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Ageing Management for Research Reactors

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DRAFT SPECIFIC SAFETY GUIDE

A revision of Safety Guide SSG-10

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Field

Field

1. INTRODUCTION

BACKGROUND

1.1. This Safety Guide is a revision of IAEA Safety Standards Series No. SSG-10, Ageing Management for Research Reactors¹, which it supersedes. was developed under the IAEA programme for safety standards for research reactors, which covers all the important areas of research reactor safety. It supplements and elaborates upon the safety requirements for ageing management of research reactors that are established in paras 6.68–6.70 and 7.109 of the IAEA Safety Requirements publication, IAEA Safety Standards Series No. SSR-3, Safety of Research Reactors [1].

1.2. The safety of a research reactor requires that provisions be made in its design to facilitate ageing management. Throughout the lifetime of a research reactor, including its decommissioning, ageing management of its structures, systems and components (SSCs) important to safety[†] is required, to ensure continued adequacy of the safety level, reliable operation of the reactor, and compliance with the operational limits and conditions.

1.3. Managing the safety aspects of research reactor ageing requires implementation of an effective programme for the prediction, monitoring, prediction, and timely detection and mitigation of degradation of SSCs important to safety, and for maintaining their integrity and functional capability throughout their service lives.

1.4. Ageing management is defined as engineering, operation, and maintenance strategy and actions to control within acceptable limits the ageing degradation of effects on SSCs [2]. Ageing management includes activities such as repair, refurbishment and replacement of SSCs, which are similar to other activities carried out at a research reactor in maintenance and testing or when a modification project takes place. However, it is important to recognize that effective management of ageing requires the use of a methodology that will detect and evaluate ageing degradation effects as a consequence of the service conditions², and involves the application of countermeasures for prevention and mitigation of ageing degradation effects.

1.4.1.5. The terms used in this Safety Guide are to be understood as defined and explained in the IAEA Safety Glossary [2].

[†]Items important to safety: An item that is part of a safety group and/or whose malfunction or failure could lead to radiation exposure of the site personnel or members of the public. Items important to safety include:

- (a) Those SSCs whose malfunction or failure could lead to undue radiation exposure to site personnel or members of the public;
- (b) Those SSCs that prevent anticipated operational occurrences from leading to accident conditions;
- (c) Those features that are provided to mitigate the consequences of malfunction or failure of SSCs [2].

requires the use of a methodology that will detect and evaluate ageing degradation as a consequence of the service conditions², and involves the application of countermeasures for prevention and mitigation of ageing degradation.

OBJECTIVE

1.5.1.6. The objective of this Safety Guide is to provide recommendations for meeting the requirements from SSR-3 [1] on managing ageing of SSCs important to safety at research reactors on the basis of international good practice. This Safety Guide is intended for use by operating organizations in establishing, implementing and improving ageing management programmes for research reactors. It may be used by regulatory bodies in verifying that ageing of research reactors is being effectively managed.

¹ INTERNATIONAL ATOMIC ENERGY AGENCY, Ageing Management for Research Reactors, IAEA Safety Standards Series No. SSG-10, IAEA, Vienna (2010)

~~1.6.1.7. While the intention is not to recommend ways in which to make the operation of a research reactor more effective or more efficient, t~~The recommendations provided in this Safety Guide on managing ageing of SSCs to ensure safety will ~~undoubtedly also~~ contribute to the enhancement of their long term reliability and availability ~~of a research reactor~~.

SCOPE

~~1.7.1.8.~~ This Safety Guide covers all aspects relating to the establishment, implementation and continuous improvement of an ageing management programme. It not only focuses on managing the physical ageing of SSCs important to safety but also provides guidance on safety aspects of managing technological obsolescence (non-physical ageing).

~~1.8.1.9.~~ In formulating the recommendations in this Safety Guide, consideration has been given to the recommendations in ~~the IAEA Safety Standards Series No. SSG-48, Ageing Management and Development of a Programme for Long Term Operation of Nuclear Power Plants~~IAEA Safety Guide, Ageing Management for Nuclear Power Plants [3]. Where appropriate, in consideration of differences in hazard potential and complexity of systems between nuclear power plants and research reactors, certain provisions of ~~Ref.SSG-48~~ [3] have been adopted.

~~²Service conditions are actual physical states or influences during the service lives of SSCs, including operating conditions (normal and erroneous included), design basis event conditions, and conditions following a design basis event [2].~~

~~1.9.1.10.~~ The recommendations provided in this Safety Guide are intended to be applicable to ~~research reactors having limited hazard potential to the public and the environment. It deals with~~ all types of heterogeneous, thermal spectrum, research reactors having a power rating of up to several tens of megawatts. Additional guidance may be necessary for research reactors of higher power, specialized reactors (e.g. fast spectrum reactors and homogeneous reactors) and reactors with specialized facilities (e.g. hot or cold neutron sources, or high pressure and high temperature test loops).

~~1.10.1.11.~~ Research reactors having a power rating of up to several tens of kilowatts, ~~and~~ critical assemblies and subcritical assemblies may need a less comprehensive ageing management programme than that outlined here. While consideration should be given to all the recommendations made in this Safety Guide, some may not be applicable to ~~these~~ low power research reactors, critical assemblies and subcritical assemblies. For these reasons, the recommendations in this Safety Guide should be applied using a graded approach³ for their applicability to the specific research reactor under consideration, SSR-3 [1] paras 2.15-2.17. Each case in which the application of recommendation is graded shall be identified, with account taken of the nature and possible magnitude of the hazards presented by the given facility and the activities conducted. Hereafter, subcritical assemblies will be mentioned separately only if a specific recommendation is not relevant for, or is applicable only to, subcritical assemblies.(see Ref. [1], paras ~~1.11-1.14~~).

~~1.11.1.12.~~ A graded approach may be applied in determining the appropriate frequency of inspections, in selecting detection methods, as well as in establishing measures for prevention and mitigation of ageing effects. The graded approach may be based on the estimated service lives of the SSCs, their complexity and their ease of replacement. A graded approach may also be applicable to the resources necessary to implement the ageing management programme. ~~While a dedicated organizational unit may be needed to implement the ageing management programme for higher power research reactors, the ageing management activities for research reactors having a low power may be performed by the maintenance personnel. The IAEA Safety Standards Series No. SSG-22, Use of a Graded Approach in the Application of the Safety Requirements for Research Reactors [4] provides additional guidance on the application of grading to ageing management. A Safety Guide on the use of a graded approach in the application of the safety requirements for research reactors is in preparation.~~

~~1.12.1.13.~~ Although managing succession for the personnel of research reactors and knowledge management are important issues in relation to safety at research reactors, they are outside the scope of this Safety Guide. Recommendations relating to the ageing of research reactor personnel are provided in IAEA Safety Standards Series

~~³The recommendations should be graded, for example, by considering — using sound engineering judgement — the operational importance of the item or activity, its importance to safety, and its maturity and complexity.~~

STRUCTURE

~~4.13-1.14.~~ This Safety Guide consists of seven sections and two annexes. Section 2 covers the management system for ageing management. Section 3 presents the basic concepts and explanations of the terms used in this Safety Guide, and an overview of ageing effects and their relation to safety at research reactors. Section 4 provides recommendations on proactive considerations on ageing during the various stages of the lifetime of a research reactor. Section 5 sets out the elements of a systematic ageing management programme, and provides advice on implementation of the associated actions or activities. Section 6 presents recommendations on management of SSCs during their obsolescence. Section 7 discusses different technical aspects relating to ageing management, such as maintenance, periodic testing and inspection, periodic safety review, equipment qualification, design basis reconstitution, configuration management and continued safe operation. Annex I presents ~~ageing mechanism~~degradation mechanisms and their effects for different categories of service conditions. Annex II provides an example of screening of SSCs for ageing management for open pool, light water and heavy water moderator research reactors.

2. MANAGEMENT SYSTEM FOR AGEING MANAGEMENT²

GENERAL

2.1. A documented management system that integrates the safety, health, environmental, security, quality and economic objectives of the operating organization of a research reactor is required to be in place to meet the requirements of IAEA Safety Standards Series No. GSR Part 2, Leadership and Management for Safety [6] and SSR-3 [1]~~[5]~~. The documentation of the management system should describe the system that controls the planning and implementation of all activities at the research reactor throughout its lifetime, including ageing management activities. Approval of the management system (or parts thereof) by the regulatory body may be required. The management system should cover four functional categories: management responsibility; resource management; process implementation; and measurement, assessment and improvement. In general.

- (1) Management responsibility includes the support and commitment of management necessary to achieve the objectives of the operating organization.
- (2) Resource management includes the measures necessary to ensure that the resources essential to the implementation of strategy and the achievement of the objectives of the operating organization are identified and made available.
- (3) Process implementation includes the activities and tasks necessary to achieve the goals of the organization
- (4) Measurement and assessment provide an indication of the effectiveness of management processes and work performance compared with objectives or benchmarks; it is through measurement and assessment that opportunities for improvement are identified.

~~The requirements for the management system are established in Ref. [1], paras 4.5-4.13, and in Ref. [5], and~~

² The recommendations provided in this section can also be used, as applicable, to establish a management system for managing the obsolescence of SSCs.

~~Further recommendations—guidance on the management system is~~ provided in [IAEA Safety Standards Series No. GS-G-3.1, Application of the Management System for Facilities and Activities \[7\]](#), and [IAEA Safety Standards Series No. GS-G-3.5 The Management System for Nuclear Installations, \[8\]](#)~~Ref. [6]~~.

2.2. As part of the integrated management system, a system for ageing management should be established and put into effect by the operating organization. For a new research reactor project, the management system for ageing management should be established early on in the design stage. The system should cover all items, services and processes important to safety and should include a means of establishing control over ageing management activities, thereby providing confidence that they are performed according to the established requirements. In determining how the requirements of the management system for ageing management are to be applied, a graded approach based on the relative importance to safety of each item or process should be used.

2.3. The objective of the management system as applied to ageing management is to ensure that the facility meets the requirements for safety as derived from:

- The requirements of the regulatory body;
- Design requirements and assumptions;
- The safety analysis report;
- Operational limits and conditions;
- The administrative requirements established by the management of the research reactor.

2.4. The management system should support the development, implementation, maintenance and enhancement of a strong safety culture in all aspects of the ageing management programme.

MANAGEMENT RESPONSIBILITY

2.5. The management system for ageing management should provide a framework for managing, performing and assessing the activities necessary to prevent, detect, monitor, assess and mitigate ageing effects. The documentation of the management system for ageing management should include descriptions of the organizational structure, functional responsibilities, levels of authority and interactions of those managing, performing and assessing the adequacy of the ageing management activities. It should also cover other management measures, including planning, scheduling, resource allocation and human factors.

2.6. The management system for ageing management should be outlined in a description of the ageing management programme and should be documented in operating procedures. The operating procedures should address all applicable requirements specified in the integrated management system established by the operating organization.

2.7. The ageing management activities should be planned and performed, and their results recorded, in accordance with approved procedures and instructions, which should be included in the documentation of the management system for ageing management.

