope. See DPP for DS360 "Safety of Reprocessing Facilities".		

14	Introdu ction	Add sentence/s according to the comment in the light column	It should be clearly stated that the cask unloading facilities and the spent fuel storage facilities are outside the scope of this document or not because it is not clear and this situation confuses reader of the document. When such facilities are outside of the scope, the document/s, which provide requirements for such facilities, should be given for the reader of this document.	Reference to spent fuel handling being out of scope of the document added. As stated in 1.6, covering the scope of NS-R-5, the requirements in the main text apply to spent fuel storage.	
Commen	ts from No	15 to 22 are words for clarification			
15	IV.4	"chemical reactions"	Heat removal for chemical reaction is, to our understanding, not considered in the safety design while decay heat removal is considered in. Need clarification of requiring the heat removal in the design.	A number of chemical reactions are exothermic and require cooling to control them, i.e. dissolving spent fuel in nitric acid. Recommendations on how to address this requirement will be provided in the accompanying safety guide on reprocessing.	
16	• I V.8	"a bounding case"	Need clarification as for specific case for reprocessing facilities.	A bounding case would define the fissionable material characteristics, i.e. mass, volume, enrichment and isotope vector, and include conservative estimates of anticipated variations in those parameters. Recommendations on how to address this requirement will be provided in the accompanying safety guide on reprocessing.	

17	IV.22	• "measures" , "radiological environment" and "nuclear environment" •——	Need clarification as for specific term for reprocessing facilities.	Measures include guards, fuses, seals, torque limiters, insulation etc that are required to ensure conventional health and safety should be adapted to the radiological environment.		
17	IV.22	 "radiological environment" and "nuclear environment" 	Need clarification of the difference between "radiological environment" and "nuclear environment"	For consistency, reference to nuclear environment has been deleted.		
18	IV.27, IV.28	• "provision"	Need clarification as for specific term for reprocessing facilities.	IV.28 provision relates to instrumentation, supporting systems and procedures that are required to enable the safety status and achievement of the safety functions post-earthquake.	Y	Clarification not required in IV.27 as the provisions are referred to those defined by the national requirements for testing pressurized equipment etc.
20	IV.37	• "capability"	Need clarification as for specific term for reprocessing facilities.	IV.37 reworded to improve clarity using "ability" instead of "capability".		

21	IV.44	• "off-site"	Need clarification as for specific term for reprocessing facilities.		a d tl	The term off-site" is lready efined in ne IAEA afety lossary.
22	IV.53	• "disturbance"	Need clarification as for specific term for reprocessing facilities.	For clarity, disturbance is replaced with movement.		
Commen	ts from No.	.23 to 33 are those regarding with the	e modification of descriptions.			
23	IV.16	The design and layout of plant equipment shall include provisions to minimize exposures arising from maintenance, inspection and testing activities to the extent possible.	In a practical manner the situation such that just a design and layout could not provide 100% minimization of exposures might	For consistency with the modified IV.15, see France comment No 31, as far as reasonably practicable is used.		
24	IV.17	In the design of the reprocessing facility it shall be ensured that, during reprocessing facility operation, airborne discharges of radioactive materials pass through a filter system prior to discharge to the environment unless the consequences without a filter system are acceptably	Some facilities e.g. storage of uranium products, storage of low level sold waste, do not have dynamic containment system. Such facilities have a very simple ventilation system but dose not work 24 hours. In some case, such simple ventilation system starts working when workers get in the facility,		Y	IV.17 was deleted, see France comment No 35.

	low and that the release of volatile and gaseous radionuclides remain within authorized limits.	however, the system stop when nobody works there. (The purpose of the simple ventilation system is not to control negative pressure, but just to exchange air in the facility.) In these facilities, only handling of packages (steel drum or special can etc.) itself is allowed. In other word, the open of packages is prohibited inside the facilities. (A frequent inspection is carried out to make sure packages are in a fine condition.) These measures are reasonable to keep safety. Probably, other reprocessing plants have the common situation as above. In order to avoid the inconsistency shown above it is necessary to modify the description as shown in the left			
25 IV.20	Delete original IV.20 and add following paragraphs. IV.**. A detection and/or suppression system shall be installed that is commensurate with the risks of fires and is in compliance with national requirements. IV.**. Extinguishing devices, automatically or manually operated, shall be	necessary. Secondly, extinguishing devices should be installed. Such logical requirements should be given instead of original one. Note: Two new paragraphs should	Y		