2.8. Successful implementation of the ageing management programme requires:

- (a) Planning and prioritization of work;
- (b) Addressing all relevant regulatory requirements, codes and standards;
- (c) Addressing the requirements derived from the operational limits and conditions, and from the safety analysis report;
- (d) Ensuring the availability of spare parts, special tools and equipment;
- (e) Following up inspection and test results in a timely fashion;
- (f) Ensuring the provision of qualified personnel with suitable skills;
- (g) Establishing appropriate operating procedures following relevant standards, including procedures for assessing and correcting non-conforming items;
- (h) Identifying, disseminating and using information on good practices from designers, manufacturers and other operating organizations;

- (i) Performing and adequately documenting the required inspections and tests;
- (j) Performing root cause analyses of significant degradation of SSCs and incorporating lessons learned from experience.

RESOURCE MANAGEMENT

2.9. The operating organization should provide adequate resources (both human resources and financial resources) to execute the ageing management programme. The management of the operating organization, in particular the reactor manager, should participate in the ageing management activities by:

- (a) Determining the required staff competences and providing training where appropriate;
- (b) Preparing and issuing specifications and procedures for the ageing management programme;
- (c) Having frequent personal contact with staff, including observation of work in progress;
- (d) Supervising external personnel (including suppliers) who perform ageing management activities, and ensuring that these personnel are adequately trained and qualified;
- (e) Supporting and participating in ageing evaluations.

2.10. The management system for ageing management should include provisions to ensure that the ageing management activities are planned, performed and controlled in a manner that ensures effective communication and clear assignment of responsibility.

PROCESS IMPLEMENTATION

2.11. The operating organization should nominate the person who has the responsibility and accountability for implementing the ageing management programme. This person is usually the reactor manager or a staff member acting on behalf of the reactor manager.

2.12. Significant changes or failures of any SSCs important to safety should be recorded, and the data should be analysed and trends discerned, to identify the causes of these changes or failures. Where the causes of failures have been determined, the information should be used as input to improvement of the ageing management programme.

2.13. The scope and frequency of tests and in-service inspections should be specified and they should be consistent with the operational limits and conditions and regulatory requirements. The recording and presentation of test results should permit easy comparison with the results of previous inspections and tests, to enable detection of any changes since previous tests and any deviations from reference values (baseline data).

2.14. Valid monitoring and measurements should be performed to provide evidence of conformity to requirements and satisfactory performance in service.

2.15. Equipment used for monitoring, data collection, and inspections and tests should be calibrated. The equipment calibration should be documented to demonstrate that this calibration is up to date. Inspection and tests should be performed by qualified personnel and be in accordance with approved procedures.

2.16. The management system for ageing management should include measures to control records essential to the performance of ageing management activities and to verification of the results achieved. The records process should provide for the identification, approval, review, filing, retrieval and disposal of records.

MEASUREMENT, ASSESSMENT AND IMPROVEMENT

2.17. Measures should be established to ensure that ageing management activities are accomplished as specified in the appropriate procedures. Such measures should include:

- Reviews of procedures;
- Verification by inspection, witnessing and surveillance;
- Checks of non-conformances and implementation of corrective actions;
- Follow-up of the adequacy and timeliness of corrective actions.

2.18. An independent assessment of the ageing management programme should be conducted. This task may be performed by the safety committee (~~see paras 4.15, 7.25 and 7.26 of Ref. Requirement 6 of SSR-3~~ [1]) or by another competent body.

2.19. Audits should be performed to determine the adequacy and effectiveness of all aspects of the implementation of the ageing management programme and its adherence to the requirements of the management system for ageing management. The operating organization should evaluate the results of independent assessment, including audits, and should take any necessary actions to make improvements.

3. AGEING AND SAFETY OF RESEARCH REACTORS

BASIC CONCEPTS

3.1. Ageing is defined as a general process in which the characteristics of SSCs gradually change with time or use [2]. Research reactors experience two kinds of time dependent changes:

- (1) Degradation of SSCs (physical ageing), i.e. gradual deterioration in their physical characteristics;
- (2) Obsolescence of SSCs (non-physical ageing), i.e. their becoming out of date in comparison with current knowledge, standards and technology.

3.2. Physical ageing ~~and wear effects~~ eventually leads to degradation of materials subjected to normal service conditions. These include the normal operational conditions under which SSCs are required to operate and the anticipated operational occurrences under which SSCs are required to continue functioning properly. The ageing effects ~~of on SSCs that respond to~~ accident conditions (design basis accidents and ~~beyond design basis accidents~~ design extension conditions) need to be evaluated on a case-by-case basis.

3.3. Material Degradation may result in a reduction or loss of the ability of SSCs to function within their acceptance criteria. The safety and utilization of the facility ~~will~~ may be affected unless preventive measures are in place and timely appropriate corrective actions are taken.

3.4. Physical ageing ~~could~~ reduces the safety margins provided in the design of SSCs. ~~If~~ Reductions in these margins ~~are not~~ should be detected, ~~research reactor safety could be compromised unless mitigatory and corrective actions are~~ are taken before loss of functional capability occurs.

3.5. During the lifetime of a research reactor:

- (a) Advances will occur in technology, resulting in the introduction of new components or techniques. This may lead to difficulties in obtaining spare parts. Introduction of new components may also lead to changes in failure modes (e.g. modern instrumentation contains microprocessors that have different failure modes from those of their older components).
- (b) Changes will occur in standards and regulations, which may necessitate changes in hardware or software and may interfere with the operation of the reactor.

3.6. Operating personnel ~~need to~~ should have current knowledge of the operational systems and their associated documentation; therefore, ongoing training ~~is necessary~~ should be implemented for personnel at all levels. Section 4 of Ref. NS-G-4.5 [45] provides recommendations on training programmes and qualifications for research reactor

personnel.

3.7. Evaluation of the cumulative effects of both physical ageing and obsolescence on the safety at a research reactor is an ongoing process, and ~~is~~should be assessed in periodic safety reviews or equivalent systematic safety reassessment programmes (e.g. peer reviews); Requirement 86 of SSR-3 [1], see also paras 7.4–7.7 of this Safety Guide.

3.8. In practice, the ageing management programme at a research reactor ~~is~~should be accomplished by coordinating existing programmes, including maintenance, periodic testing and inspection programmes (see IAEA Safety Standards Series No. NS-G-4.2, Maintenance, Periodic Testing and Inspection of Research Reactors [9]Ref. [7]), as well as applying good operational practices, research and development (of material behaviour, radiation effects, chemistry, etc.), and incorporating lessons learned from operating experience.

3.9. An effective ageing management programme ~~will~~should contribute to ensuring the availability of the basic safety functions~~by~~:

- (a) Shutting down the reactor and maintaining it in a safe shut down state for all operational states or design basis accidents, including, for example, ensuring the availability of the emergency control room;
- (b) Providing for adequate removal of heat after shutdown, in particular from the core, including for design basis accidents and to the extent possible for design extension conditions.
- (c) Confining radioactive material in order to prevent or mitigate its unplanned release to the environment.

3.10. In an effective ageing management programme, it is recognized that safety documents (such as the safety analysis report, operational limits and conditions, operating procedures and the emergency plan) may also become outdated or even obsolete. Periodic updating of such documents ~~is needed~~should be implemented to maintain their conformity with the actual status of the reactor facility and to take into account feedback from operating experience. Updating ~~will~~also should be necessary when modification⁵ of existing (or installation of new) experimental devices³⁶ is being introduced

AGEING AND DEFENCE IN DEPTH

3.11. IAEA Safety Standards Series No. SF-1, IAEA, Fundamental Safety Principles~~Fundamental Safety Principles [8]~~[10] establishes principles for ensuring protection and safety of workers, the public and the environment. The activities covered by this Safety Guide are directly related to the defence in depth concept⁷, which is associated with Principle 8 on prevention of accidents: “All practical efforts must be made to prevent and mitigate nuclear or radiation accidents.”

3.12. Physical ageing of SSCs may increase the probability of common cause failures (i.e. the simultaneous degradation of physical barriers and redundant components), which could result in the impairment of one or more levels of protection provided by the defence in depth concept. Therefore, in the screening process of SSCs for ageing management, no account should be taken of component redundancy or diversity, on the basis that ageing ~~degradation effects~~ should be regarded as a common cause failure mechanism and that diversity may not provide protection against all potential failures caused by common ~~ageing mechanism~~degradation mechanisms.

3.13. Defence in depth is achieved by multiple physical or functional barriers. The ageing process may affect defence in depth through the failure of one or more of these barriers (e.g. because of corrosion of the cladding material of fuel elements, corrosion of the reactor pool liner or corrosion of piping for primary cooling). An effective ageing management programme should provide for maintaining defence in depth through:

⁵ ~~Modification is the deliberate changing of, or addition to, an existing reactor configuration, with possible implications for~~

³ ³ An experimental device is a device installed in or around a reactor to utilize the neutron flux and ionizing radiation from the reactor for research, development, isotope production or other purposes

safety, intended to permit continuation of operation of a reactor. Modifications may affect safety systems, safety related items or systems, procedures, documentation or operating conditions.

~~⁶Defence in depth is a hierarchical deployment of different levels of diverse equipment and procedures to prevent the escalation of anticipated operational occurrences and to maintain the effectiveness of physical barriers placed between a radiation source or radioactive material and workers, members of the public or the environment, in operational states and, for some barriers, under accident conditions [2].~~

- (a) Incorporation of good design and engineering features providing safety margins, mainly by use of design, technology and materials of high quality and reliability;
- (b) Compliance with the requirements of the operational limits and conditions;
- (c) Execution of relevant tasks and activities, in accordance with approved operating procedures and by following good practices.

~~Recommendations on establishing operational limits and conditions, including those relating to ageing, and on establishing operating procedures for research reactors are provided in Section 3 of Ref. [9].~~

SERVICE CONDITIONS AND AGEING

3.14. In the ageing management programme, account should be taken of the fact that service conditions are the major contributors to ageing of SSCs, through chemical and physical processes that affect material properties or functional capabilities. Service conditions include:

- (a) Stress and/or strain;
- (b) Temperature;
- (c) Pressure;
- (d) The chemistry regime;
- (e) Environmental factors such as radiation, high humidity, or the presence and use of chemically active liquids and gases;
- (f) Service wear, including changes in dimensions and/or the relative positions of individual parts of assemblies.

For subcritical assemblies, critical assemblies and low power research reactors, many of the service conditions are not severe. In such cases recommendations in this Safety Guide should be applied using a graded approach.

3.15. Limiting values for service conditions (e.g. the maximum temperature of fuel cladding, the pressure in cooling lines and across filters, the vibration levels of primary cooling pumps, the content of non-dissolved solids in water coolant, and the water coolant chemistry parameters such as electrical conductivity and pH) should be included in the limiting conditions for safe operation⁸ of the operational limits and conditions. Further recommendations on the factors to be considered in establishing limiting conditions for safe operation of a research reactor are provided in Appendix I of IAEA Safety Standards Series No. NS-G-4.4, Operational Limits and Conditions and Operating Procedures for Research Reactors Ref. [911]

~~⁸Limiting conditions for safe operation are established constraints on equipment and operational parameters that must be adhered to during startup, operation, shutting down and shutdown to provide an acceptable assurance of safety at a research reactor according to the licence conditions and within applicable regulations.~~

3.16. There are three main categories of service conditions that should be considered in the ageing management programme. These categories are:

- (1) Service conditions associated with normal operation;
- (2) Service conditions associated with anticipated operational occurrences;
- (3) Environmental conditions, including conditions during periods when the SSC is not in service, such as when the reactor is shut down.

Service conditions lead to degradation of SSC materials through, for example, one or more of the following ageing-degradation mechanisms⁹:

- (a) A change in physical properties (e.g. swelling, chemical decomposition, and changes in material strength, ductility or resistivity);
- (b) Irradiation and thermal embrittlement;
- (c) Creep;
- (d) Fatigue, including thermal fatigue;
- (e) Corrosion, including galvanic corrosion, corrosion erosion and corrosion assisted cracking;
- (f) Wear (e.g. fretting) and wear assisted cracking (e.g. fretting fatigue).

For subcritical assemblies, critical assemblies, and low power research reactors many of the service conditions (e.g. thermal embrittlement, creep, fatigue) are less severe.

Further information on the categories of service conditions and the associated ageing-degradation mechanisms can be found in Section 3 of Ref. [4012]. Annex I of the present Safety Guide describes the effect of ageing for different categories of service conditions.

⁹~~An ageing mechanism is a specific process that gradually changes the characteristics of an SSC with time or use.~~

4. AGEING CONSIDERATIONS IN DIFFERENT STAGES OF THE LIFETIME OF A RESEARCH REACTOR

GENERAL

4.1. Requirement 37 of SSR-3 [1] requires that “The design for a research reactor shall take due account of physical ageing, the effects of wear and tear and obsolescence in all operational states for which a component is credited, including testing, maintenance, and operational states during and following a postulated initiating event. Ageing management of SSCs important to safety should be implemented proactively (i.e. with foresight and anticipation) throughout the lifetime of the research reactor, i.e. in design, fabrication and construction, commissioning, operation (including utilization and modification) and decommissioning. Particular consideration should be given to ageing management for periods of extended shutdown. ~~Reference [1] requires that “Ageing effects shall be taken into account for all operational states, including periods of maintenance and shutdown.”~~

4.2. The operating organization should be able to demonstrate that regulatory requirements for ageing management are met and that relevant issues specific to the ageing of a research reactor are clearly identified and documented in the safety analysis report and supporting documents throughout the lifetime of the reactor. The safety analysis report should include (or should reference documents that contain) information that confirms that all materials of SSCs important to safety have been selected to withstand the service conditions to which they are subjected, without unacceptable degradation of the performance of their intended safety functions. The safety analysis report should also describe the conduct of the in-service inspection programme, and should provide information on SSCs to be inspected, as well as their inspection frequency and criteria. Further guidance on the preparation of safety analysis reports for research reactors is provided in the Appendix of IAEA Safety Standards Series No. SSG-20, Safety Assessment for Research Reactors and Preparation of the Safety Analysis Report.~~Ref.~~

[413].

4.3. Feedback from relevant experience at other reactors, including nuclear power plants, should be used by the operating organization in evaluating the ageing management measures proposed by designers and suppliers.

4.4. An independent review of the ageing management programme and any subsequent modifications to this programme at various stages throughout the lifetime of the research reactor should be performed by the safety committee or other competent body. Any proposed modifications to the ageing management programme should be submitted to the regulatory body for review and assessment, if so required by national regulations. Paragraphs 3.57–3.9-12 of IAEA Safety Standards Series No. SSG-24, Safety in the Utilization and Modification of Research Reactors, [14]Ref. [12] provide further information on categorization of research reactor modifications.

DESIGN

4.5. For the design of a research reactor, including modifications to existing equipment and installation of new experimental devices, the following requirements apply in respect of selection and ageing management of materials:

Requirement 37 from SSR-3. [1] states, “Requirement 37: Design for ageing management
“The design life of items important to safety at a research reactor facility shall be determined. Appropriate margins shall be provided in the design to take due account of relevant mechanisms of ageing, such as neutron embrittlement and wear-out, and of the potential for age related degradation, to ensure the capability of items important to safety to perform their necessary safety functions in operational states and accident conditions in case of demand throughout their design life. The life cycles of the technology utilized and the possible obsolescence of the technology shall be considered.

The design for a research reactor shall take due account of physical ageing, the effects of wear and tear and obsolescence in all operational states for which a component is credited, including testing, maintenance, and operational states during and following a postulated initiating event.

An ageing management programme that includes inspection and periodic testing of materials shall be put in place, and the results that are obtained in this programme shall be used in reviewing the adequacy of the design at appropriate intervals.

The design shall include provisions for the necessary monitoring, testing, sampling and inspection for the detection, assessment, prevention and mitigation of ageing effects. The ageing management of the research reactor facility shall include the management of obsolete structures, systems and components and the management of spare parts.”

~~“At the design stage, an appropriate safety margin shall be adopted to allow for the anticipated properties of materials at the end of their useful lifetime” (para. 6.68, Ref. [1]).~~

~~“To ensure the capability of all items important to safety to perform their safety functions, appropriate margins shall be provided in the design to take account of relevant ageing effects and potential ageing related degradation” (para. 6.69, Ref. [1]).~~

~~“Provisions shall also be made for the necessary monitoring, testing, sampling and inspection for the detection, assessment, prevention and mitigation of ageing effects” (para. 6.70, Ref. [1]).~~

4.6. In the design of, and any modification to, a research reactor, including installation of new experimental devices or changes to existing equipment, consideration should be given to the needs of the operating organization with regard to ageing management and reactor utilization SSG-24 [1214].

4.7. The design of, and any modification to, a research reactor or experimental device should facilitate inspections and testing aimed at detecting ageing mechanismdegradation mechanisms and their degrading ageing effects on SSCs, while maintaining the principle that radiation exposure of inspection personnel should be kept as low as reasonably achievable. Particular consideration should be given to SSCs that are difficult to inspect or maintain.

Further recommendations on design for inspectability can be found in paras 4.17 and 4.18 of [RefNS-G-4.2. \[79\]](#).

4.8. The operating organization should document the ageing management programme and should thereby be able to demonstrate that ageing issues have been adequately addressed in the design for the entire lifetime of the research reactor.

4.9. In procurement documents for SSCs, the operating organization should specify requirements to facilitate ageing management, including information to be included in documents received from suppliers.

4.10. In the reactor design:

- (a) It should be ensured that ageing effects are taken into account in equipment qualification⁴⁰ activities, with consideration given to all the conditions established in the design basis (including anticipated operational occurrences and design basis accidents).
- (b) All potential ~~ageing mechanism~~degradation mechanisms for passive and active⁴¹ SSCs that could affect the ability to perform the safety functions of the SSCs during their design life should be identified, evaluated and taken into account. In doing this, the importance of the SSCs to safety should be identified, and account should be taken of the particular service conditions, required mode of operation, expected service life and ease of replacement.
- (c) Relevant ageing management experience from nuclear installations (including other research reactors and nuclear power plants) and other industrial installations, as well as research results, should be reviewed and taken into account.
- (d) Consideration should be given to the use of advanced materials (and their production processes) with greater ageing resistance properties (e.g. materials with a high resistance to corrosion, or high strength); consideration should also be given to the combined effects of several ~~ageing mechanism~~degradation mechanisms acting simultaneously.
- (e) Consideration should be given to maintenance requirements and the need for material testing programmes, including surveillance specimens, to monitor ageing ~~degradation effects~~.
- (f) Consideration should be given to the use of compatible materials, especially materials used for welding.

4.11. Ageing management should be included as a topic in the general design criteria and should address the following points:

- (a) Different types of SSCs important to safety (concrete structures, mechanical components and equipment, electrical and instrumentation, and control equipment and cables, etc.) and measures to monitor their potential degradation;

⁴⁰ ~~Equipment qualification is the generation and maintenance of evidence to ensure that equipment will operate on demand, under specified service conditions, to meet system performance requirements [2].~~

⁴¹ ~~A passive component is a component whose functioning does not depend on an external input such as actuation, mechanical movement or supply of power. Any component that is not a passive component is an active component [2].~~

- (b) General principles stating how the environment of SSCs is to be maintained within specified service conditions (location of ventilation, insulation of hot SSCs, radiation shielding, damping of vibrations, avoidance of submerged conditions and selection of cable routes);
- (c) ~~Proposals for a~~Appropriate monitoring and sampling programmes for materials in cases where it is found that ageing ~~degradation effects may occur that~~ may affect the capability of SSCs to perform their safety function throughout the lifetime of the reactor

FABRICATION AND CONSTRUCTION

4.12. The operating organization should ensure that:

- (a) Relevant information on factors affecting ageing management, including service conditions, is provided to SSC manufacturers, and, through audits of the manufacturers' management system, this information is

properly taken into account in the fabrication and construction of SSCs.

- (b) Current knowledge about relevant ~~ageing mechanism~~degradation mechanisms and degradation as well as possible mitigation measures is taken into account in the fabrication and construction of SSCs.
- (c) Reference (baseline) data, including manufacturing and inspection records, as well as records on shipment and storage conditions, are collected and documented.
- (d) Surveillance specimens for specific ageing monitoring programmes are made available and installed in accordance with design specifications.
- (e) Environmental conditions are appropriate to prevent any degradation of SSCs in storage, or under installation, or otherwise not in service.

COMMISSIONING

4.13. The operating organization should establish a systematic programme for measuring and recording baseline data relevant to ageing management for SSCs important to safety. This includes mapping the actual environmental conditions at each critical spot of the reactor to ensure that they are in compliance with the design specifications.

4.14. Special attention should be paid to identification of hot spots in terms of temperature and dose rate, to measurement of vibration levels, and to characterization of thermal insulation or electrical isolation. All parameters that can influence ageing ~~degradation effects~~ should be identified in commissioning and should be tracked throughout the reactor lifetime (see also paras 7.4–7.6 and A.20(p) of ~~Re IAEA Safety Standards Series No. NS-G-4.1, Commissioning of Research Reactors f. [1315]~~). Acceptance criteria for these parameters should also be established.