		installed in areas where a fire is possible.			
26	IV.32	• Instrumentation shall be provided to confirm that filtration systems are working effectively. Instrumentation shall be provided to monitor aerial discharges continuously.	Continuous monitoring is necessary for aerial discharges but not for liquid discharges. For example, regarding some liquid discharges periodic or scheduled sampling can be applied for monitoring. Second sentence should be modified to clarify its meaning taking account of matters above.	Agreed. However, IV.32 has been deleted, see France comment No 59.	
27	IV.33	 Requirements for the safe management of radioactive waste and effluents arising from normal operation, maintenance and periodic wash-out of the facility shall be established. Note: Delete "normal" 	Radioactive waste and effluents arising not only normal <u>but also abnormal</u> operation needs the requirements for their safe management.	Reference to operational states, a term defined in the IAEA safety glossary is used instead. This term covers more than normal operation and includes anticipated operational occurrences.	
28	Note 5 of IV.43	• The feed programme is the planned sequence of fuel feeding to the dissolver head end facility in a given campaign.	The feed programme of fuel feeding is not just to the dissolver but in some case to the shearing machine. The proposal description can include all such cases. Strictly speaking, a definition of campaign is not completely same among operating organizations. The original explanation of campaign is too detailed and may give inconsistency about the definition of a campaign among operating	Retained reference to dissolver to cover other organizations interpretations.	

			1		,	,
			organizations. It is appropriate to delete the explanation because the requirement IV. 43 can work without such detailed explanation.			
29	IV.45	• Procedures shall be developed to ensure that radioactive material received at the each facility is appropriately characterized and acceptable before it is allowed to be stored or used within the facility.	Clarify the meaning taking account into following The sentences states on procedures for a facility and among facilities on the site.	Y		
30	IV.52	• All Relevant facility personnel shall be trained in the general principles of criticality control, including the requirements of the emergency response plan.	More precisely expression (Precisely speaking, there are some exceptions for training, e.g. gatekeepers, workers at a cafeteria on the site.)	Y		
31	IV.53	• Procedures for the transfer or disturbance of fissile material during operational states (including maintenance) shall be defined, including holdpoints submitted to clearance from a person who is to the extent necessary independent of the operations management.	A degree of an independency of a person, who checks the transfer etc. of fissile material, should depend on the importance of procedures from the viewpoint of criticality prevention based on a graded approach. It is not always necessary that such person is completely independent from the operational management.	Y		
32	IV.63	Aerial discharges shall be adequately monitored. As required by the safety assessment, the efficiency and effectiveness of gaseous waste	Essential logic to show here is as follows. - Adequate monitoring of discharge is necessary. Then, "Aerial discharges shall be adequately		Agreed. However, IV.63 has been deleted, see France comment No 82.	

		treatment equipment and last stage filters shall be confirmed and action shall be taken if If results are not compliant with those specified in the operational limits and conditions, corrective actions shall be taken.	monitored" comes first. Because the adequateness of monitoring is not always confirmed by the "efficiency and effectiveness", the corresponding description is deleted. -Then, if results are not compliant with those specified in the OLCs, corrective actions shall be taken. The modified description shows above logic clearly.		
33	IV.64	Liquid discharges shall be adequately monitored. As required by the safety assessment, the effectiveness of liquid waste treatment systems shall be confirmed and action shall be taken if If results are not compliant with those specified in the operational limits and conditions, corrective actions shall be taken.	Essential logic to show here is as follows. - Adequate monitoring of discharge is necessary. Then, "Liquid discharges shall be monitored" comes first. Because the adequateness of monitoring is not always confirmed by the "effectiveness", the corresponding description is deleted. -Then, if results are not compliant with those specified in the OLCs, corrective actions shall be taken. The modified description shows above logic clearly.	Agreed. However, IV.64 has been deleted, see France comment No 83.	
Comment	s from No.	34 to 36 are those regarding with th	e deletion.		
34	IV.19	Delete IV.19.	A content of first sentence is not a special requirement for just a reprocessing facility. Furthermore, points to be considered from the aspect of criticality are provided in	First sentence moved to Criticality Prevention section. Second sentenced moved under Postulated initiating events section as an introduction to the events presented	