4.14.4.15. The operating organization should ensure that SSCs are not subjected to unnecessary stresses by tests performed during commissioning that are not accounted for in the design or that could cause premature ageing. The operating organization should properly document the testing and record the test results during commissioning to allow investigation of any subsequent cases of premature ageing that may have been caused by ~~the improper execution of testing.~~

OPERATION

4.15.4.16. The following issues should be taken into account in implementing a systematic ageing management programme:

- (a) Support for the ageing management programme by the management of the operating organization;
- (b) Early implementation of an ageing management programme;
- (c) A proactive approach based on an adequate understanding of SSC ageing, rather than a reactive approach responding to SSC failures;
- (d) Optimal operation of SSCs to slow down the rate of ~~ageing~~degradation mechanisms;
- (e) Proper implementation of maintenance and testing activities in accordance with operational limits and conditions, design requirements and manufacturers' recommendations, and following approved operating procedures;
- (f) Minimization of human performance factors that may lead to premature degradation, through enhancement of staff motivation, sense of ownership and awareness, and understanding of the basic concepts of ageing management;
- (g) Availability and use of correct operating procedures, tools and materials, and of a sufficient number of qualified staff for a given task;
- (h) Appropriate storage of spare parts and consumables susceptible to ageing, to minimize degradation while in storage and to control their shelf life properly;
- (i) Availability of the necessary competences for dealing with complex ageing issues;
- (j) Effective internal and external communication;

- (k) Feedback of operating experience (both generic and plant specific operating experience, including operating experience from non-nuclear plants), to learn from relevant ageing related events (see ~~paras 2.15 and 2.16 of Requirement 88 from Ref.SSR-3~~ [1]);
- (l) Follow-up of possible degradation trends in SSCs between successive periodic testing ~~where possible~~;
- (m) Use of databases on SSC reliability and maintenance histories;
- (n) Use of adequate and qualified methods of non-destructive testing and ageing monitoring for early detection of flaws possibly resulting from intensive use of equipment.

~~4.16.4.17.~~ The operating organization should identify and address the following potentially significant common weaknesses of ageing management:

- (a) Insufficient understanding and predictability of ageing at the time of design and construction of a research reactor;
- (b) Premature ageing of SSCs in research reactors (i.e. ageing ~~degradation effects~~ that occurs earlier than expected) caused by non-compliance with the requirements of the management system, unforeseen ageing phenomena, or operation of SSCs beyond their design specifications or in service conditions more severe than the normal environmental conditions.

~~4.17.4.18.~~ The operating organization should identify and justify possible changes in operational conditions (e.g. radiation levels, flow distribution, coolant velocity and vibration) that could cause accelerated or premature ageing and failure of some SSCs, in the event of:

- Up-rating of the reactor power (~~examples of significant ageing effects due to power uprating include radiation embrittlement of damage to the reactor vessel and core components, flow accelerated corrosion and vibration of primary system piping~~);¹²;
- Installation of new experimental devices or changes in the utilization programme;
- Implementation of modifications, including changes to safety analysis;
- Replacement of SSCs.

~~4.18.4.19.~~ If a new ~~ageing mechanism~~ degradation mechanism is discovered (e.g. through feedback from operating experience or research), the operating organization should perform an appropriate review of the ageing management programme to determine the effects, if any, on SSCs important to safety which are operating at similar conditions; the operating organization should put in place appropriate ageing management measures.

~~4.19.4.20.~~ For SSCs important to safety, the operating organization should consider preparing contingency maintenance plans to deal with the potential ~~degradation ageing effects~~ or failure of these SSCs caused by potential ~~ageing degradation mechanisms and effects~~.

¹² ~~Examples of significant ageing effects due to power uprating include radiation embrittlement of the reactor vessel, flow accelerated corrosion and vibration of primary system piping.~~

UTILIZATION AND MODIFICATIONS

~~4.20.4.21.~~ Research reactors are frequently modified to incorporate new experimental devices or to improve the efficiency of normal operation. This and the fact that the frequency of testing and repair may also need to be changed due to ageing or obsolescence of SSCs are particularly important for the maintenance programme ~~(which, in practice, forms the basis for the ageing management programme)~~. Consideration should be given to reactor modifications, including temporary ones, with regard to the possible acceleration of ageing phenomena or the appearance of phenomena that have not previously been considered.

~~4.21.4.22.~~ Consideration should be given to the proper cooling of experimental facilities and reactor structures

such as thermal columns and concrete shields, as well as to electrical and instrumentation cables, which may be located in unventilated hot areas.

4.22.4.23. Particular consideration should be given to:

- (a) Experimental devices operating at high temperature gradients, high temperatures and/or pressures causing creep and fatigue of materials, and beam tubes;
- (b) Degradation of mechanical properties of experimental devices due to radiation induced embrittlement, or due to vibration induced by water flow;
- (c) Irradiation of capsules containing materials (e.g. copper or mercury) that can cause corrosion in aluminium alloys.

4.23.4.24. The documentation for a research reactor may become obsolete due to changes in the reactor utilization programme (e.g. due to installation of a new experimental device or changes to an existing experiment) or modifications. Requirements on updating of operating procedures, drawings and other technical documentation should be included in the ageing management programme.

EXTENDED SHUTDOWN

4.24.4.25. ~~Reference~~ Requirement 87 of SSR-3 [1] requires that “The operating organization shall take appropriate measures during an extended shutdown to ensure that materials and components do not seriously degrade.” The following measures should be implemented:

- (a) Unloading of the fuel elements from the reactor core to storage racks as appropriate;
- (b) Removing components for protective storage;
- (c) Maintaining water chemistry within specifications and maintaining appropriate environmental conditions (e.g. humidity and temperature) to prevent accelerated ageing (e.g. corrosion).

Further information on safety considerations for research reactors in extended shutdown is provided in IAEA Safety Standards Series No. DS 514, Equipment Qualification for Nuclear Installations Ref. [1614].

4.25.4.26. Periods of extended shutdown should be used for additional inspections that are not included in the operational limits and conditions for SSCs during normal operation (e.g. because the core cannot be unloaded or the beryllium reflector cannot be dismantled). Such additional inspections include:

- Inspection of the reactor vessel or core support structure;
- Inspection of the core structure internals, including connection bolts;
- Dimensional control and alignment of the core internals and reactivity control mechanisms¹³;
- Inspection of bellows, strainers, valves and other piping of SSCs important to safety;
- Inspection of beam ports.

4.26.4.27. The operating organization should review and, where necessary, revise the ageing management programme to ensure that relevant factors affecting ageing are taken into account for SSCs that are out of service or placed in lay-up or safe-storage states during extended shutdown. Particular consideration should be given to inspections for degradation of civil structures (including biological shielding) and mechanical and electrical SSCs. Documentation and software should also be evaluated for obsolescence.

PLANNING FOR DECOMMISSIONING

4.27.4.28. Appropriate arrangements should be made to ensure that the required equipment and SSCs (e.g. means of confinement, radiation monitoring, long term cooling, lifting equipment and condition monitoring equipment) remain available and functional, to facilitate decommissioning activities. During the planning process for decommissioning the ageing management programme should be reviewed to identify elements of the programme that will remain in effect after the facility has been shut down.

~~¹³ Reactivity control mechanisms are devices for controlling the reactivity, including regulating rods, control rods, shutdown rods and blades, and devices for controlling the moderator level.~~

5. ELEMENTS OF AGEING MANAGEMENT PROGRAMMES OFFOR RESEARCH REACTORS

GENERAL

5.1. Ageing management programmes for research reactors should be aimed at identification and implementation of effective and appropriate ageing management actions and practices that provide for timely detection and mitigation of ageing effects in SSCs.

5.2. A systematic ageing management programme for the research reactor should be applied, comprising the following elements:

- Screening of SSCs for ageing management review;
- Identification and understanding of ~~ageing~~ degradation mechanisms;
- Minimization of ageing ~~degradation~~ effects;
- Detection, monitoring and trending of ageing ~~degradation~~ effects;
- Mitigation of ageing ~~degradation~~ effects;
- Acceptance criteria
- Corrective actions
- Continuous improvement of the ageing management programme;
- Record keeping.

5.3. The ageing management programme should be applied at all stages during the lifetime of the research reactor, including the design stage.

SCREENING OF SSCs FOR AGEING MANAGEMENT REVIEW

5.4. A research reactor has a large number and variety of SSCs, some of which are more important to safety than others. There are also considerable differences in the extent to which these SSCs are susceptible to ~~ageing~~ degradation mechanisms. It is neither practicable nor necessary to evaluate and quantify the extent of ageing ~~degradation~~ effects in every individual SSC. A systematic approach should therefore be applied to focus resources on those SSCs, including experimental devices, that can have a negative impact on the safe operation of a reactor and that are susceptible to ~~ageing~~ degradation mechanisms.

5.5. The safety based approach outlined in the following should be applied to the screening of SSCs for a review of the management of ageing:

- (1) First level screening: The existing list of SSCs important to safety, which should have been developed during the design stage, should be reviewed for completeness (Annex II). If such a list is not available, first level screening should be performed to identify those SSCs that are important to safety, on the basis of whether or not a failure¹⁴ could lead (directly or indirectly) to the loss or impairment of a safety function.
- (2) Second level screening: For each of the SSCs identified as being important to safety, the specific structural elements⁴ and components that are important to safety should be identified, i.e. those whose failure could lead (directly or indirectly) to the loss or impairment of a safety function.
- (3) Third level screening: From the list of structural elements and components important to safety, those for which

⁴ Structural elements include both simple structures and elements of complex structures. The term 'structural element' is used only for this screening process. In subsequent paragraphs, structural elements are again referred to as 'structures'.

~~ageing~~ degradation mechanisms ~~have~~ the potential to cause component failure should be identified, and a justification should be provided for the components that are excluded.

An outline of this screening process is given in Fig. 24.

5.6. Alternative screening methodologies (e.g. approaches based on probabilistic safety assessment) may also be acceptable provided that they are carried out in accordance with the established requirements for research reactor safety. The specific screening methodology used should be justified and documented.

5.7. Annex II provides a sample list of SSCs that need to be considered in the ageing management programme of open pool, light water research reactors and heavy water moderated research reactors. It is emphasized that the ageing management programme for a specific research reactor need not be limited to the SSCs presented in Annex II. The SSCs specific to the given research reactor should also be considered.

5.8. In the ageing management programme, consideration should be given to SSCs important to safety for ~~normal operation and design basis accidents~~ all facility states. These SSCs should be identified by applying the approach set out in para. 5.5. For efficiency, consideration should be given to grouping similar components (e.g. valves, pumps and small diameter piping) that operate in comparable service conditions (e.g. pressure, temperature and water chemistry).

⁴⁴ ~~Failure is the inability of an SSC to function within acceptance criteria [2].~~
S:

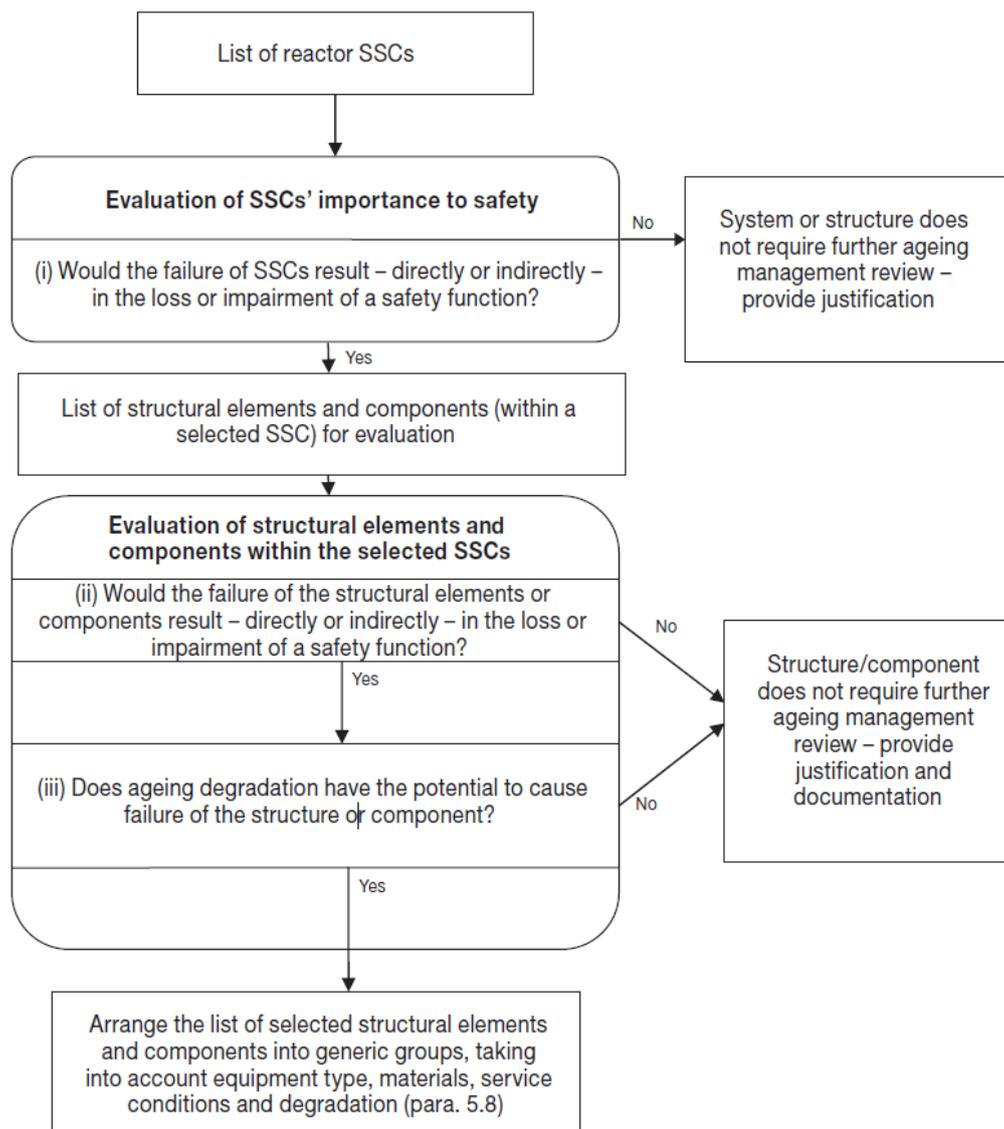


FIG 1. Outline of the process for screening SSCs for ageing management.

IDENTIFICATION AND UNDERSTANDING OF ~~AGEING~~-DEGRADATION MECHANISMS

5.9. To understand the ageing ~~degradation-effects~~ of a structure or component, its ~~ageing-degradation~~ mechanisms ~~and-effects~~ should be identified and understood; understanding ageing is the basis for the effective monitoring and mitigation of ageing effects.

5.10. Interactions between materials, and service conditions, should be assessed to identify degradation mechanisms. This assessment should be performed using available analytical or empirical models for evaluating past ageing ~~degradation-effects~~ and predicting future ~~ageing~~-degradation.

5.11. Existing methods for inspection, testing, surveillance, monitoring and assessment should be evaluated, with account taken of relevant operating experience and research results, to determine whether they are effective for timely detection of ageing ~~degradation-effects~~ before failure of the structure or component occurs.

MINIMIZATION OF AGEING ~~DEGRADATION~~EFFECTS

5.12. In ~~order to limit the effects of ageing degradation~~ cases where minimization of ageing is necessary or the most practical means of ageing management, preventive ~~and mitigative~~ actions should be taken to minimize or limit the effects of ageing. Ideally, preventive actions should be determined during the design stage of the research reactor. ~~Preventive~~ The actions should be continuously improved, with account taken of relevant operating experience and research results, and should include:

- (a) An assessment of the effectiveness of current maintenance and restoration methods and practices (including refurbishment and periodic replacement of parts and consumables) to control ageing ~~degradation-effects~~ of components;
- (b) Establishment of appropriate operating conditions and implementation of practices that minimize ageing ~~degradation-effects~~ of components;
- (c) Possible changes in design, materials or environmental conditions, where applicable, to minimize ageing ~~degradation-effects~~ of components.

5.13. Periodic reviews should be undertaken at appropriate intervals to monitor the effects of ageing and determine the effectiveness of actions and practices for ~~preventing-minimizing~~ ageing ~~degradation-effects~~ of SSCs. Information obtained in periodic reviews should be documented. If the effectiveness of the preventive actions in place is determined not to be acceptable, appropriate corrective actions should be implemented.

DETECTION, MONITORING AND TRENDING OF AGEING ~~DEGRADATION~~

5.14. An assessment of various examination methods should be performed to select those methods that are appropriate for detecting effects of the identified ~~ageing mechanism~~ degradation mechanisms. Such examination methods ~~should-could~~ include:

- Inspections;
- Monitoring;
- Performance tests;
- Periodic testing;
- Non-destructive testing, including visual examination.

5.15. The results of these examinations should be evaluated using baseline data collected in previous examinations, or acceptance criteria, to determine whether the conditions⁵ of the SSCs are acceptable for continued safe operation or whether remedial measures or corrective actions need to be taken. The examination results should be added to the

⁵ Condition assessment of an SSC is an assessment to determine its current performance and condition (including assessment of any ageing related failures or indications of significant material degradation), and to predict its expected future performance, future ageing ~~degradation-effects~~ and residual service life.

baseline data for use in subsequent examinations, and records should be kept of any remedial measures or corrective actions.

5.16. The capability and feasibility of detection methods should be periodically evaluated to check their adequacy (including whether they are sufficiently sensitive, reliable and accurate).

5.17. The frequency of examination of an SSC should be in accordance with the recommendations of the designer and/or manufacturer. The frequency may be adjusted on the basis of the likelihood of failure of the SSC and on the basis of experience, including experience acquired from similar facilities. Any proposed changes to the frequency of examinations should be justified.

5.18. Inspection activities should include:

- (a) Observation of the condition of SSCs (e.g. leaks, noise and vibration); this is normally done during periodic walkdowns of the research reactor.
- (b) Sampling of water coolant for chemical⁶ or radiochemical analysis.

5.19. Ageing effects may be detected by a change in measurable operating parameters (e.g. control rod drop time, water chemistry parameters, temperature, flow rate and pressure). Parameters that can be predictive of ageing ~~effects degradation~~—should be routinely monitored (either on-line or periodically). Readings should be assessed and trends determined, in order to predict the onset of ageing ~~degradation-effects~~ in a timely manner.

5.20. Ageing effects can be detected by checking the performance of SSCs. The results of performance tests undertaken as part of the maintenance programme should be periodically examined for evidence of trends that indicate ageing ~~degradationeffects~~.

5.21. The results of periodic testing performed to verify compliance with the operational limits and conditions should be evaluated to detect and correct abnormal conditions before they give rise to significant consequences for safety.

5.22. Non-destructive testing methods should also be used to detect ageing ~~degradation~~. ~~For removable SSCs, destructive tests may be envisaged if the results of non-destructive tests have indicated abnormal values~~⁴⁸ Various non-destructive testing methods and their capabilities, together with the associated techniques, are given in Table 1. Further information on non-destructive testing methods and techniques that can be used for detecting ageing of SSCs in research reactors can be found in Ref. [4517].

MITIGATION OF AGEING ~~DEGRADATIONEFFECTS~~

5.23. The effectiveness of existing methods and practices for mitigating ageing ~~degradation-effects of on~~ a component should be evaluated, with account taken of relevant operating experience and research results. Methods and practices to be evaluated should include maintenance, ~~refurbishment and periodic replacement~~ refurbishment and periodic replacement of components, modification of SSCs, and altering of operating conditions and practices that may affect the rate of ~~ageing degradation of mechanisms on~~ components.

TABLE 1. NON-DESTRUCTIVE TESTING METHODS AND ASSOCIATED TECHNIQUES

| Method | Type of defect | Techniques |
|--------------------|---|---|
| Visual examination | Scratches, wear, cracks, corrosion or erosion of surfaces | Television cameras, underwater telescopes, binoculars and mirrors |

⁶ Use of electrochemical techniques for on-line monitoring of corrosion is also suitable for low temperature environments such as research reactor pools.

| | | |
|------------------------|--|--|
| Surface examination | Surface or near surface flaws or discontinuities | Liquid penetrant and magnetic particles |
| Volumetric examination | Depth or size of a surface breaking or subsurface flaw | Radiographic, ultrasonic and eddy current techniques |

5.24. It should be noted that while mitigatory and preventive actions are conceptually different, some preventive actions (e.g. maintaining water chemistry parameters within specifications) are also mitigatory actions.

5.25. Once ageing ~~degradation effects has~~ have been detected or predicted, methods for mitigation of ageing effects should be evaluated and ~~mitigatory~~ mitigating actions should be taken.

ACCEPTANCE CRITERIA

5.26. Appropriate acceptance criteria for the inspection and monitoring of ageing effects should be established for ageing management programmes and should be based on the design basis, including response to design extension conditions, or on the technical requirements for the structure or component, and the relevant regulatory requirements, codes and standards, so that a corrective action can be implemented sufficiently before loss of the intended function(s) of the structure or component. The need for sufficient margins should be taken into account in these acceptance criteria.

CORRECTIVE ACTIONS

~~Corrective action, for example to replace or refurbish a SSC, should be implemented when ageing effects are identified which meet the acceptance criteria.~~

5.27. A corrective action programme should be put in place to ensure that conditions adverse to quality, such as ageing effects, are identified and that corrective actions, for example to replace or refurbish a SSC, commensurate with the significance of the issue are specified and implemented to meet the acceptance criteria.

CONTINUOUS IMPROVEMENT OF THE AGEING MANAGEMENT PROGRAMME

5.26.5.28. The management of the research reactor should establish provisions for performance review and continuous improvement of the ageing management programme. Ageing evaluations and condition assessments should be periodically reviewed and updated, and the effectiveness of ageing management activities for individual SSCs should be periodically evaluated in the light of current knowledge and adjusted as appropriate. Current knowledge should be acquired from information on the operation of SSCs, surveillance and maintenance records, lessons learned from other similar facilities and information from the results of research. Consideration should be given to the fact that new ~~ageing~~ degradation mechanisms or unexpected ~~ageing~~ degradation locations may be identified as a consequence of analysis of an incident occurring at another research reactor.