			the section "CRITICALITY PREVENTION" Second sentence does not have any special meanings.	below.		
35	IV.44	Delete the last sentence, i.e. "In addition to shut-down state."	Contents of the sentence are as same as that of the paragraph 9.14 in the NS-R-5. Therefore, it is appropriate to delete the sentence.		Y	During drafting of the document, it was judged that 9.14 needed to be suppleme nted to ensure that the shutdown state was not over looked.
36	IV.48	Delete IV.48 if it states about nuclear material accountancy bility.	Nuclear material accountancy is out of the scope of this document. If IV.48 states about other matter than nuclear material accountancy, please provide us its detailed explanation as a response to this comment. We might give further comments after reviewing the response.	Reference is to accounting for nuclear material from a nuclear safety perspective.		

37	IV.39	• Relevant items	Typing error	Y		
		important to safety shall be				
		tested for loss of or failures in-				
		the supporting systems, as far as				
		practicable in the inactive stage,				
		in accordance with the				
		requirements established in the				
		safety assessment.				
		• (Delete "," after failures				
		in.)				

TITLE

		COMMENTS BY REVIEWER			RESC	LUTION	
		H. Lee, K.T. Kim					
Page of		epublic of Korea / Korea Institute of Nu	iclear Technology				
Date: Sep 0		epublic of Rolea / Rolea institute of 140	delear recimology				
Comment No.	Para/Line No.	Proposed new text	Reason	Accepte d	Accepted, but modified as follows	Rejected	Reason for modification/rejecti
1.	IV.3.	hydrazine) – delete ")"	correction	Y	Tonows		Oli
2.	IV.4.	delete "for removing heat due to	repeated			Y	Text does not repeat. One is concerned with the removal of decay heat, the other with the removal of heat from chemical reactions.
3.	IV.6.	manually or automatic	correction		Accepted, but IV6 was deleted as a result of France comment No 19.		
4.	IV.19.	Postulated Initiating Events need to add Fire, Leak		Y			

5	IV.23	Change the sentence to:	Current sentence is about	Title changed to		
		Reprocessing facility shall be	leaks, not	Leaks.		
		designed to precedent	corrosion/Erosion			
		corrosion/erosion according to the				
		requirements established in the				
		safety assessment.				
		•				
6.	IV.62	Change the title of "Liquid waste	Content is about High	Title was		
		management" to High level waste	level waste management	changed to		
		management		"Waste		
				Management" by		
				France comment		
				No 80.		
	IV.62	Nood now title and tout	Need new title and test	Tant Wisnis		
7.	1V.62	Need new title and text Liquid waste management: Liquid	Need new title and text about Liquid waste	Text, "Liquid waste shall be		
		waste shall be transfer into solid and	<u> </u>	transferred into a		
		neutralization to enhance safety,	management	solid and		
		and shall be considered corrosion		neutralized to		
		and leakage of liquid storage		enhance safety"		
		container		added. Reference		
				to leaks		
				addressed		
				previously.		
8.	Over all	The Appendix IV only describes			Y	Noted. Current
		Liquid reprocessing of Spent Fuel.	appendix			DPP, which
		Therefore, New Appendix is				provides the
		necessary to develop describing				specification for the
		"Pyroprocessing Facility" which is				document, only
		dry and high temperature process of				covers the PUREX
		spent fuel.				process.

DS439 Safety Requirements for Reprocessing Facilities and Fuel Cycle Research and Development Facilities (Date:) FOR OFFICIAL MEMBER STATES COMMENTS

		COMMENTS BY REVIEWER			RESC	OLUTION	
Reviewer: Country/Or		JK Member States comments	Page.1 of.1 Date: 22/09/11				
Comment No.	Para/Line No.	Proposed new text	Reason	Accepted	Accepted, but modified as follows	Rejected	Reason for modification/rejection
UK1	locations; p and 45. It is sample a commensura system. In	and analysis crop up in several particularly IV 6, but also IV 43 is important that the timescale of analysis and assessment is note with the processing lag in the some plants, the material has been refore the results of the analysis have red.			The text, "The timescale of sample analysis and assessment shall be commensurate with any processing lag in the system" added to IV.6 & IV.45.		
UK2	against this be impleme established	rosion: IV 23. I think the entry heading; "Provision for leaks shall nted according to the requirements in the safety assessment" will be to most readers.			Text in IV.23 modified following France comment Nos 47 & 48 and Republic of Korea comment No 5.		