5.27.5.29. The following actions should be taken for continuous improvement of ageing management programmes:

- (a) At ~~least once a year~~ an appropriate frequency, a review should be carried out of the performance of SSCs, indicating the effectiveness of the ageing management programme in monitoring ageing ~~degradation~~ trends and identifying any weaknesses and opportunities for improvement;
- (b) Use of the results of the performance reviews.

5.28.5.30. The effectiveness of the ageing management programme should be reviewed periodically, in accordance with the established management system and in the light of current knowledge and experience. The ageing management programme should be updated as appropriate

~~5.29~~5.31. The result of reviews of the ageing management programme and of assessments of the SSCs, including inspection results and proposed updates, should be submitted to the ~~reactor~~ safety committee (or another competent body) for review and, if required, to the regulatory body for review and assessment.

~~5.30~~5.32. Consideration should be given to arranging for peer reviews of the ageing management programme, to obtain an independent assessment, in order to establish whether the ageing management programme is consistent with accepted practices and to identify areas for improvement (see also paras 7.4–7.7 of this Safety Guide).

RECORD KEEPING

~~5.31~~5.33. The operating organization should establish a data collection and record keeping system, which should be defined in the safety analysis report (see also paras 2.15 and 2.16 of [Ref.SSR-3](#) [1]), in order to provide information for the following ageing management activities:

- (a) Identification and evaluation of degradation, failures and malfunctions of SSCs caused by ageing effects;
- (b) Prediction of the future performance of SSCs, which is required for continued safe and reliable operation of the research reactor;
- (c) Decisions on the type and timing of preventive maintenance actions, including calibration, repair, modification, refurbishment and replacement, and decisions on adaptations of ageing management programmes;
- (d) Optimization of operating conditions and practices that reduce ~~ageing~~ degradation of SSCs;
- (e) Identification of new emerging ageing effects before they jeopardize the safety, reliability and service life of the research reactor.

~~5.32~~5.34. The data required in relation to ageing management may be divided into the following three categories (see Annex II of [Ref.SSG-48](#) [3] for examples of relevant data):

- (1) Baseline information, consisting of data on the design of the reactor and/or of the SSCs and on the conditions at the beginning of the service life of the SSCs;
- (2) Reactor operating records, covering service conditions of the SSCs, including transient data, and data on SSC availability, testing and failure;
- (3) Maintenance records, including information on the condition of the SSCs.

~~5.33~~5.35. All records should be retained as specified in the operational limits and conditions.

6. MANAGEMENT OF OBSOLESCENCE

6.1. This section addresses obsolescence (non-physical ageing), which occurs when SSCs become out of date in comparison with current technology, knowledge, standards and regulations or when documentation becomes out of date. [Additional guidance on managing the obsolescence of instrumentation and control system including software is provided in IAEA Safety Standards Series No. SSG-37, Instrumentation and Control Systems and Software Important to Safety for Research Reactors \[18\].](#)

6.2. Obsolescence of SSCs should be identified, and corrective actions should be taken before the occurrence of any decline in safety, reliability or availability of a research reactor.

6.3. Obsolescence of SSCs important to safety should be managed proactively (i.e. with foresight and anticipation) throughout their service life.

6.4. The operating organization should establish activities for the management of obsolescence throughout the lifetime of the research reactor. These activities should be reviewed by the safety committee (or other competent body) and should be submitted to the regulatory body for review and assessment, if required by national regulations. Conditions that can lead to obsolescence and that can thus affect reactor safety include:

- (a) Changes in technology;
- (b) Changes in regulations;
- (c) Documentation becoming out of date (e.g. if it is not correctly updated following modifications of SSCs or changes in the utilization programme).

6.5. Table 2 summarizes these conditions and their effects, and suggests actions that should be considered for managing obsolescence.

6.6. Attention should be paid to the management of obsolescence of technology (which, in general, occurs in systems such as electrical and instrumentation systems, and the radiation monitoring system).

6.7. It should be noted that some of the activities relating to the management of obsolescence will require review and updating of the documentation for the reactor (the safety analysis report, operational limits and conditions, emergency plan, radiation protection programme and operating procedures).

6.8. The documentation for a research reactor should be updated when changes in regulations and standards occur, to prevent its obsolescence.

TABLE 2. TYPES OF OBSOLESCENCE AND ASSOCIATED AGEING EFFECTS, AND RECOMMENDED AGEING MANAGEMENT ACTIONS

| Conditions | Ageing effects | Management actions |
|---|---|--|
| Changes in technology (safety systems) | Incompatibility between old and new equipment Unavailability of suppliers Shortage or lack of spare parts | Ensure systematic identification of useful service life and anticipated obsolescence <u>Prioritize the identified equipment on the basis of safety significance</u> Prepare a modification project for future replacement of obsolete SSCs Provide spare parts for planned service life or identify alternative suppliers |
| Changes in standards and regulations, advances in knowledge | Outdated knowledge of practices, standards and regulations Deviations from current standards and regulations | Ensure compliance with current standards and regulations Consider the modification of SSCs important to safety, as required <u>Participation in industry forums to exchange information on obsolescence management</u> |
| Documentation becoming out of date | Lack of the information needed for safe operation | Establish an effective integrated management system, including configuration management |

7. INTERFACES WITH OTHER PROGRAMMES AND TECHNICAL AREAS

INTRODUCTION

7.1. This section addresses the technical areas that interface with, or are closely related to, the ageing management programme and ageing management activities. It provides guidance on the issues that should be considered, to enhance an ageing management programme, in implementing the activities in these areas. These technical areas are:

- Maintenance, periodic testing and inspection;
- Periodic safety reviews;
- Equipment qualification;
- Reconstitution of the design basis;
- Configuration management;
- Time limited ageing analysis
- Continued safe operation;
- Post-service surveillance and testing;
- Operational limits and conditions;
- Safety and security interface.

MAINTENANCE, PERIODIC TESTING AND INSPECTION

7.2. The objective of maintenance, periodic testing and inspection programmes is to ensure that the SSCs function in accordance with the design intentions and requirements, as well as in compliance with the safety analysis report and the operational limits and conditions. The activities in these programmes are closely related to those that should be established in the framework of an ageing management programme in the sense that:

- (a) Preventive maintenance consists of regularly scheduled inspections, testing, servicing, overhauls and replacement activities, which are established inter alia to detect and prevent incipient failures, and to ensure the continuing capability of SSCs to perform their intended functions.
- (b) Corrective maintenance consists of repair and replacement activities not occurring on a regular schedule.
- (c) Periodic testing is carried out to ensure compliance with the operational limits and conditions.
- (d) Inspection, while an activity inherent to all maintenance and periodic testing, is an examination of SSCs for ageing degradation effects, to determine whether they are acceptable for continued safe operation or whether remedial measures should be taken. Inspection also refers to non-routine examination for assessment of ageing effects. Inspection is sometimes referred to as in-service inspection.

Further guidance on the interrelationship between maintenance, periodic testing and inspection, and their relation with ageing management for research reactors, is provided in paras 3.5 and 3.6 of Ref.NS-G-4.2 [79].

7.3. In the maintenance programme, it should be taken into account that the maintenance frequency, testing methods and procedures, and repair methods and procedures may need to be adjusted with time, as inadequate maintenance in conjunction with service conditions, as well as improper maintenance, may accelerate ageing degradation of effects on SSCs. The maintenance programme should be evaluated and, if considered necessary, updated on the basis of the findings of the ageing management programme.

PERIODIC SAFETY REVIEW

7.4. In Ref.SF-1 [108], the text accompanying Principle 3 on leadership and management states that “For operations that continue over long periods of time, assessments are reviewed and repeated as necessary. Continuation of such operations is subject to these reassessments demonstrating to the satisfaction of the regulatory

body that the safety measures remain adequate.” Concerning such safety reassessment, the operating organization should carry out periodic safety reviews, including reviews of modifications, changes in utilization and ageing management.

7.5. In the framework of the periodic safety review, the operating organization should assess the effects of ageing on the safety of the research reactor, the effectiveness of the ageing management programme and the need for improvements.

7.6. Outcomes of the review of ageing management within the periodic safety review should be used:

- (a) To determine whether the research reactor or individual SSCs important to safety can be operated safely for a specified future period (e.g. the period between the current safety review and the next one);
- (b) To provide inputs for improvement of the scope, frequency and procedures for maintenance, surveillance and inspection, for updating of the safety analysis, and for modifications of operating conditions or design (including possible changes to the design basis of any SSCs)

Further recommendations and information on periodic safety review for research reactors are provided in paras 2.65–2.68 of [Ref NS-G-4.5. \[54\]](#).

7.7. In performing a periodic safety review, self-assessment or audits by the operating organization, or peer reviews, the information provided in Ref. [\[1946\]](#) should be taken into account. This publication covers the preparation, execution, reporting and follow-up of safety reviews of research reactors, and is applicable inter alia for reassessment of research reactor safety, in order to monitor continued adherence to good safety practices, and for long term safety reviews when ageing of a research reactor may be a point of concern.

EQUIPMENT QUALIFICATION

7.8. Equipment qualification should demonstrate that SSCs will be capable of performing their intended safety function under the full range of service conditions anticipated for the facility in all operational states, design basis accidents and during internal and external events.

7.9. An equipment qualification programme should be in place in order to meet Requirement 29 of SSR-3 [1] to achieve and maintain the qualified status of SSCs important to safety (see SSR-3 [1] Annex I). The programme should include the list of equipment that should be qualified, environmental conditions for which equipment should be qualified, the process of qualification (testing, analysis, or a combination), and frequency of qualification.

7.10. In this Safety Guide, ‘environmental qualification’ means the part of equipment qualification that focuses on qualification of equipment for temperature, pressure, humidity, contact with chemicals, radiation exposure, meteorological conditions, submergence and ageing degradation mechanisms as conditions that could affect the proper functioning of the equipment.

7.11. Environmental qualification should demonstrate that, at the end of its qualified life, the equipment will still be capable of performing its intended function(s) under the full range of specified service conditions.

7.12. Environmental qualification should establish the qualified life of equipment within which ageing effects would not prevent satisfactory performance of the equipment if a postulated accident were to occur within the established operating period.

7.13. Monitoring of actual environmental conditions should be implemented in order to get additional information necessary for the assessment of ageing effects on the equipment in its actual operating environment.

7.14. The qualified life of equipment should be reassessed during its lifetime, taking into account progress in the knowledge and understanding of degradation mechanisms and the actual operating environment of the equipment. If the qualified life is to be increased, a thorough safety demonstration should be provided by the operating

organization.

7.15. The qualification status of equipment should be properly documented and maintained throughout the facility lifetime. The documentation relating to equipment qualification, which is typically part of the equipment qualification programme, should include:

- (a) A master list of qualified equipment;
- (b) Results of environmental condition monitoring such as temperature, humidity, radiation in the facility;
- (c) The evaluation report for equipment qualification;
- (d) Test reports relating to equipment qualification;
- (e) Reports of time limited ageing analyses relating to equipment qualification or reports of another suitable equivalent analysis.