		COMMENTS BY REVIEWER			RESC	DLUTION	
Reviewer:	ONR		Page.1 of.1				
Country/Or	ganization: U	JK Member States comments	Date: 22/09/11				
Comment	Para/Line	Proposed new text	Reason	Accepted	Accepted, but	Rejected	Reason for
No.	No.				modified as		modification/rejectio
					follows		n

Member State Comments on IAEA Draft Safety Guide "NS-R-5 Appendix IV, Reprocessing Facilities, and Appendix V, Fuel Cycle Research and Development Facilities" (DS439)

Reviewer:		RESOLUTION					
Country/Or	ganization: U	nited States of America	Date: 28 September 2011				
Comment No. / Reviewer	Para/Line No.	Proposed new text	Reason	Accepted	Accepted, but modified as follows	Reject ed	Reason for modification/rejection
1	IV.2	Suggest adding "achieve criticality safety by design".	Consistency with Appendix I and II of NSR5 Standard			Y	Current text layout refers to preventing criticality by design and is consistent with Appendices I & II.
2	IV.2.	Under Title "Design" – Safety Functions, add a new bullet: • Prevent Fire and industrial accidents and provide adequate emergency response logistics to mitigate such events.	Prevention of fire and industrial accidents, as well as establishing emergency response logistics to mitigate such accidents, are important safety functions that must be considered in the design.			Y	The hazards referred to are challenges to the achievement of the safety functions, along with faults, and need to be considered in the design of the systems that achieve the safety functions.
3	IV.6	Change to last sentence: for ensuring compliance with the requirements established in the safety assessment and by material control and accounting	This addition is suggested to insure that safety and safeguards groups work together during the development		Reference added to requirements for material control and		

Reviewer:

RESOLUTION

Country/Organization: United States of America Date: 28 September 2011

Comment No. / Reviewer	Para/Line No.	Proposed new text	Reason	Accepted	Accepted, but modified as follows	Reject ed	Reason for modification/rejection
		requirements	of sampling techniques. MC&A needs assurance of representative sampling too, which would be easier to achieve at the facility design stage.		accounting. Note IV.6 also modified by France comment No 19, UK comment No 1.		
4	IV.8	Addition to existing text: Such materials should be used in engineering studies performed prior to the initial start-up of any process step. These studies should be designed to assure that processes, in-process measurements, and analytical measurements perform within established limits.	Such studies are typically preformed prior to the introduction of enriched nuclear materials to the process. They will confirm that process steps, transfer points, and measurements are designed and done in such a way to accurately monitor and account for material in process.		Following text added "Such a reference shall be used in engineering studies performed prior to the initial start-up of any process step. These studies shall be designed to		

Reviewer:

RESOLUTION

Country/Organization: United States of America Date: 28 September 2011

Comment No. / Reviewer	Para/Line No.	Proposed new text	Reason	Accepted	Accepted, but modified as follows	Reject ed	Reason for modification/rejection
					assure that processes, in- process measurements , and analytical measurements perform within established limits." Note use of "shall" and not "should", this is a requirements document.		
5	IV. 9	Addition to existing text: Also stringent controls should be considered for any processing steps performed before an analytical value is determined for the	Typically materials in process are assigned values "book values" received from other facilities until a step such		Following text added "Stringent controls shall be considered		

Reviewer:

RESOLUTION

Country/Organization: United States of America Date: 28 September 2011

Comment No. / Reviewer	Para/Line No.	Proposed new text	Reason	Accepted	Accepted, but modified as follows	Reject ed	Reason for modification/rejection
		materials in process.	as first dissolution where a sample can be taken and actual values determined. In such cases the most robust engineered controls should be in place for any material processed before that analytical value can be determined.		for any processing steps performed before an analytical value is determined for the materials in process." Note use of "shall" and not "should", this is a requirements document.		
6	IV.16	Addition to existing text: However such measures should be reviewed with safeguards staff before finalization/installation.	This would insure that the safety/security/MC&A interface is considered. Otherwise safety controls		Following text added "However, such measures shall be		

Reviewer:

Date: 28 September 2011

Country/Organization: United States of America

Country/Of	gamzation. Of	inted States of America	Date. 28 September 2011				
Comment No. / Reviewer	Para/Line No.	Proposed new text	Reason	Accepted	Accepted, but modified as follows	Reject ed	Reason for modification/rejection
			could make security/MC&A more difficult.		reviewed with safeguards staff before being finalized and installed." Note use of "shall" and not "should", this is a requirements document.		
7	IV.18	Addition to existing text: Analytical results from such discharges shall be also reported to material control and accounting personnel at the facility.	Measured discards results will be needed to account for materials in process and inventory calculations.		Following text added "Analytical results from such discharges shall be reported to material control and		

RESOLUTION

COMMENTS BY REVIEWER RESOLUTION Reviewer: Country/Organization: United States of America Date: 28 September 2011 Comment Accepted, but Reject Para/Line Reason for Proposed new text modified as No./ Reason Accepted No. ed modification/rejection Reviewer follows accounting personnel at the facility." Editorial Comment: Put "Fire" Y subtitle in the next page and also IV.20 subtitle "Explosion" in the next 8 Editorial comment. (Fire) page has a different font and seems that should have the same font as "Fire" Suggest changing red oil to nitrated Consistency in the 9 IV.22 Amendment Gonzalez (Explosion organics as defined earlier in the technical terms as defined was made to earlier. IV.21 not s) report. IV.22. However, IV.21 was deleted and combined with IV.3 as a result of Japan comment No

3. IV.3 was

COMMENTS BY REVIEWER RESOLUTION Reviewer: Country/Organization: United States of America Date: 28 September 2011 Accepted, but Comment Para/Line Reject Reason for modified as No./ Proposed new text Accepted Reason No. modification/rejection ed Reviewer follows then moved to the Postulated Initiating **Events** section. Under the Title "Operating The following Documentation" add the following text added bullets: "The operator • Operator shall document all shall document the incident/accidents/events and associated radionuclide following: Completeness: • all releases The additional items incident/accid Operator shall document all listed under "Operating 10 IV.50. environmental monitoring ents/events Documentation" are and associated data as required by significant to safety and radionuclide regulations or license corrective actions. releases; conditions. • all Operator shall document environmental radioactive waste inventory monitoring including those disposed or data as stored onsite required by [per Case]Operator shall

COMMENTS BY REVIEWER RESOLUTION Reviewer: Date: 28 September 2011 Country/Organization: United States of America Comment Accepted, but Para/Line Reject Reason for modified as No./ Proposed new text Accepted Reason No. modification/rejection ed Reviewer follows document all inspection regulations or records and corrective license conditions; actions. radioactive waste inventory including those disposed or stored onsite; • all inspection records and corrective actions." Suggest revising the sentence to Y Proposed text changes "Fissile material, in particular the meaning of the Current sentence seems IV.54 waste materials that have not been to have too many original text. negatives in the same 11 (Criticality monitored for fissile content, shall Prevention) be placed in containers specifically sentence and might read designed and approved for that better as suggested. purpose."

Reviewer:

Country/Organization: United States of America Date: 28 September 2011

RESOLUTION

Comment No. / Reviewer	Para/Line No.	Proposed new text	Reason	Accepted	Accepted, but modified as follows	Reject ed	Reason for modification/rejection
	Preamble	Safety measures and security	Nuclear material and		Accept the		
	to	measures1 have in common the aim	nuclear facilities may		point that is		
	Appendix	of protecting human life and health	pose a security risk. In		being made.		
	IV and V.	and the environment. The interface	the most recent version				
	Insert at	between safety measures and	of INFCIRC 225,		As the		
	the end of	security measures must be assessed,	Nuclear Security		proposed text		
	the	designed, implemented and	Recommendations on		is general, i.e.		
	existing	managed in an integrated manner so	Physical protection of		applicable to		
	preamble	that these activities do not adversely	Nuclear Material and		all fuel cycle		
	text.	affect each other and to the degree	Nuclear Facilities rev 5,		facilities, it		
12		possible they are mutually	issued in January 2011, it		should be		
12	Or, it may	supportive.	was recognized that		placed, as		
	be may be		safety and security		suggested, in		
	more	1 See also publications issued in the	should be designed and		the main text		
	appropriat	IAEA Nuclear Security Series.	implemented in a		of NS-R-5.		
	ely		coordinated manner.		This will be		
	inserted in	[Or replace third paragraph in DS-			done when		
	the	R-5 within the Introduction,	Reason for the proposed		NS-R-5		
	Introductio		insertion is to harmonize		comes up for		
	n Section	SAFETY STANDARDS" with the	with the revised		its 10 yearly		
	of the	proposed text above. The existing	INFCIRC 225 rev 5		review. In the		
	main	third paragraph text is below:	Sections 4.11, 4.52, and		meantime, it		