7.16. The review of equipment qualification should include an assessment of the effectiveness of the facility's equipment qualification programme. The review should also consider the effects of ageing on equipment during service and the effects of possible changes in environmental conditions during normal operation and postulated accident conditions since the equipment qualification programme was implemented.

7.17. Details of recommended practices, processes and methods relating to equipment qualification are given in Ref. [16]. Specific recommendations on equipment qualification for seismic design are given in IAEA Safety Standards Series No. DS 490, Seismic Design of Nuclear Installations, [20].

~~7.8. Equipment qualification activities should provide for minimization of ageing degradation, through the surveillance, maintenance and periodic replacement of installed equipment or age sensitive parts. The ageing of individual items of equipment should be managed by using the concept either of 'qualified life'¹⁹ or of 'qualified condition'²⁰ established by equipment qualification (see also paras 7.5-7.8 of Ref. [3]).~~

RECONSTITUTION OF THE DESIGN BASIS FOR SSCs

~~7.9.7.18.~~ The design basis for SSCs is the information that identifies the specific functions to be performed and the controlling design parameters and specific values, or ranges of values, for these parameters.

~~7.10.7.19.~~ The design basis should be reconstituted if it has not been supplied in full to the operating organization, or if it is not adequately documented. This is particularly important in the case of installation of a new experiment with major safety significance, or where SSCs have been significantly modified and/or may become vulnerable to ageing degradation mechanisms. The ageing management programme should be used to demonstrate that, in spite of ageing degradation effects, the design basis for SSCs important to safety remains valid.

¹⁹ ~~The qualified life is the period for which an SSC has been demonstrated, through testing, analysis or experience, to be capable of functioning within acceptance criteria during specific operating conditions while retaining the ability to perform its safety functions in a design-basis accident or earthquake [2].~~

²⁰ ~~The qualified condition is the condition of equipment expressed in terms of one or more measurable condition indicators for which it has been demonstrated that the equipment will meet its performance requirements.~~

7

CONFIGURATION MANAGEMENT

~~7.11.7.20.~~ Configuration management is the process of identifying and documenting the characteristics of a facility's structures, systems and components (including computer systems and software), and of ensuring that changes to these characteristics are properly developed, assessed, approved, issued, implemented, verified, recorded and incorporated into the facility documentation. ~~Configuration management is the process of identifying and documenting the characteristics of a research reactor's SSCs [2].~~ The operating organization, within its management system, should establish a configuration management process aimed at ensuring that changes to characteristics of SSCs due to ageing are properly assessed, recorded and incorporated into the documentation of

the research reactor.

TIME LIMITED AGEING ANALYSIS

7.21. Time limited ageing analyses (also called safety analyses that use time limited assumptions) should demonstrate that the analysed ageing effects will not adversely affect the ability of the structure or component to perform its intended function throughout an assumed period of operation.

7.22. Time limited ageing analyses involve two types of parameter. The first parameter is the time dependent variable that is used in the analysis. Examples of this parameter are the neutron fluence, the operating time or the number of thermal cycles experienced by a structure or component. The second parameter evaluated is the ageing effect associated with the first parameter, which could be the neutron embrittlement, the thermal embrittlement of cast austenitic stainless steel or the cumulative fatigue usage factor, respectively. Both parameters should be evaluated and compared with a regulatory limit or criterion to determine the acceptability of the structure or component for continued service.

7.23. Time limited ageing analyses should meet all six of the following criteria:

1. Time limited ageing analyses should involve SSCs within the scope for ageing management.
2. Time limited ageing analyses should consider ageing effects. Ageing effects include, but are not limited to: loss of material, changes in dimension, changes in material properties, loss of toughness, loss of pre-stress, settlement, cracking, and loss of dielectric properties.
3. Time limited ageing analyses should involve time limited assumptions defined by the current operating term. The specified operating term should be explicit in the analysis. Simple assertion that a component is designed for a particular service life or facility lifetime is not sufficient. Any such assertion should be supported by calculations or other analyses that explicitly include a time limit or a time-based assumption.
4. Time limited ageing analysis should have been determined to be relevant by the operating organization in making a safety determination as required by national regulations. Relevancy is a determination that the operating organization makes on the basis of a review of the information available. A calculation or analysis is relevant if it can be shown to have a direct bearing on the action taken as a result of the analysis performed. Analyses are also relevant if they provide the basis for the safety determination for the facility where, in the absence of the analyses, the operating organization might have reached a different safety conclusion or taken a different safety action.
5. Time limited ageing analyses should involve conclusions or provide the basis for conclusions relating to the capability of the SSC to perform its intended function(s).
6. Time limited ageing analyses should be contained or incorporated by reference in the current licensing basis. The current licensing basis includes the technical specifications as well as design basis information, or commitments of the operating organization documented in the facility specific documents contained or incorporated by reference in the current licensing basis including, but not limited to: safety analysis reports, regulatory safety evaluation reports, the fire protection plan or hazard analysis, correspondence with the regulatory body, the documentation of the management system, and topical reports included as references in the safety analysis reports. If a code of record standard is in the safety analysis report for a particular group of structures or components, reference material should include all calculations called for by that code of record or standard for those structures or components.

7.24. Safety analyses that meet all criteria except for criterion 6 above, and which have been developed to demonstrate preparedness for the intended period of operation, should be also considered as time limited ageing analyses.

7.25. Time limited ageing analyses should be evaluated using a projected value of the time dependent parameter, for example through a calculation of the neutron fluence for a certain operating period. This projected value of the time dependent parameter, for example the projected neutron fluence, should then be used to evaluate certain analysis parameters, such as the adjusted nil-ductility temperature or the fracture toughness.

7.26. The validity of time limited ageing analyses over the intended period of operation should be assessed through

demonstrating satisfaction against one of the following criteria:

- i. The analysis should remain valid for the intended period of operation. The time dependent parameter value for the intended operating period should not exceed the time dependent parameter value used in the existing analysis.
- ii. The analysis should have been projected to the end of the intended period of operation. The value of the analysis parameter value should be changed based on the time dependent parameter projected for the intended operating period, and the value of the analysis parameter should continue to meet the regulatory limit or criterion.
- iii. The effects of ageing on the intended function(s) of the structure or component should be adequately managed for the intended period of operation.

7.27. The value of the analysis parameter should be managed (using an ageing management programme) to ensure that ageing effects are adequately managed and that the value of the analysis parameter will continue to meet the regulatory limit or criterion throughout the intended period of operation.

7.28. If the time limited ageing analyses cannot be found acceptable using criterion (i), (ii), or (iii), then corrective actions should be implemented. Depending on the specific analysis, corrective actions could include:

- Refinement of the analysis to remove excess conservatism;
- Implementation of further actions in operations, maintenance or the ageing management programme;
- Modification, repair or replacement of the structure or component.

7.29. Results of the evaluation of time limited ageing analyses should be used as an input for ageing management review.

CONTINUED SAFE OPERATION

7.12-7.30. With proper implementation of the ageing management programme, the lifetime of a research reactor may be extended. The lifetime of a research reactor is usually determined by the reactor's continued usefulness and by its operational costs. In order to assess the continued safe operation of a research reactor, data from the ageing management programme should be analysed and the following actions should be carried out:

- (a) A safety review of the reactor should be performed, including inspection, to establish the actual status of SSCs.
- (b) An overview of potential refurbishment needs should be developed by establishing a comprehensive list of SSCs.
- (c) SSCs important to safety should be selected and relevant ageing-degradation mechanisms should be identified, in order to perform a preliminary evaluation.
- (d) The technical and economic feasibility of a refurbishment programme should be established.

7.13-7.31. Before commencing a large scale refurbishment project, a strategic plan (or other equivalent study) should be prepared. In addition to commercial and utilization issues, this plan should consider safety issues such as satisfaction of regulatory requirements as well as the technical status of SSCs and experimental devices that are not part of the refurbishment project.

POST-SERVICE SURVEILLANCE AND TESTING

7.14-7.32. After final shutdown of a research reactor and before its decommissioning, a post-service surveillance and testing programme should be applied to detect and assess continuing ageing effects. This programme should continue to be applied as long as particular SSCs of the reactor are required to remain in operation and the decommissioning process has not yet been completed.

OPERATIONAL LIMITS AND CONDITIONS

7.33. Operational limits and conditions for a research reactor specify several conditions including limits on operating loads of SSCs or environmental conditions such as water chemistry parameters that directly effect the ageing of SSCs. The ageing management programme should consider the effects of ageing from the established operational limits and conditions and the effect of any violations of such conditions-and their effects.

7.34. Recommendations on establishing operational limits and conditions, including those relating to ageing, and on establishing operating procedures for research reactors are provided in Section 3 of NS-G-4.4 [10].

SAFETY AND SECURITY INTERFACE

7.35. Ageing management programme should take into account any interface it may have with security arrangements, e.g. common power supplies to the equipment used for security and ageing management activities, access control or blocked access for the activities related to ageing management, material movement that could block security equipment views.

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Annex I

EFFECT OF AGEING FOR DIFFERENT SERVICE CONDITIONS

I-1. The basic cause of ageing ~~degradation~~ of an SSC is the service conditions, which support the actuation of particular ~~ageing-degradation~~ mechanisms and lead, unless ageing is properly managed, to loss of the safety function capability of the SSC. Service conditions can be categorized as normal operation, anticipated operational occurrences and environmental conditions.

I-2. The possible effects of ageing (consequence/failure) for service conditions associated with normal operation, with anticipated operational occurrences and for environmental conditions, with associated ~~ageing-degradation~~ mechanisms, are summarized in Tables I-1 to I-3, respectively.

TABLE I-1. POSSIBLE EFFECTS OF AGEING ON SERVICE CONDITIONS ASSOCIATED WITH NORMAL OPERATION

| Condition | Ageing-Degradation mechanism | Consequence/failure |
|---|---|---|
| Radiation | Change of properties | Chemical decomposition Swelling Change of resistivity Burnup |
| Temperature | Change of properties | Change of strength Change of resistivity Change of ductility |
| Stress (pressure) | Creep | Changes of geometry (e.g. a breakage or collapse) |
| Cycling of temperature, flow and/or load | Motion | Displacement Change of position or set point |
| Flow induced vibrations | | Loose connections Material damage (cracks) |
| | Fatigue | Breakage or collapse Deformation Material damage (cracks) |
| | Wear | Deterioration of surface Change of dimensions |

TABLE I-1. POSSIBLE EFFECTS OF AGEING ON SERVICE CONDITIONS ASSOCIATED WITH NORMAL OPERATION (cont.)

| Condition | Ageing | Consequence/failure |
|------------------|--------------------------|---|
| Flow | Erosion | Change of strength |
| Fluids chemistry | Corrosion/galvanic cells | Release of radioactivity Reduction of strength Deposition of particles Short circuits Leakage |

TABLE I-2. POSSIBLE EFFECTS OF AGEING ON SELECTED SERVICE CONDITIONS ASSOCIATED WITH ANTICIPATED OPERATIONAL OCCURRENCES

| Condition | Ageing mechanism | Degradation mechanism | Consequence/failure | Power excursion |
|---|---|----------------------------------|---|-----------------|
| Thermal and/or mechanical stresses | | Deterioration of core components | Accelerated ageing of core components | |
| Unbalanced control rod positions | Thermal stresses | | Reduction of strength Accelerated ageing | |
| Power-flow mismatch (error in fuel loading) | Thermal stresses | | Reduction of strength Accelerated ageing | |
| Primary pump failure | Thermal and mechanical stresses | | Accelerated ageing | |
| Erroneous maintenance or operation | Mechanical damage and adverse chemical conditions | | Deterioration of systems Corrosion Accelerated ageing | |

TABLE I-3. POSSIBLE EFFECTS OF AGEING ON SELECTED ENVIRONMENTAL CONDITIONS

| Condition | Ageing mechanism Degradation | Consequence/failure |
|-----------------------|--|---|
| Humidity and salinity | Corrosion | Leakage Release of radioactive material Reduction of strength Short circuits |
| Chemical agents | Chemical reactions | Corrosion Deterioration of SSCs |
| Wind, dust and sand | Erosion and deposition | Change of strength Deterioration of surface Malfunction of mechanical and electrical components |

Annex II

EXAMPLE OF SCREENING OF RESEARCH REACTOR SSCs FOR AGEING MANAGEMENT PURPOSES

II-1. Table II-1 provides examples of screening, for ageing management, of the SSCs of pool type, light water research reactors, as well as of heavy water moderated research reactors. The table lists SSCs, and indicates their importance to safety and their ease of replacement, as well as the relevant ~~ageing mechanism~~degradation mechanism. The abbreviations used in Table II-1 are explained following the table.

TABLE II-1. TYPICAL RESEARCH REACTOR SSCs TOGETHER WITH THEIR EASE OF REPLACEMENT AND POSSIBLE ~~AGEING-DEGRADATION~~ MECHANISMS

| <u>SSC</u> | <u>Important to safety?</u> | <u>Ease of replacement</u> | <u>Ageing- Degradation</u> mechanisms |
|--|---------------------------------|--------------------------------|---|
| <i>Pool and reactor internals (for light water moderated reactors)</i> | | | |
| Pool structure and vessel | Y | A/B | 1, 2, 4, 5, 6 |
| Core structure | Y | B | 1, 4, 5, 6, 7 |
| Reflector | Y | B/C | 1, 4, 5 |
| Control rods and mechanisms | Y | C | 1, 4, 5 |
| Shielding | Y | C | 1, 5 |
| Beam tubes | Y | B/C | 1, 3, 5 |
| Liner | Y | B/C | 1, 3, 5 |
| Fuel assemblies and storage in reactor pool | Y | C | 1, 5 |
| <i>Reactor internals (for heavy water moderated reactors)</i> | | | |
| Reactor tank | Y | A/B | 1, 2, 5, 6 |
| Core structure | Y | B | 1, 2, 5 |
| Control rods and mechanisms | Y | C | 1, 4, 5 |
| Fuel assemblies | Y | C | 1, 5 |
| <i>Cooling systems</i> | | | |
| Primary | Y | B/C | 1, 3, 4, 5, 6, 7 |
| Pool | Y | A/B | 1, 3, 4, 5, 6 |
| Emergency | Y | B/C | 3, 4, 5, 6 |
| Make-up | N | C | 5 |

TABLE II-1. TYPICAL RESEARCH REACTOR SSCs TOGETHER WITH THEIR EASE OF REPLACEMENT AND POSSIBLE ~~AGEING-DEGRADATION~~ MECHANISMS (cont.)

| SSC | Important to safety? | Ease of replacement | Ageing-Degradation mechanisms |
|---------------------------------------|----------------------|---------------------|--|
| Purification | Y | C | 1, 2, 5 |
| Secondary | N | C | 4, 5, 6, 7 |
| Hot water layer | Y | C | 4, 5, 6 |
| Heavy water storage | Y | B/C | 5 |
| Collection of heavy water leaks | Y | B/C | 5 |
| Cover gas circulation | Y | C | 4, 5 |
| <i>Confinment/containment</i> | | | |
| Structure | Y | A | 2, 3, 4, 5 |
| Biological shield | Y | A/B | 1, 2, 3, 4, 5 |
| Ventilation: normal | M | C | 2, 5, 6 |
| Ventilation: emergency | Y | B/C | 5, 6 |
| Penetrations | Y | C | 1, 2, 4, 5 |
| Isolation system | Y | C | 4, 5 |
| Stack | Y | B/C | 6 |
| <i>Controls and instrumentation</i> | | | |
| Shutdown systems | Y | C | 4, 5 |
| Protection system | Y | B | 4, 5 |
| Control system | Y | C | 2, 4, 6 |
| Control console | M | B/C | 2, 6 |
| Radiation monitoring | Y | C | 5 |
| Process systems | M | B | 4, 5, 6 |
| Annunciators | Y | C | 2, 4, 6 |
| Instrumentation | M | C | 1, 2, 4, 6 |
| Cabling | M | B/C | 1, 2, 5 |
| Remote shutdown and remote monitoring | Y | C | 5 |
| Pneumatic system | M | C | 4, 5 |
| Data acquisition | M | C | 4, 5 |
| Seismic protection | Y | C | 4, 5 |
| <i>Auxiliaries</i> | | | |
| Electrical power system | M | B | 6 |
| Emergency power system | Y | B/C | 5, 6 |

| SSC | Important to safety? | Ease of replacement | <u>Ageing-Degradation</u> mechanisms |
|---|----------------------|---------------------|--------------------------------------|
| Fire protection | Y | B | 5 |
| Lightning protection | M | B/C | 5 |
| Flood protection | M | C | 5 |
| Communications | M | C/D | 5 |
| Cranes | Y | B/C | 4, 5 |
| Handling and storage facilities | Y | D | 5 |
| Transfer casks and fuel casks | Y | B/C | 1, 4, 5 |
| Handling, storage and disposal of radioactive waste | Y | B/C | 1, 2, 5, 6 |
| Hot cells | M | B | 1, 5, 6, 8 |
| Compressed air | M | C | 4, 5, 6 |
| Laboratories | N | C | 5, 6, 8 |
| Heavy water auxiliaries, including recombination | Y | C | 5, 6 |
| Spare parts | M | B/C | 8 |
| <i>Experimental facilities</i> | | | |
| Cold and hot sources | Y | B/C | 1, 2, 3, 4, 5, 6 |
| Shielding | Y | C | 1, 5 |
| Rigs and loops | Y | B/C | 1, 2, 3, 4, 5, 6 |
| Beam tube lines | Y | C | 1, 3, 4, 5 |
| Isotope production and irradiation facilities | M | C | 1, 2, 3, 4, 5, 6 |
| Rabbit systems | M | C | 1, 5, 6 |
| Thermal columns | M | C | 1, 2, 3, 5 |
| Dry irradiation rooms | N | C | 1, 5 |
| <i>Reactor block</i> | | | |
| Reflector | Y | A/B | 1, 4, 5 |
| Thermal shield | Y | A/B | 1, 2, 5 |
| Biological shield | Y | A/B | 1, 2, 4, 5 |
| Reactor block cooling system | Y | B | 2, 5 |

TABLE II-1. TYPICAL RESEARCH REACTOR SSCs TOGETHER WITH THEIR EASE OF REPLACEMENT AND POSSIBLE ~~AGEING-DEGRADATION~~ MECHANISMS (cont.)

| Other factors (non-SSC) | Important to safety? | Ease of replacement | Ageing- Degradation mechanisms |
|-----------------------------------|----------------------|---------------------|--|
| Documentation | | | |
| Design | M | B/C | 10 |
| Safety analysis report | Y | B/C | 9, 10 |
| Operational limits and conditions | Y | B/C | 10 |
| Operating procedures | Y | B/C | 10 |
| Management system | Y | B/C | 9, 10 |
| Reviews and assessment | Y | C | 9, 10 |
| Industrial safety | N | B/C | 8, 9 |
| Licensing | Y | B/C | 9 |

Abbreviations used in Table II-1

| Important to safety? | Ease of replacement | Ageing mechanism Degradation mechanisms |
|--|------------------------------------|--|
| Y: Yes | A: Very difficult | 1: Changes of properties due to neutron irradiation |
| N: No | B: Difficult technically or costly | 2: Changes of properties due to temperature service conditions |
| M: Maybe, depending on specific reactor design and features; see also footnote 1 on page 1. | C: Normal | 3: Stress or creep (due to pressure and temperature service conditions) |
| | D: Readily | 4: Motion, fatigue or wear (resulting from cycling of temperature, flow and/or load, or flow induced vibrations) |
| | | 5: Corrosion |
| | | 6: Chemical processes |
| | | 7: Erosion |
| | | 8: Changes of technology |
| | | 9: Changes of regulations |
| | | 10: Obsolescence of documentation |

CONTRIBUTORS TO DRAFTING AND REVIEW

| | |
|-----------------------|--|
| Abou Yehia, H. | International Atomic Energy Agency |
| Angell, P. | Atomic Energy of Canada Ltd, Canada |
| Boogaard, J. | International Atomic Energy Agency |
| Böck, H. | Atominstitut der Österreichischen Universitäten, Austria |
| <u>D'Arcy, A.</u> | <u>Consultant, South Africa</u> |
| <u>Du Bruyn, J.F.</u> | <u>South African Nuclear Energy Corporation, South Africa</u> |
| <u>Hardesty, D.</u> | <u>Nuclear Regulatory Commission, United States of America</u> |
| Hargitai, T. | KFKI Atomic Energy Research Institute, Hungary |
| <u>Hirshfeld, H.</u> | <u>Israel Atomic Energy Commission, Israel</u> |
| Inagaki, T. | International Atomic Energy Agency |
| <u>Kennedy, W.</u> | <u>International Atomic Energy Agency</u> |
| <u>McIvor, A.</u> | <u>International Atomic Energy Agency</u> |
| <u>Morris, C.</u> | <u>Consultant, Australia</u> |
| Offerein, J. | Nuclear Research and Consultancy Group, Netherlands |
| <u>Perrin, C.D.</u> | <u>Autoridad Regulatoria Nuclear, Argentina</u> |
| <u>Rao, D.V.H</u> | <u>International Atomic Energy Agency</u> |
| Rive, D. | Institut de radioprotection et de sûreté nucléaire, France |
| <u>Sears, D. F.</u> | <u>International Atomic Energy Agency</u> |
| <u>Shim, S.</u> | <u>International Atomic Energy Agency</u> |
| Shokr, A.M. | International Atomic Energy Agency |
| <u>Sumanth, P.S.</u> | <u>Bhabha Atomic Research Centre, India</u> |
| Villa, M. | Atominstitut der Österreichischen Universitäten, Austria |
| Wijtsma, F.J. | Nuclear Research and Consultancy Group, Netherlands |
| Willers, A. | International Atomic Energy Agency |