Reviewer:

Country/Organization: United States of America Date: 28 September 2011

RESOLUTION

-	<u> </u>		*				
Comment No. / Reviewer	Para/Line No.	Proposed new text	Reason	Accepted	Accepted, but modified as follows	Reject ed	Reason for modification/rejection
	portion of NS-R-5 Replacing the third paragraph within the subsection titled, THE IAEA SAFETY STANDA RDS, as the proposed text is applicable to all fuel cycle facilities.	Safety measures and security measures 1 have in common the aim of protecting human life and health and the environment. Safety measures and security measures must be designed and implemented in an integrated manner so that security measures do not compromise safety and safety measures do not compromise security.]	5.18. The analogous "foundation" transportation safety document has similar text and pertinent Section text is provided to show how consistent language may be identified. TS-R-1, 2009 Section titled "The IAEA Safety Standards." and the cited INFCIRC 225 rev 5 Sections are provided below. INFCIRC 225 Rev 5, 2011. Section 4.11 The operator should assess and manage the physical protection interface with safety and nuclear		is noted that the objective of the suggested text is already addressed in NS-R-5, in the section at the beginning of the document that explains the IAEA safety standards.		

COMMENTS BY REVIEWER RESOLUTION Reviewer: Country/Organization: United States of America Date: 28 September 2011 Comment Accepted, but Reject Para/Line Reason for modified as Proposed new text No./ Reason Accepted No. ed modification/rejection Reviewer follows material accountancy and control activities in a manner to ensure they do not adversely affect each other and to the degree possible they are mutually supportive. Section 4.52 The State should ensure that contingency plans including interfaces with safety as appropriate are established by operators to locate and recover any missing or stolen nuclear material. Section 5.18 The operator should assess and manage the physical

protection interface with

COMMENTS BY REVIEWER RESOLUTION Reviewer: Country/Organization: United States of America Date: 28 September 2011 Comment Accepted, but Reject Reason for Para/Line modified as Proposed new text Accepted No./ Reason modification/rejection No. ed Reviewer follows safety activities in a manner to ensure they do not adversely affect each other and to the degree possible they are mutually supportive. TS-R-1, 2009. Within Section titled "The IAEA Safety Standards" "Safety measures and security measures1 have in common the aim of protecting human life and health and the environment. Safety measures and security measures must be designed and

COMMENTS BY REVIEWER RESOLUTION Reviewer: Country/Organization: United States of America Date: 28 September 2011 Accepted, but Comment Para/Line Reject Reason for modified as No./ Proposed new text Accepted Reason No. modification/rejection ed Reviewer follows implemented in an integrated manner so that security measures do not compromise safety and safety measures do not compromise security." 1 See also publications issued in the IAEA Nuclear Security Series. 13 General The document lacks requirements Completeness: Y WASTE: Already Decommissioning and addressed in NS-R-5. addressing: • Decommissioning and waste minimization are The general requirements covering significant for consideration of planning reprocessing facilities. the management of for decommissioning. waste, including its Waste minimization. generation, are covered in the main text of NS-R-5 by cross reference to WS-R-2. Please note

COMMENTS BY REVIEWER RESOLUTION Reviewer: Country/Organization: United States of America Date: 28 September 2011 Comment Accepted, but Para/Line Reject Reason for modified as Proposed new text No./ Reason Accepted No. ed modification/rejection Reviewer follows that this safety standard has recently been replaced by GSR Part 5. This cross referencing will be updated when NS-R-5 comes up for its 10 yearly review. Also, avoiding the generation of radioactive waste is a general requirement given in NS-R-5, 6.31. DECOMMISSIONING: Already addressed in NS-R-5. The general requirements for decommissioning are addressed in the main text of NS-R-5. Note, that as a result of comments made by

COMMENTS BY REVIEWER Reviewer:					RESOLUTION				
Country/Or	ganization: Ur								
Comment No. / Reviewer	Para/Line No.	Proposed new text	Reason	Accepted	Accepted, but modified as follows	Reject ed	Reason for modification/rejection		
							others, a number of facility specific decommissioning requirements have now been added to the appendices.		